SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 132nd Institutional Ethics Committee meeting held on 26th May 2023, at 2:30 PM, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Present:

 Prof. M. K. Mitra, Retd. Professor, Dept. of Medicine, KGMU, Lucknow Prof. S. P. Ambesh, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow 	Chairperson Ex-Officio Member
3. Dr. Gautam Palit, Former Chief Scientist and Head, Dept. of Pharmacology, CSIR, Lucknow	Member
4. Dr. Arun Chaturvedi, Retd. Head, Dept. of Oncology, KGMU, Lucknow	Member
5. Dr. Mala Kumar, Professor, Department of Pediatric, KGMU, Lucknow	Member
6. Dr. Uday C. Ghoshal, Prof. & Head, Department of Gastroenterology, SGPGI MS, Lucknow	Member
7. Dr. Vinita Agarwal, Professor, Department of Pathology, SGPGIMS, Lucklnow	Member
8. Prof. Anand Vishwakarma, Professor of Law and Director Legal Cell, University of Lucknow	Member
Shri. Vijai Varma Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
10. Ms. Shalini Mathur, Secretary, Suraksha NGO, Lucknow	Member
11. Shri Sharad Jain, Shri Madhav Seva Ashram, Lucknow	Member
12. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
13. Mr. Anil Kumar Srivastava, Retd. Professor, Department of Sociology, University of Lucknow	Member
14. Dr. Shagun Mishra, Additional Professor, Department of Radiotherapy, SGPGIMS, Lucknow	Alternate Member Secretary
15. Dr. Durga P. Misra, Associate Professor, Department of Clinical Immunology, SGPGIMS, Lucknow	w Member Secretary

Determination of quorum: quorum was complete: 15 members present, with 10 non-institutional members.

A: General discussions and confirmation of Minutes:

Approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 131st IEC meeting held on 12.05.2023. All the members of IEC approved the minutes of the meeting.

ballon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

B. Review of New Proposals:

Agenda No. 1 IEC code: 2023-132-PhD-132

Type of submission: Project PhD

Title of project: Clinical, neurophysiological and metabolomic studies in the patients with subacute

combined degeneration.

PI: Dr Jayantee Kalita Department: Neurology

Primary reviewer (Technical): Dr. Uday C. Ghoshal Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Is a repeat CSF study indicated at three months? Please clarify

The CV of external co-investigator Dr Bikash Baishya is incomplete

In the PID:

1. Please clarify that the patient shall not be charged for extra tests being conducted for the purpose of the study alone.

2. The PID should be different for patients and for healthy controls

3. In the PID for the guardian/LAR, "you" has to be changed to "your relative/ ward/ patient"

Decision: Minor revision

Agenda No. 2 IEC code: 2023-133-IMP-132

Type of submission: Project Intramural

Title of project: DEVELOPMENT AND VALIDATION OF MULTI-PLEX REAL-TIME PCR FOR

ASSESSMENT OF TRANSCRIPTIONAL VARIABILITY OF HPA ALLELES

PI: Dr Dheeraj Khetan Department: Transfusion Medicine

Primary reviewer (Technical): Dr Gautam Palit

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved

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3. Dr. Gautam Palit, Former Chief Scientist and Head, Dept. of Pharmacology, CSIR, Lucknow	Member
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7. Dr. Vinita Agarwal, Professor, Department of Pathology, SGPGIMS, Lucklnow	Member
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Executive Registrar
SGPGIMS,Lucknow

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The CV of external co-investigator Dr Bikash Baishya is incomplete

In the PID:

1. Please clarify that the patient shall not be charged for extra tests being conducted for the purpose of the study alone.

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Decision: Minor revision

Agenda No. 2

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Type of submission: Project Intramural

Title of project: DEVELOPMENT AND VALIDATION OF MULTI-PLEX REAL-TIME PCR FOR

ASSESSMENT OF TRANSCRIPTIONAL VARIABILITY OF HPA ALLELES

PI: Dr Dheeraj Khetan Department: Transfusion Medicine

Primary reviewer (Technical): Dr Gautam Palit

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved

Type of submission: Project DM/MCh/MD

Title of project: Cardiovascular transition in late preterm & term neonates during early postnatal

IEC code: 2023-134-DM-132

period (first 72 hours of life): A prospective observational study

PI: Dr Anita Singh

Department: Neonatology

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID point number 6: