संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान

Sanjay Gandhi Postgraduate Institute of Medical Sciences

Lucknow



Amendment to the Ph.D. Rules and Regulations 2020

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Prof R. K. Dhiman
Director

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Sanjay Gandhi Postgraduate Institute of Medical Sciences

Forewords

As the Director of the Institute, it is my pleasure to write this message in the Ph.D. rule booklet of Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow. Our objective is to put in place an effective atmosphere within the Institute for research and career development both for the faculty members and students in addition to a high-level patient care.

In addition to patient care, the Institute has the responsibility towards the postgraduate and post-doctoral students to expose them to newer methods of teaching and giving opportunities to high-level research. As the PhD students and research scholars are considered as the backbone of research in a healthcare Institution, having an active PhD program is a cornerstone for the research output of the Institution. It is a pleasure that the research cell of the Institute has successfully amended the PhD regulation to make it better for the researchers and PhD students of the Institute after a long time. I hope the faculty members, students and researchers will find them user friendly.

With very best wishes,



(Prof. R. K. Dhiman)
Director



Prof S. P. Ambesh
Dean

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences

Forewords

As Dean of the Institute and Chairman, Ph.D. committee, I am pleased to welcome incoming and returning graduate students to this Ph.D. Program at Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow. Above all, we are committed to your success. Your achievements and your experiences energize the culture of our one-of-a-kind program bridging biomedical research with clinical medicine.

It is my honour to lead the Institute's research programs. My mission is to connect you with talented Ph.D. faculty advisors and state-of-the-art resources that will develop your scientific expertise and prepare you to contribute to improving our nation's health. To this end, it is my pleasure to help you meet your experimental goals and foster the research environment that will maximize your scientific potential and prepare you for future opportunities in academia and industry. I look forward to meeting you in the days and months ahead!

With very best wishes,

(Prof S. P. Ambesh)
Dean



Prof Uday C. Ghoshal
Faculty In-Charge Research

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences

Forewords

As Faculty In-charge Research of the Institute, it is my pleasure to write this message in the Ph.D. rule booklet of Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow. Research Cell has been trying to update the PhD rules of the Institute to make the academic environment more effective for research, teaching and training. We are pleased that finally it has been possible. I would like to thank the Members of the Institutional Ph.D. Committee, Academic Board, Governing Body and the staff members of the Research Cell for all the support with which this has finally seen the light of day.

There is a saying that "The Institute with a tradition of excellence has amply demonstrated that research enhances the validity of teaching, teaching lifts the standards of care, and patient care opens up new avenues of investigation". Hence, for any academic institution, research is a major pillar of excellence. It is a pleasure for us to promote the research of the Institute. The current revised Ph.D. rule is also a step towards that. The current rules are aimed at creating a more friendly academic environment for the faculty members and the researchers of the Institute.

With very best wishes,

UC Ghoshal

(Prof. Uday C. Ghoshal)
Faculty In-charge Research
Dated 24th January 2023



Dr. C. P Chaturvedi
Assistant Faculty In-charge Research
संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences

Forewords

It give me immense pleasure to write this message in the Ph.D. rule booklet of Sanjay Gandhi Postgraduate Institute of Medical Sciences (SGPGIMS), Lucknow. SGPGIMS is a premiere Institution, recognized nationally and internationally for its patient care, teaching, training and research works. To facilitate research, the Institute runs its own PhD program. It is a proud moment to mention here that the Institute has made amendments to the Ph.D. regulations after due incorporation of new provisions as approved by the Institute Governing Body. The Ph.D. amendment booklet presents these new guidelines. We hope that this Ph.D. rule booklet will be useful for the faculty members and students of the Institute interested in pursuing Ph.D.

I would like to thanks the all the members of the Institutional Ph.D. Committee, members of Academic Board, Governing Body, Faculty In-charge Research and staff of research cell for their help in preparing the Ph.D. rules and regulations2020 and getting these approved.

I am sure this booklet will be very helpful for all.

With very best wishes,



(Dr. C. P Chaturvedi)
Assistant Faculty In-charge Research



Lt. Col. Varun Bajpai, VSM
Executive Registrar

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences

Forewords

SGPGIMS is an institute of National Repute, catering to a significantly large population base and provides specialized clinical and patient care services for diverse medical and surgical needs. The institute has earned a credible name at national and international levels, with its alumni spread across the globe.

It gives me immense happiness to know that SGPGIMS has made amendments to the Ph.D. regulations after due incorporation of new provisions as approved by the Governing Body. Research is bedrock of academic excellence and the institute has factored this aspect in the true earnest in the amended Ph.D. guidelines. This would facilitate interdisciplinary research as well provide opportunity to the faculty to pursue qualitative research.

The new guidelines will promote research, publications and academic environment in the Institute. Pursuing higher studies including Ph.D. is an academic incentive to the faculty members and officials. Moreover, increasing number of Ph.D. Students (including faculty Ph.D. students and Officers while they are employed) and increasing number of publications through qualitative work and thesis are expected to improve the Institute's ranking in NAAC and NIRF, which is an important initiative of Her Excellency, the Governor of Uttar Pradesh who is also the visitor of the Institute.

I wish the Research cell, the very best and would take the opportunity to congratulate for taking the necessary steps for promoting research and enhancing the academic acumen of the faculty members and scholars.

Lt. Col. Varun Bajpai, VSM
Executive Registrar

Rules & Regulations for Ph.D. Program – 2020

Sanjay Gandhi Postgraduate Institute of Medical Sciences (SGPGIMS) was established by the Government of Uttar Pradesh as a center of excellence for providing medical care, education and research facilities in various broad and sub-specialties of Medicine. The Institute has the status of a State University and has the mandate to provide postgraduate teaching, training and to conduct research in the relevant disciplines of modern medicine and other allied sciences, including interdisciplinary fields of physical and biological sciences. SGPGIMS is empowered to admit students, hold examinations and award degrees, diplomas and other academic distinctions to further these objectives. Towards this goal, in 1989, the Institute started a Ph.D. program open to both medical and non-medical postgraduates.

The objective of the PhD Program is to create research scientists fully trained to undertake basic and applied research in the field of biomedical sciences. The candidates are expected to become well-versed with research methodology and acquire the right approach for research. This document will serve as the compendium of rules and regulations for the PhD Program at SGPGIMS. These rules can be reviewed and modified periodically as required.

Title of the Degree:

The PhD degree awarded by the Institute shall be designated as **"DOCTOR OF PHILOSOPHY OF THE SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW".** The certificate shall not indicate the subject or specialty. The degree will include the title of the thesis along with the name of the Department. The month and year of award of the degree will also be mentioned.

Eligibility for Admission to the Ph.D. course

A candidate seeking admission to the course of study leading to the award of a degree of Doctor of Philosophy must possess at least one of the following qualifications:

Nationality

Indian Nationals

A. Qualification

a. MBBS/BDS/MD/MS/MDS/DM/MCh/M.Sc. in nutrition/M.Sc. in Statistics/ MVSc./MSW/ MBA and allied subjects or Diplomat of National Board of Examination, recognized by the Medical Council of India or similar equivalent bodies.

OR

b. Master's degree in Science /M.Tech (Biotechnology) from a recognized University/Institution in Science subject/ Health Sciences / Allied Sciences / Related Physical or Engineering Sciences/Master in Hospital Administration/MBA in Hospital Administration.

B. Qualifying Marks:

The candidates should have minimum 50% aggregate marks or its equivalent overall Grade Point Average / Cumulative Grade Point Average in M.B.B.S. (for medical graduates) OR M.Sc./M.Tech./MSW/MBA/MHA as applicable.

Foreign nationals

Candidates having degree from a University outside India will be eligible subject to the condition that the equivalence of the degree is recognized by Medical Council of India / University Grants Commission for medical / non-medical candidates respectively. The qualifying marks shall be same as Indian Nationals. In addition the candidate should have an overall IELTS band score of at-least 7 with not less than 6.5 in any of the 4 subtests or an equivalent score in TOEFL for proficiency in the English language.

Foreign nationals should send their applications through Diplomatic channels (Ministry of External affairs, Govt. of

India). They will not be eligible for fellowship, contingency or free medical facility at this Institute.

Category of enrolment:

Category 1: Candidates who fulfill essential qualifications and have a letter of award for fellowship and contingency by any Government funding agency for a minimum period of three years.

Category 2: Candidates who fulfill essential qualifications as stated above AND if they are working in an extramural project funded by Government / UN agencies as JRF/SRF Research Assistant at SGPGI. The enrollment should be done within one year of start of the project and the total project duration should be of at least 3 years. , but will not be eligible for any fellowship or contingency from the Institute at any time. (*)

Category 3: Candidates having Senior Research Fellowship (SRF) directly from Government funding agencies and have applied through SGPGI for work to be done at SGPGI. The admission will be considered within one year of the sanction of the SRF ship by the funding agency.

Category 4: Direct selection will be done through a national level entrance examination (theory and interview). **(Subject to restoration of the sanctioned posts, 5 per year, which were discontinued in 2002). These seats will preferentially be allotted to subjects that are not covered by any National level Funding examination like UGC, NET etc.**

*As per the recommendation of the 54th Academic board meeting held on 10.06.2017 the above eligibility criteria for **category – 2 was made** valid for 03 years only from the date of Governing Body Approval). **Now, this is being continued as regular and essential eligibility criteria.**

*Some of the funding agencies provide provisional fellowships (e.g. DST Inspire). Therefore, these students may be enrolled with a nominal enrollment fees (e.g. 1000 rupees) so that in case the Fellowship is not finally granted by the funding body, he/she would be able to avoid difficulties related to refund of the admission fees. Once they receive the final Fellowship letter from the Funding Body with stipend, they would be finally admitted to the PhD. Program.

Number of Seats and Process of Selection

Each faculty member will be allowed to register a maximum of 2 students per year and a total of) 6 students at any given time. The guides can send requests for PhD student to the Research Cell at any time. Guides at the time of making a request for registration of students, must mention the broad area of research & details of funding supports from Government /UN funding agencies. Students applying on the basis of categories 1-3 (vide supra) will be selected through an interview by the Dean's Committee after the recommendation of concerning Guide for a particular candidate. The students of category 4 will have to undergo a written examination followed by an interview by the Dean's committee.

Advertisement/Notification

The admission to the PhD courses will be done twice a year for which the advertisement shall be placed in National Dailies (English, Hindi & Institute website) just after the results of the CSIR/UGC/NET examination are declared. The candidates belonging to categories 1-3 (vide supra) will need to apply in response to this advertisement. The candidate may also apply individually to the investigator any time in the year for PhD admission and the Interview board will be held simultaneously.

Procedure for Advertisement

a) The faculty members will be asked to indicate number of positions for Ph.D. student they wish to enroll (as described above).

b) The faculty members who are interested in registering the Ph.D. students will provide a brief write- up about his/her research interests & the source of funding.

c) The faculty member need to indicate the number of Ph.D. scholars currently enrolled under him/her.

d) Relevant information shall be made available on the institute's website in respect of positions available and research interests of potential guides

e) Only those guides will be eligible to take the PhD students who have sufficient extramural project support as PI for particular student.

Dean's Committee/Interview Board

1.	Dean	Chairman
2.	One external expert (either from PhD committee or nominated by the Institute as deemed fit.	Member
3.	Three to four faculty members of Institute from various disciplines (Nominated by the Head of the Institute)	Member
4.	Faculty in-charge Research	Member Secretary

The proceedings of the above committee will be sent by Dean to the Research Cell for implementation.

Notification to the selected candidates

The letter of offer will be issued to the selected candidates by the Research Cell. Candidates will need to deposit fees, undergo medical examination and fulfill other prescribed formalities prior to their joining. The selected candidates **must join within 15 days of date of issue of letter of offer** or have their request for an extension in joining approved by the Dean.

Criteria for enrolment of Institute Faculty /Scientist Cadre for Ph.D. Programme

- Faculty members/scientist who have completed 5 years of regular service at this Institute and have at-least 5 original research papers in indexed journals (not case reports; as per MCI/UGC norms) as first author/joint first author or corresponding author/joint corresponding author are eligible to apply. In addition, papers in which the faculty member is a second author will also be considered provided the first author is a student/research fellow, during the preceding five years (at this Institute from the date of his/her application).
- Government Officers- Gazetted and Group A Service Officers on regular recruitment and on deputation to SGPGIMS are allowed to pursue Ph.D. as part time candidates.
- Inter-disciplinary Ph.D. would be considered based om Merit and scope of research work.
- If the faculty member registering for Ph.D. is already a Guide to other Ph.D. candidate, he/she should transfer the guideship of his/her students to the Co-guide before taking up the Ph.D. program for himself /herself. The minimum duration of PhD would be 2 years and maximum being 5 years.
- Medical faculty members however can continue to act as guide/co- guide for MD/MS/DM/M.Ch. candidates. Faculty Members registering for PhD would continue to undertake his/her academic and clinical activities in the Department.
- Institute's Faculty Member may also enroll themselves in other Institutions/Universities for Ph.D., and DSc. after obtaining permission from the Dean/Director with the application being routed through the Research Cell (represented by the Faculty In-charge Research.

Criteria for enrolment of Institute Faculty /Scientist Cadre for Ph.D. admissions to external organizations

Institute faculty/ scientist cadre are eligible to enroll for PhD with external organizations provided they have at least 5 published original articles in the past ten years in journals with a Journal Impact Factor of at least 1. The Head of the Department should certify that the work of the department will not suffer and no additional staff will be

asked for. The other norms would be as per the organization providing the PhD degree. The permission for registering may be provided by the Director, SGPGIMS:

Registration to the Ph. D. programme at this institute for defense medical officers / teachers / scientists from national institutions / government organizations / universities recognized by the UGC

Defense officers / teachers / scientists from national institutions / government organizations / universities recognized by UGC, may be allowed to enroll for the Ph.D. Program if they are found suitable by the Dean's committee / interview board.

a. They will not be paid fellowship, contingency and shall not be entitlement for free medical care or accommodation at the institute campus.

b. They will have to provide a no-objection-certificate from the competent authority of their organization.

c. They will have to provide evidence for sanction of leave for a minimum period of three years from their parent organization. If a candidate is not able to complete his PhD in 3 years, the candidate may need to take additional leave from his/her Institution (of the kind due) to complete the work. The responsibility of this lies with the candidate.

d. The individual should be a regular employee of their parent organization and should have rendered a minimum of 5 years of continuous service.

e. The guide should provide proof/give undertaking that he/she has sufficient funds for completing the Ph.D. work of the enrolled candidate.

PhD admissions to external organizations

Research students who are working in extramural projects may enroll for PhD program in other local institutions as per the recommendation of the Principal Investigator under whom they are working with the following conditions:

1. They have to submit the application on prescribed format of the concerned organization where he/she wants to enrolled as PhD student along with the recommendation of Guide & Head of the Department, to the Research Cell.

2. They have to submit a protocol of the thesis work along with the Minutes of the departmental research committee meeting & proof for its submission to the institutional ethics/animal ethics committee.

3. It is the responsibility of the guide/co-guide to start the PhD work of the student after the approval of the Institutional Ethics/Animal Ethics committee, (a copy of the IEC clearance letter should be sent to the Research Cell and external organization where they are enrolled).

4. After enrolment in the external organization, the student has to submit the PhD admission letter to the Research Cell, for record.

5. Institute faculty/ scientist cadre are eligible to enroll for PhD with external organizations provided they have at least 10 published original articles in the past ten years in journals with a Journal Impact Factor of at least 1. The Head of the Department should certify that the work of the department will not suffer and no additional staff will be asked for. The other norms would be as per the organization providing the PhD degree. The permission for registering may be provided by the Director, SGPGIMS.

Eligibility Criteria for Recognition as a Guide

1. Any member of the faculty who has 03 years of experience after DM/M.Ch./Ph.D. or 06 years after MD/MS.

2. The faculty member should have completed 3 years of regular service as faculty member at any recognized

Institution, provided the faculty member has appropriate research grant.

3. The guide or co-guide cannot be related to the candidate (parent, sibling, spouse, relative of spouse, cousin or their spouses / siblings or children).

4. There must be at least one co-guide from the institute (preferably from the department of guide).

5. The Guide and the Co-guide should be prepared to take over each-others responsibilities in the event of long absence of any one of them from the Institute.

6. If the guide proceeds on long leave (either a fellowship or sabbatical leave), they shall have to make adequate arrangements for student monitoring and mentoring and transfer their guideship to a Co-guide, preferably in the same Department.

7. The HOD must certify that student work will not suffer during the absence of the Guide and Co- guide and specify who will supervise the work in the absence of Guide. The said substitute will remain available for the duration of absence of the guide/co-guide in question. In any exceptional situation that may arise when the Guide and Co-guide are both not available, than the student may be transferred to another Senior Faculty Members in the department with the consent of Head of the Department or Dean. This situation however, must be pre-empted consciously to the extent feasible.

8. Faculty members due to superannuate in next 3 years will not be eligible as guide from the date of the request.

9. Only those guides will be eligible to take the Ph.D. students who have sufficient Govt. funding extramural research grant/ Reputed Non-Govt. Research grant support (Except Clinical Trial Agreement) approved by the Ethics Committee as PI for particular student.

Scientist as Guide for PhD students

The Scientists shall be eligible to be a Guide for PhD students subject to the condition that they fulfill the following requirements/guidelines;-

a) They should hold a PhD degree.

b) They should be the regular employee of SGPGIMS.

c) They should have at least 5 years experience after PhD as a scientist at SGPGIMS.

d) A faculty member (Medical or Non-Medical) of the SGPGIMS, who has expertise in the area of research, should be a Co-guide.

e) Other terms & conditions will be as described for the faculty.

Eligibility criteria for Co-guide (External organizations)

The faculty member can become Co-guide of a PhD student enrolled at an external government organization.

The guide of the student may request the concerned faculty member of this institute for being a co-guide with full justification and the copy of synopsis duly recommended by a competent authority of that organization. If the faculty member of this institute is interested to become a co-guide, he may send the request to the Research Cell along with supporting documents for approval by the Director. If the work involves drawing on resources of the Institute in any conceivable way, the project will need to be approved by the department research committee and duly forwarded by the head of the concerned department.

For transfer of biological material if any, prior approval by both institutions for its use will need to be obtained.

Change of Guide

In the unfortunate event of the demise of Guide or

resignation/ long leave (sabbatical leave)/ prolonged absence, the Co-guide will have to take responsibility of Guide for the student. This should be decided by the Dean's committee and concerned Co-guide (the person should fulfill the eligibility criteria of a Guide).

On the event of the superannuation of the Guide, the student will be transferred to the co-guide (preferably from the same department) 3 months before superannuation to ensure smooth transfer. The superannuated faculty member will serve as a co-guide and has to be acknowledged/co-authored in the papers originating from the thesis.

Procedure after the selection

Selected candidates after completing necessary formalities will formally join under the concerned guide. The said date will be taken as the date of registration/enrolment. The student has to submit the joining report, enrolment form, Medical fitness certificate of the Institute, character & marital certificates and the receipt of fees paid as prescribed in the fees booklet issued by the Research Accounts Section. The student must bring original pass certificates for their verification by the Research Cell.

Duration

The minimum period for completion of Ph.D. will be three years from the date of enrollment. Maximum duration of the course will be five years. In no case, the PhD course will be extended beyond 5 (five) years. For Institute's Faculty Members registering for PhD inside the Institution, the minimum period would be 2 years. All medical and hostel facilities will be withdrawn after 5 years of registration. In rare circumstances, that the candidate requires extension beyond 5 years, he/she would be required to re-register for submission of thesis. During this period he/she will have to deposit fees as prescribed but will not be eligible for hostel or medical facilities. He will also not be allowed by the institute to attend national / international conferences.

In exceptional circumstances if the student has completed his/her PhD work within 2.5 years and wants to submit thesis, then on the basis of recommendation of the Doctoral Committee with full justification of the thesis work, the student may submit the thesis to the Institute. He/she should surrender the medical & hostel facilities immediately after the issue of provisional degree certificate.

The guide will be responsible for smooth progress of the student and to inform the Institute from time to time if the progress is not satisfactory.

In case, the registration of a student in PhD program is cancelled by the institute without recommendation by the guide, the concerned guide will be debarred from taking another candidate for next three years as a guide. The decision of cancellation of PhD as well as action against the guide, if any, will be taken by the Dean's committee and finally approved by the Director.

Course requirements

The guide is responsible for the completion of the research work and fulfillment of the administrative requirements by the candidate registered under him/her. During the period of registration and before submission of thesis, it is mandatory for each candidate to fulfill the following requirements:

- As per the gazette of India July 11, 2009, issued by the Govt. of India, the candidate has to undertake course work. The course work shall be treated as pre-PhD preparation and must include a course on **research methodology which may include quantitative methods and computer application,** which may also involve reviewing of the published research in the relevant field. The course work should be completed by the student within 2 years of enrollment. The general guidelines for this course work will be as follows:

The **student should do 16 credits in total** which will include the following

Mandatory course (10 credits)	
a. Foundation course	2 credits
b. Biostatistics	2 credits
c. Review Writing (will help develop skill in literature search and critical review of literature)	2 credits
d. 3 Journal club presentations in the Dept.	2 credits
e. Synopsis presentation	2 credits

1. Optional courses (6 credits) some of the courses are listed below

Bio-informatics	1 credit
Basic Immunology	2 credits
Lab Instrumentation	2 credits
Scientific communication	1 credit
Computer application	1 credit
Microscopy & pathology techniques	2 credits
Genetics	1 credit
Advances in Microbiology	2 credit
Any similar course work organized by the Research Cell	2 credits

In addition they may take any 01 course of the Institute and claim credit in optional courses

- Have **published/accepted 2 original papers** in peer reviewed indexed journals in the UGC CARE list/ prevailing norms of the Indian Medical Council and UGC with his/her name as a first/joint first or second author.

At the time of submission of thesis, the candidate shall submit a certificate from the Guide regarding completion of course requirements as stated above along with credit points obtained and of participation in regular teaching program of the department. He/she should also attach the proof of published/accepted research papers.

External Training Programme

Ph.D. students may be permitted to attend scientific conferences/symposia/seminars and workshops under following conditions:

- They have an accepted abstract
- The training/fellowship/conference for which a Ph.D. student is permitted should be a related to his/her PhD topic and should be recommended by the guide.

A maximum period of three months will be permitted for such activities during the total tenure. **Only one visit abroad will be permitted per year.** In case of training abroad, the guide should obtain a performance report from the host Institute and this should be submitted to Research Cell along with a brief report of the visit.

The registration fees, TA/DA may be paid from contingency grants (within country) if the funds are available. However, TA/DA & registration fees for visit abroad will not be paid from the contingency grant (Institute or funding agency).

If a student does not rejoin after availing training /fellowship, within the stipulated period, the appointment may be cancelled /terminated without serving any notice. This clause would be incorporated in the permission letter.

The period of absence for any long training/fellowship will be from the allowed leave due to the candidate.

Up-gradation of Fellowship

On completion of second year, the fellowship (JRF) may be upgraded to SRF on recommendation of the doctoral committee (DC). The guide must ensure that the assessment committee has been held as per the prescribed format of the concerning national funding agencies.

Requirement of Institutional Ethics committee /Animal ethics committee approval of thesis work

After the first Doctoral Committee meeting, the approved synopsis should be submitted to the Ethics/Animal ethics committee as per the requirement of the thesis work, the guide should obtain the approval from the above committees.
In any case, the work should not be started by the candidate prior to the ethics committee approval.

Any changes in the title of the synopsis or protocol, must be informed to the above committee for approval and its copy should be sent to Research Cell, for record.

Doctoral Committee

Doctoral committee (DC) will be approved by the Director on the recommendation of the guide within **nine months** of admission of the candidate, as per the following:

1. Head of the Department	Chairperson
2. One expert from reputed organization (preferably from Lucknow)	External expert
3. Faculty Members of the same Dept./Other Dept. (as per need)	Member
4. Co-guides (not more than two as per the thesis requirement and one of the Co-guide shall be from the same Department)	Member
5. Guide	Convener

It is the responsibility of the guide to convene and organize DC meeting each year from the date of enrolment of the student. All members should preferably participate in all DC meetings; with the presence of external expert being mandatory. If the co-guide is not available during the meeting, then he/she should preferably certify the recommendation of the DC minutes. The TA/DA & honorarium will be given to the external experts & external members for attending the doctoral committee meetings from the academic fund of the Institute.

The first DC must be held within a year of registration and should approve the synopsis and proposed plan of work of the candidate. The candidate has to submit the synopsis before the doctoral committee for doing the PhD work. After approval of the synopsis by the doctoral committee, the candidate should submit the signed copy of the synopsis to the Research Cell for its approval by the Dean along with the minutes of the first doctoral committee meeting.

If the first DC is not held within one year of enrollment, the matter will be referred to Dean's Committee for decision regarding cancellation of PhD registration.

The guide must ensure that the progress of the research work is regularly monitored. The DC must be convened at least once every 12 months and satisfactory progress must be endorsed by the DC. Please ensure that co- guides are available at the time of DC and participate in DC. Any changes in part or full (including title) of the proposed work of the candidate must be approved by the DC.

The final DC will also approve satisfactory completion of the work as proposed in the synopsis and give permission to the candidate to write the thesis. The final DC should be held at least 6 months before the end of the maximum registration period (of 5 years). The guide will give a minimum notice of 7 days to the candidate to make any presentation before the final DC.

The final DC will approve the name of not less than 6 Indian examiners and at least 4 names of the foreign examiners separately with their complete postal address, e-mail, mobile/telephone no. etc. In any case the examiner shall not be less than Additional Professor/Scientist-E. The minutes of all DC meetings must be submitted to Research Cell within 7 days along with the summary of the PhD work not more than 10 pages required for examiners to which the consent is to be sought.

In the instance that a co-guide is not willing to participate in DC proceedings, guide may recommend another co-guide to Dean through the HOD.

In the instance that DC is not satisfied with the progress of the work, this should be specifically noted and communicated by the Dean/Guide to the student with a cautionary note. DC may recommend termination of studentship at any given point, if it finds that progress of the work is totally unsatisfactory despite previous warnings/notifications. In case of termination, all members of DC should unanimously make the recommendation with adequate justification. In instances where Dean is not satisfied with the progress reported by DC, the Dean may refer the matter back with comments to the DC for revaluation and suggestions.
All meetings of the DC should be open sessions and the guide should circulate the notice of such a meeting well in time to encourage wider participation.

Thesis

The thesis should be submitted by the candidate within 06 months from the date of final DC meeting permitting the student to write the thesis. The thesis should be in a format approved by the Institute. A certificate from the Head of the Department in the prescribed format should be included in the thesis. The thesis should be signed by the Guide and all co-guides. Under exceptional situation the thesis can be submitted by the student with the signature of only guide, after approval by the Dean. At the time of submission of thesis a student must submit no dues certificate to the Research Cell. After submission of thesis institute, will provide hostel only for six months with an undertaking of his/her Guide/ Head of the Department with proper justification.

The candidate should submit 4 copies of the thesis along with a soft copy (pdf file) to the Research Cell along with the complete no dues certificate and surrender of fees booklet to the Research Accounts Section.

Board of Examiners for Evaluation of Thesis

The thesis examination board will be constituted by Director on the recommendation of the Dean and will consist of three examiners (one must be from outside the country) out of the list of not less than 6 Indian and 4 names of the foreign examiners along with their latest designation complete postal address with Pin Code, e-mail, telephone/mobile no. etc. recommended by the DC and the Guide, who will also be the Convener.

In exceptional circumstances, Director on the recommendation of the Doctoral Committee may appoint an examiner from within the Institute/other local institutes.
Dean/Research Cell will obtain the consent of the examiners on the prescribed format. Soft copy of the thesis and hard copy of the thesis will be dispatched to examiners only after the candidate submits "No Dues Certificate" duly signed by all concerned departments to Research Cell.

Final Evaluation of the Thesis

The detailed comments from one foreign examiner and two Indian examiners will be received by the Dean on a prescribed format (by hard copy/e-mail). The examiners will have to make clear recommendation whether the thesis has been accepted/ accepted with modifications/ rejected. If reports from all the external examiners are not received within four months, a copy of the thesis shall be sent to another examiner from among the approved list of examiners after due approval from Director. Dean will ensure that all three evaluation reports are in order and convey the reports to the guide. The guide as convenor, will co-ordinate thesis defense of the candidate.

The criteria for grading the report

Thesis accepted: viva-voce examination to be conducted. Minor changes/correction of typographical errors/correction of references/reanalysis of data/clarifications/retyping/correction in figures suggested by the examiners should be incorporated in the library copy and submitted to the Dean's Office before degree is notified.

Thesis rejected: If two of the three examiners recommend rejection, the thesis will be considered as rejected. If one examiner recommends rejection, the thesis will be sent to

a fourth examiner from the list of approved examiners. If the fourth examiner recommends rejection, then thesis will be taken as rejected. If he/she accepts, then the viva-voce examination can be held. The reasons for rejection of the thesis should be clear and conveyed to the candidate and also brought to the notice of Academic Board.

Thesis accepted with modification:

f) If additional experiments are required to be carried out, the student will be allowed to resubmit after completing the required extra work.

g) In case of major flaws in the presentation of data and interpretation of results, the thesis will need to be revised totally and re-submitted. The candidates will be allowed six months for re-submission of thesis. (But not more than 5 years from the date of enrolment)

h) If one or more than one examiners have recommended re-writing/re-submission/ revision, the revised thesis incorporating all the changes will be sent for re-evaluation only to the examiner who has asked for the revision. Appropriate facilities will be provided to the student during the time of re-submission viz: Lab facilities if required, hostel accommodation (if available on payment basis) and library facilities. However, no fellowship & contingency will be paid for this period.

Thesis Defense

The thesis defense will be conducted by the two external examiners from India along with guide as internal examiner. The external examiners from the India who assessed will act as the external examiners at the viva-voce examination. In case an external examiner is not available to conduct the viva-voce due to valid reasons, another examiner from the panel of examiners recommended by DC may be appointed for this purpose with approval of Director. A copy of thesis should be sent to the newly appointed examiner; however, he/she will not be expected to submit a report. There shall be public defense of the thesis by the candidate. The topic, date, time & venue of the defense of the thesis shall be announced by the Guide at least one week in advance, so that the faculty members and other interested in the topic of the thesis can be present. Those attending the public defense and are not the members of the board of examiners, can also participate by asking relevant questions. The board of examiners shall take in to the consideration, the views & criticism if any, of the faculty members and other participating in the public defense of thesis. However, the result of the examination shall be decided solely by the members of the board of examiners on prescribed format. The thesis defense should not be held on holidays and it should be held within Institute premises.

Result of the viva voce (thesis defense)

a) The candidate shall be declared eligible for the award of Degree of Doctor of Philosophy only, on the unanimous recommendations of the members of the board of examiners at the viva voce examination.
b) After the viva voce, the guide shall submit the result under a sealed cover to the Dean with clear recommendation to AWARD / NOT To AWARD PhD to the candidate. The report should be signed by all examiners on the prescribed format. No marks need to be allotted.
c) If the candidates fails to pass the viva voce, he/she will be permitted one more attempt within three months.

Provisional Degree Certificate

Provisional Degree Certificate will be issued by the Dean after receipt of the report of viva voce. The candidate should make a written application for provisional certificate, duly forwarded by the Guide. The provisional degree certificate shall state that the degree shall be awarded at the next convocation.

Award of the Ph.D. Degree

The Academic Board of the Institute after considering the reports of the examiners and that of the viva voce shall recommend the award of the PhD to the candidate. The

students will be awarded the degree of doctor of philosophy at the next convocation.

In case Academic Board is not held before the convocation, Director is authorized by the Board to award the degree and inform the Academic Board at its next meeting. And the degree should be awarded at the time of convocation.
In case a candidate does not attend the convocation in person, the degree will not be sent by post, but handed over to the person nominated by him/her, provided written request is forwarded by the Guide.
Hostel Accommodation

The enrolled PhD students will be given hostel on availability. The student has to follow the rules of the hostel prescribed time to time by the institute.

Disciplinary Action

Director can impose disciplinary action in any of the following conditions or any others as may arise after making adequate enquiry and satisfying himself/herself of the seriousness of the offence.

The candidate has furnished any false information which had a bearing on the candidate's selection. The student is/was involved in any academic malpractice or involved in any unlawful activity.

The student has caused any damage to the Institute's property or peace.

In case a student proceeds on unauthorized leave or visit abroad without prior permission or is involved in any financial irregularity, enrollment will be automatically cancelled. It will be the responsibility of the Guide to inform to the Dean for taking necessary disciplinary action. The quantum and nature of the punishment will be decided by the Dean's committee, to be approved by the Director and may even lead to cancellation of enrolment.

Unfair Means and Plagiarism

In case, a Ph.D. student is found adopting or suspected of adopting unfair means or plagiarism penal action shall be taken by the institute as may be necessary to up hold the sanctity & the integrity of the research work & credibility of the institute.

The Director will refer all such instances to Dean's committee which shall take SUO-MOTO cognizance of all such cases. Such cases may also be reported by any person to the Dean's committee or the Guide/Head of the Department, who will forward the matter to the Dean's committee for its consideration & recommendation.

Ph.D. Committee

A Ph.D. committee will be nominated by the Academic Board on the recommendation of Dean. It will advise the Institute on the conduct of the Ph.D. Programme. Its term shall be of three years duration. It will deliberate, as and when required, on matters related to the research activities/rules/any matter related to the students viz: fellowship, contingency, leave etc. Modification in the rules of the Ph.D. Program will discussed and approved by the Committee.

Establishment matter of Ph.D. Students

All administrative matters relating to Ph.D. students will be dealt by the Research Cell.

Leave to the Ph.D. students

Students are permitted to avail leave (inclusive of all types) with stipend not exceeding 30 days for each completed year of tenure. Leave can be carried over to the next year. However, not more than 90 days can be accumulated at any time during the tenure and not more than 30 days can be availed of at the end, prior to completion of the tenure of fellowship. During the first year of enrollment or any uncompleted year, leave may be granted on pro-rata basis.

Guide can grant leave to the student/fellow in his charge with the concurrence of the Head of the Department as per entitlement and all records must be maintained by the Guide/Department. The fellow should not proceed on

leave for overseas visit for attending conference /seminars etc. without prior approval by the institute. The entire duration of such foreign visits if funded by any national/international agency, whether partially or fully, would be treated as leave due to him/her.

Sanction of leave without stipend beyond the above entitlement may be considered by the Institute under special circumstances. Such cases will be decided by the Dean on the recommendation of Guide and HOD.

Lady students are entitled to maternity and other leave and men, to paternity leave with full stipend plus HRA as per the Govt. of India norms. The fellowship amount for the leave period will be paid after the fellow resumes duty and submits a medical certificate in support of actual confinement.

Attendance Requirement

1. A candidate is required to sign on all working days of the in an attendance register to be kept in the concerned Department, except when he/she is on duty/sanctioned leave as per the leave rules.

2. A candidate, who is pursuing course work as a part of his/her PhD Programme, is expected to have full (100%) attendance in each course. However, a maximum of 30% attendance may be condoned by the Dean on the recommendation of Guide for cogent reasons as per institute's rules.

3. The attendance requirements and leave rules shall be applicable to the students who are enrolled as PhD students in other organization and doing the research work at this institute.

Fellowship & Contingency

The students having Government funding agency, will get their fellowship & contingency as per the funding agency norms.

The SGPGI fellowship and contingency will be equivalent to the CSIR-UGC (NET). The fellowship & contingency of SGPGI will be revised automatically as and when CSIR-UGC revisions are announced and will be effective from the same day and an office order to this effect will be issued with same conditions as and dates by the Head of the Institute. The Institute will also inform the revisions to the appropriate bodies of the Institute for financial implications. However, the revised fellowship will be given to the students after the approval from Finance Committee & Governing Body and availability of funds in the Institutes budget. The fellowship & contingency will be discontinued for the enrolled students getting fellowship from the institute from the date of the viva-voce examination.

The students who receiving the fellowship from external funding agencies should inform the funding agency about the discontinuation of fellowship after the viva voce. This is the responsibility of Guide to inform the Dean for the same.

Fees

The student must deposit the fees as prescribed by the Institute time to time. Criteria for late fees etc. shall be as per the institute's norms time to time. Fees once paid to the institute shall not be refunded.

Medical Facilities

Free Medical Facilities will be provided to registered students only (not spouse/parents) for the duration of their tenure (up to thesis submission date or the term of the PhD duration i.e. five years, whichever is earlier).

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW
AMENDMENT IN THE PH.D. RULES AND REGULATION, 2020

Exiting	Newly Approved
Eligibility for Admission to the Ph.D. course **A. Qualification:** a. MD/MS/MDS/DM/MCh in the subject concerned or Diplomat of National Board of Examination, recognized by the Medical Council of India. **B Qualifying Marks:** The candidates should have minimum 55% aggregate marks or its equivalent overall Grade Point Average / Cumulative Grade Point Average in M.B.,B.S. (for medical graduates) OR M.Sc / M.Tech as applicable.	**Eligibility for Admission to the Ph.D. course** **A. Qualification:** a. MBBS/BDS/MD/MS/MDS/DM/MCh/M.Sc. in nutrition/M.Sc. in Statistics/ MVSc./MSW/ MBA and allied subjects or Diplomat of National Board of Examination, recognized by the Medical Council of India or similar equivalent bodies. **B Qualifying Marks:** The candidates should have minimum 50% aggregate marks or its equivalent overall Grade Point Average / Cumulative Grade Point Average in M.B.,B.S. (for medical graduates) OR M.Sc./M. Tech./ SW/MBA /MHA as applicable.
Category of enrolment: **Category 2:** ● As per the recommendation of the 54th Academic board meeting held on 10.06.2017 the above eligibility criteria for **category – 2** is valid for 03 years only from the date of Governing Body Approval).	**Category of enrolment:** **Category 2:** ● As per the recommendation of the 54th Academic board meeting held on 10.06.2017 the above eligibility criteria for **category – 2 was made** valid for 03 years only from the date of Governing Body Approval). **Now, this is being continued as regular and essential eligibility criteria.** ● Some of the funding agencies provide provisional fellowships (e.g. DST Inspire). Therefore, these students may be enrolled with a nominal enrollment fees (e.g. 1000 rupees) so that in case the Fellowship is not finally granted by the funding body, he/she would be able to avoid difficulties related to refund of the admission fees. Once they receive the final Fellowship letter from the Funding Body with stipend, they would be finally admitted to the PhD. Program.
Criteria for enrolment of Institute Faculty/Scientist Cadre for PhD Programme ● Only faculty members/scientist who have completed 5 years of regular service at this Institute and have at-least 5 original research papers in indexed journals (not case reports) as first author or corresponding author are eligible to apply. In addition, papers in which the faculty member is a second author will also be considered provided the first author is a student/research fellow, during the preceding five years (at this Institute from the date of his/her application). ● If the faculty member registering for PhD is already a Guide to other PhD candidate, he/she should transfer the guideship of his/her students to the Co-guide before taking up the PhD program for himself/ herself. ● Medical faculty members however can continue to act as guide/co-guide for MD/MS/DM/MCh candidates. Except for the year that they are on leave.	**Criteria for enrolment of Institute Faculty/Scientist and Officer Cadre for PhD Programme** ● Faculty members/scientist who have completed 5 years of regular service at this Institute and have at-least 5 original research papers in indexed journals (not case reports; as per MCI/UGC norms) as first author/joint first author or corresponding author/joint corresponding author are eligible to apply. In addition, papers in which the faculty member is a second author will also be considered provided the first author is a student/research fellow, during the preceding five years (at this Institute from the date of his/her application). ● Government Officers- Gazetted and Group A Service Officers on regular recruitment and on deputation to SGPGIMS are allowed to pursue Ph.D as part time candidates. ● Inter-disciplinary Ph.D would be considered based on Merit and scope of research work. ● If the faculty member registering for PhD is already a Guide to other PhD candidate, he/she should transfer the guideship of his/her students to the Co-guide before taking up the PhD program for himself/herself. The minimum duration of PhD would be 2 years and maximum being 5 years.

Exiting	Newly Approved
	• Medical faculty members however can continue to act as guide/co-guide for MD/MS/DM/MCh candidates. Faculty Members registering for PhD would continue to undertake his/her academic and clinical activities in the Department. Institute's Faculty Member may also enroll themselves in other Institutions/Universities for PhD., and DSc. after obtaining permission from the Dean/Director with the application being routed through the Research Cell (represented by the Faculty In-charge Research) on the following conditions:
PhD admissions to external organizations	**PhD admissions to external organizations** • 5. Institute faculty/ scientist cadre are eligible to enroll for PhD with external organizations provided they have at least 5 published original articles in the past ten years in journals with a Journal Impact Factor of at least 1. The Head of the Department should certify that the work of the department will not suffer and no additional staff will be asked for. The other norms would be as per the organization providing the PhD degree. The permission for registering may be provided by the Director, SGPGIMS.
Eligibility Criteria for Recognition as a Guide • 6. The Guide will not be allowed to proceed on long leave (either a fellowship or sabbatical leave until adequate arrangements are made for students monitoring / mentoring during the period of leave).	**Eligibility Criteria for Recognition as a Guide** • 6. If the guide proceeds on long leave (either a fellowship or sabbatical leave), they shall have to make adequate arrangements for student monitoring and mentoring and transfer their guideship to a Co-guide, preferably in the same Department. • 9. Only those guides will be eligible to take the PhD students who have sufficient Govt. funding extramural research grant/ Reputed Non-Govt. Research grant support (Except Clinical Trial Agreement) approved by the Ethics Committee as PI for particular student.
Course requirements • Have published/accepted 2 original papers in peer reviewed indexed journals with his/her name as a first or second author.	**Course requirements** • Have published/accepted 2 original papers in peer reviewed indexed journals in the UGC CARE list/ prevailing norms of the Indian Medical Council and UGC with his/her name as a first/joint first or second author.
Change of Guide • On the event of the superannuation of the Guide, the student will be transferred to the co-guide (preferably from the same department) 3 months before superannuation to ensure smooth transfer. The superannuated faculty member may serve as a co-guide with the consent of the doctoral committee and the Dean.	**Change of Guide** • On the event of the superannuation of the Guide, the student will be transferred to the co-guide (preferably from the same department) 3 months before superannuation to ensure smooth transfer. The superannuated faculty member will serve as a co-guide and has to be acknowledged/co-authored in the papers originating from the thesis.

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences
Lucknow

PhD Application Form

Name of Applicant:

Father's Name:

Mother's Name:

Whether belonging to Gen/SC/ST/OBC (If Yes give details) _____

Address for Correspondence: _____

_____

Street: _____

District: _____

State: _____

Email: _____

Pincode:

Mobile No:

Permanent Address: _____

_____

Street: _____

District: _____

State: _____

Email: _____

Pincode:

Mobile No:

No.	Examination	College/University	Division	Percentage	Subject

Name of Department _____ Name of Proposed Guide _____

Name of Entrance Exam Passed & Year _____

(UGC/NET/CSIR/ICMR/DBT/DST INSPIRE etc.) Roll No. _____

Candidate's broad Research interest: _____

_____

Acceptance of Guide: _____ Acceptance of Head of Dept.: _____

Name of Guide: _____ Name of Department: _____

If earlier applied for Research, Yes _____

Date: _____ Name of Guide: _____

Topic of Research _____

FOR OFFICE USE:

Admission Date: _____ First Installment of Admission Fees: _____

_____ Receipt No. _____ Date: _____

Date: _____ Signature of Competent Authority

PhD Application Form

Documents to be attached by the candidate

1. Coloured photograph facing camera without glasses or cap & self attested.
2. Attested copy of the High School marksheet & certificate.
3. Attested copy of the Intermediate marksheet & certificate.
4. Attested copy of the Graduate marksheet & certificate.
5. Attested copy of the Post Graduate marksheet & certificate.
6. Copy of the Eligibility test issued by National Entrance Examination. (UGC/NET/CSIR/ICMR/DBT/DST (INSPIRE etc.)
7. Document for address proof.
8. Case certificate if applicable.
9. Copy of any other experience certificate in the field of Research. (After Post Graduate)

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Student's Enrollment Form

Course admitted:

1. a) Name:

(full in English BLOCK Letter)

9b) Name (in full in Hindi): _____

2. Father's Name:

3. Mother's Name:

4. Date of Birth (DD/MM/YYYY) :

5. Date of Birth in words: _____

6. Gender: Male ☐ Female ☐ 7. Whether belonging to General ☐ OBC ☐ SC ☐ ST ☐

8. Address for Correspondence: _____

Street: _____
District: _____
State: _____
Email: _____
Pincode:
Mobile No:

Permanent Address: _____

Street: _____
District: _____
State: _____
Email: _____
Pincode:
Mobile No:

9. Academic Record - (at the time of Enrollment)

S. No.	Course	Year Passed	Board/University	Subject
(I)	High School or Equivalent			
(II)	Intermediate or Equivalent			
(III)	Graduation			
(IV)	Post Graduation			
(V)	Any Other Examination			

10. Name of Entrance Examination Qualified with Roll No. and YearDate of Birth in words: _____

11. Have you been enrolled earlier in SGPGIMS, (If yes, Provide Enroll. No.)

12. Name of Guide & Dept. in which admission has been taken now.

Guide _____ Deptt. _____ Date _____

Date: _____ Full Signature of Student _____

Forwarding by HOD: This is to certify that above information provided by the student is correct as per supporting documents provided by the student.

Signature of Guide

Signature of HOD

FOR OFFICE USE:

Enrollment No. alloted:

Signature of Dean

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Documents to be attached by the candidate for enrollment:

1. Migration certificate from last Institute attended.
2. Date of Birth Certificate.
3. Copy of all Certificate/Marksheets. (in proof of academic record).
4. Case certificate if applicable.
5. Document for address proof.
6. Admission/Joining Letter.
7. Fee receipt. (First installment).

FOR OFFICE USE ONLY

To be filled in very accurately & legibly by institute office

Enrollment No.:

Details of Examinations at the Institute:

Year	Examination	Roll No.	Department	Result	Initial of Staff in full who posted the entries

Details of the Migration Certificate Issued by the Institute:

Migration Certificate No.	Date of Issue	Signature of Staff

Date: _____

Signature of Competent Authority

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JOINING REPORT

With reference to the Office Memorandum No.: _____

Dated: _____

I accept the terms & conditions of the Admission/Appointment offer as described and submit my joining as _____ *in* _____ *Department in the Forenoon/Afternoon of* _____

_____	_____	_____
Signature:	*Name of student:*	*Date:*

_____	_____	_____
Signature of Guide:	*Name of Guide:*	*Date & Seal:*

(Forwarding of Head of the Department)

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
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MARITAL DECLARATION

I _____

S/o, D/o _____

Hereby declare that I am unmarried/married and have one and not more that one wife/husband living as of today.

Witness: _____

_____	_____	_____
Signature:	*Name:*	*Date:*

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Character Certificate

This is to certify that Mr./Ms.

_____

S/o, D/o _____

is well known to me for last _____ *years.*

To the best of my knowledge and belief he/ she bears a good moral character and has not taken part in any subversive activity.

_____ _____ _____

Signature: **Name:** **Date:**

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MEDICAL EXAMINATION FORM FOR Ph.D. CANDIDATES

DECLARATION BY THE CANDIDATE

I hereby declare that I am not suffering from any disease including bodily deformity, infectious disease, chronic illness such as by hypertension, diabetes etc. I also declare that I have not been considered medically unfit by any medical authority before.

I declare that I have been suffering from _____

_____ For the last _____ years.

Dated: _____ Name and signature of the Candidate

MEDICAL EXAMINATION

Height: _____ cm. Weight: _____ kg

Apparent age _____ Yrs. B. P _____ Pulse _____

JVP _____ Edema.Ft _____ Varicose Veins _____

CVS _____ Chest _____

CNS _____

Abd: _____ Hernia _____ Hydrocoele _____

Genitalia _____ Lung _____

GYNAE CHECK Up:

Married/unmarried

Children _____ LMP _____

P/A _____ P/V _____

Onpthalmic Check Up: Without Glasses With Glasses

Acuity of vision L _____ _____

R _____ _____

Colour vision _____ _____

INVESTIGATIONS: Urine Examination : Alb : _____ Sugar: _____ M/E: _____

X-Ray Chest : _____

Name AND SIGNATURE

Physician _____ Gynecologist _____

Surgeon _____ Radiologist _____

Pathologist _____

Opthalmologist _____

Chairman _____

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Lucknow

CHECK LIST (TICK ✓)

HISTORY

1. Prolonged Fever
2. Cough/Prolonged Expectoration
3. Chest Pain
4. Heamoptysis (Blood in Sputum)
5. Jaundice
6. Breathlessness
7. Swelling over body
8. Blood in Vomit/Stool
9. Irregular Period
10. Previous Operations or Accident.
11. Previous Hospitalization & Reason
12. Allergy
13. Unconsciousness/Fecal or General Seizures
14. Hypertension
15. Tuberculosis
16. Heart Diseases
17. Diabetes
18. Bronchial Asthma

FAMILY HISTORY

Diabetes _____ Tuberculosis _____

Hypertension _____ Heart Disease _____

Any Other (Specify) _____

MEDICAL FITNESS CERTIFICATE FOR GOVERNMENT SERVICES

I do hereby certify that the members of the Medical Board of the Sanjay Gandhi Post Graduate Institute of Medical Sciences have examined Sri/Smt/Km _____ as candidate for seeking enrollment to the Ph.D. Programmeme fo the department of _____ as _____ and have not discovered that/he/she has any disease communicable or otherwise, constitutional weakness or bodily infirmity except _____ do not consider him a disqualification for enrollment to the Ph.D. Programmeme in the department as _____ The candidate's age according the his/her statement is _____ years and by appearance _____ years.

_____ _____

(Name & Signature of Candidate) **(Name & Signature of Officer)**

Certified that I have never been declared medically unfit by any medical authority & have never been examined before.

Date: _____ (Signature of Candidate)

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NO DUES CERTIFICATE (FOR Ph.D. STUDENTS)

Forwarded to the officers herein after mentioned below for giving clearance in respect of Dr. / Mr. / Ms. _____ (Designation) _____ who is submitting the PhD thesis w.e.f. _____ dated _____. If any dues are outstanding against him/her, the same cost thereof may please be specified and indicated below:

Signature of Guide _____

S. L. No.	Name of Establishment	Name of Officer/ In-charge	Date	Signature
1	Head of the concerned Department			
2	Administration (Research Cell) (For surrender of HIS/Treatment Books)			
3	Ward			
4	Operation Theatre			
5	Central Library			
6	PG Hostel/MRA (Date of allotment) (Date of Handover) (Care taker) Provost			
7	Incharge Telecom			
8	Radiation Safety Cell			
9	Deptt. of Radiodiagnosis			
10	Accounts Section (Salary)			
11	J.A.O (Research)			
12	Computer Centre (for surrended of medical facility)			
13	Vehicle Section			
14	Mess			
15	CSSD			
16	MIU (I/Card)			
17	Security Officer			

Signature of Student _____ **Forwarding of Guide** _____

Title of the Thesis _____

_____

_____

A THESIS SUBMITTED TO THE

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान

Sanjay Gandhi Postgraduate Institute of Medical Sciences

Lucknow-226014.

FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY

BY

_____

DEPARTMENT OF _____

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences
Lucknow-226014.

Certificate

This is to certify that all the regulations necessary for the submission of the Ph.D. thesis by

_____

have been fully observed.

_____	_____	_____
Signature	Name of Head Department	Name of Department

_____

Dated

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences
Lucknow-226014.

THESIS SUBMISSION CERTIFICATE

Ref No._____ Dated: _____

TO WHOM IT MAY CONCERN

This is to certify that Mr./Ms.

_____

Ph.D. student under Prof./Dr. _____

Guide, Department of _____

SGPGIMS, has submitted his/her thesis entitled _____

_____

to the Institute on _____

_____

Dean

DEPARTMENT OF _____

संजय गाँधी स्नातकोत्तर आयुर्विज्ञान संस्थान
Sanjay Gandhi Postgraduate Institute of Medical Sciences
Lucknow-226014.

Certificate of the Guide / Co-Guide

This is to certify that the work embodied in this thesis has been carried out by

_____

under my/our direct supervision. He has fulfilled all the requirements necessary for the award of the degree of Doctor of Philosophy of Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow.

_____	_____	_____
Signature of Guide	Name	Department of
_____	_____	_____
Signature of Guide (s)	Name	Department of

Dated _____

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FORMAT FOR EVALUATION OF THESIS

Guidelines for evaluation of thesis:

You are requested to file the evaluation report based on the following guidelines. In case additional sheets are needed for filing the report, each page should be numbered and attested by you.

IMPORTANT Foreign examiners should send a set of questions, if any, which they wish to get clarified from the candidate during the Viva voce examination (As they personally will not be present during the viva voce examination).

1. INTRODUCTION:	INAPPROPRIATE, APPROPRIATE, EXCELLENT
2. REVIEW OF LITERATURE:	INADEQUATE, ADEQUATE, EXHAUSTIVE
3. AIMS & OBJECTIVES:	POORLY FRAMED, WELL FRAMED
4. MATERIALS & METHODS:	INADEQUATE, ADEQUATE DETAILSGIVEN, DETAILSNOT GIVEN, IMPORTANT DETAILS MISSED
5. RESULTS:	ACCEPTABLE, NOT ACCEPTABLE, TABLES, DIAGRAMS, AND LEGENDS; POOR PRESENTATION, WELL PRESENTED COULD HAVE BEEN IMPROVED
6. DISCUSSION:	POOR, GOOD, VERY GOOD, EXCELLENT
7. CONCLUSION:	INAPPROPRIATE, APPROPRIATE, REFLECT ORIGINALITY

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Evaluation report on the thesis submitted by the candidates for Ph.D. degree of SGPGIMS, Lucknow.

1. Name of the candidate: _____
2. Degree for which registered: _____
3. Subject of thesis _____

COMMENTS

_____

_____

_____

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8. GRADING: (Strike off which is not applicable)

☐ THESIS ACCEPTED ☐ NEEDS MODIFICATIONS ☐ REJECTED

9. SIGNATURE OF THE EXAMINER: _____
10. DATE: _____

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FORMAT FOR ORAL EXAMINATION PROCEEDINGS OF THE Ph.D. STUDENT

1. Name of Ph.D. student _____
2. Name of Department _____
3. Title of Thesis _____

_____

4. Summary of Ph.D. work _____
5. Recommendation of the examiners _____

_____	_____	_____	_____
Signature	Name	Signature	Name
(External Examiner)		(External Examiner)	

_____	_____
Signature	Name
(Internal Examiner)	

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Ref No._____ Dated: _____

Provisional Degree Certificate

This is to certify that Dr./Mr./Ms.

_____

S/o _____

Ph.D. student under Prof./Dr. _____

Guide, Department of _____

SGPGI, has submitted his/her thesis entitled _____

_____

on _____ *He/she has defended his/her thesis during the oral examination held on* _____

He/she has completed all the requirements for the award of Degree of Doctor of Philosophy. The degree will be awarded in the next Convocation.

_____

Dean

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Sanjay Gandhi Postgraduate Institute of Medical Sciences
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प्रवजन प्रमाण पत्र
MIGRATION CERTIFICATE

Sl. No.

श्री/कु/श्रीमती (Sri/Kum/Smt.)_____

पिता/पति का नाम (Father/Husband's Name)_____

माता का नाम (Mother's Name) _____

नामांकन संख्या (Enrollment No.)_____

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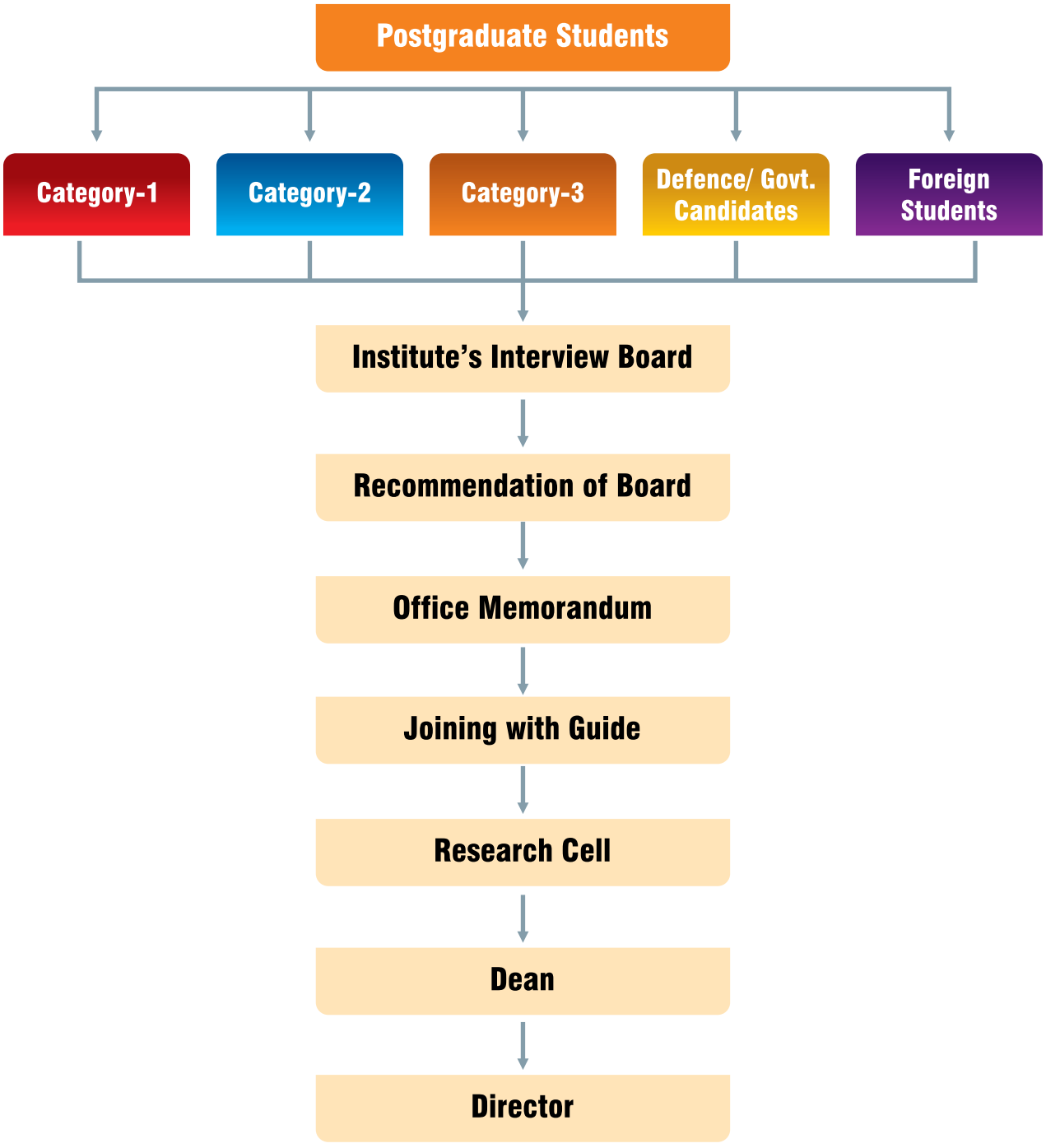
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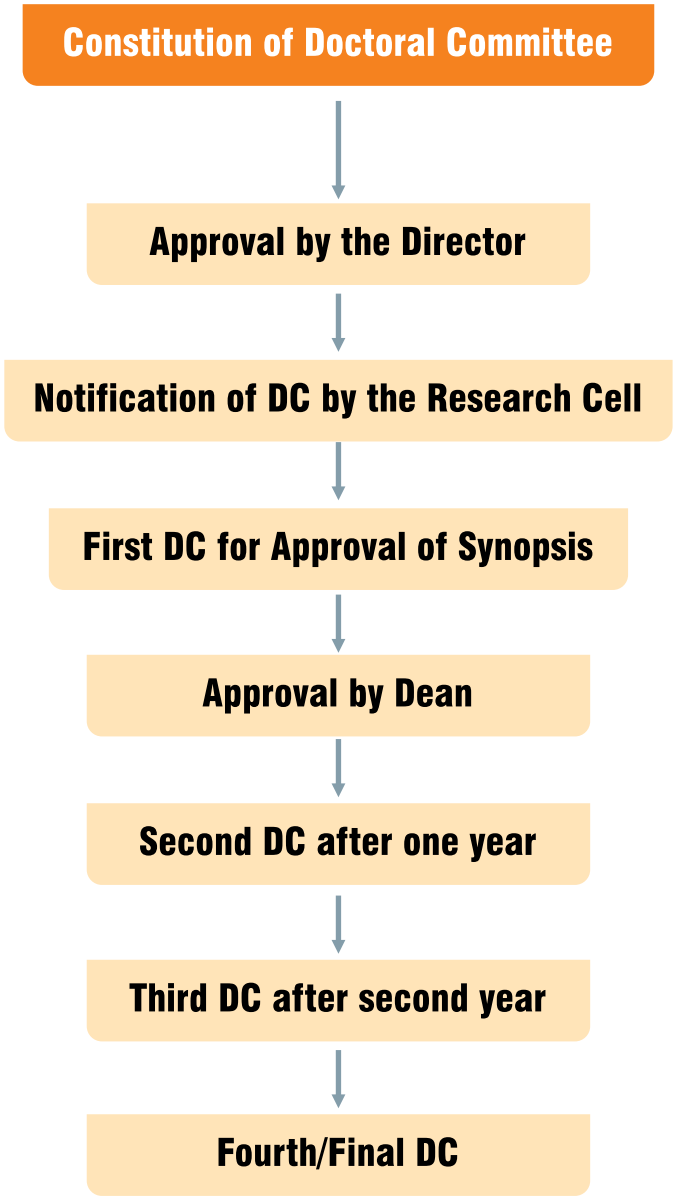
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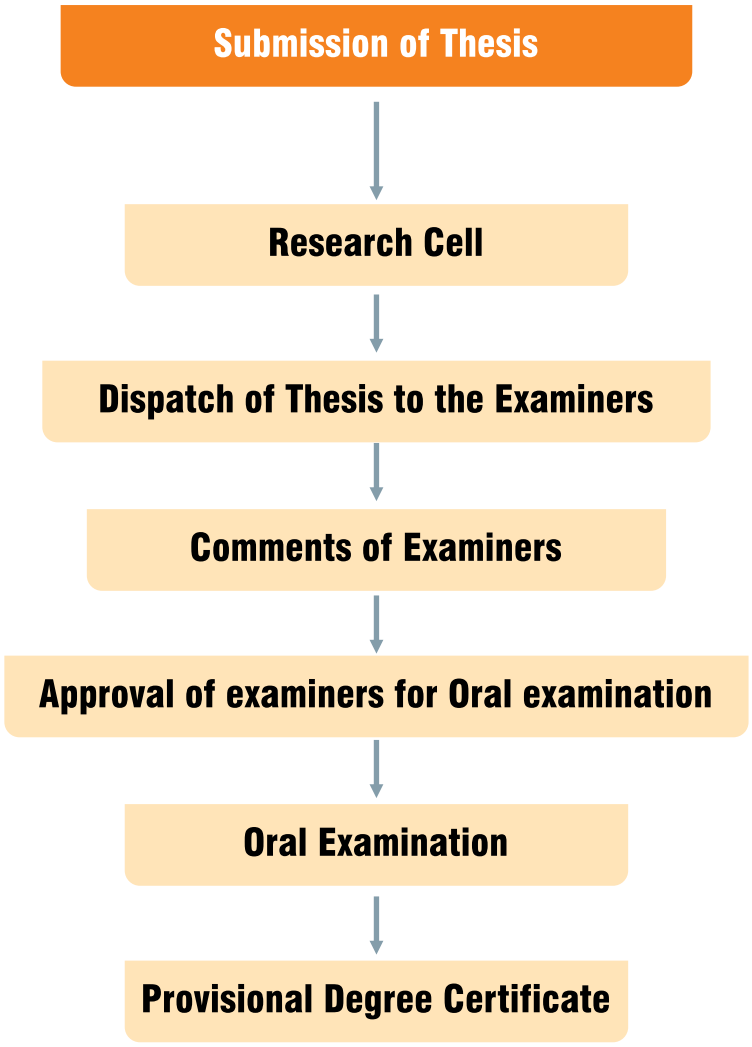
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FLOW CHART FOR DOCTORAL COMMITTEE



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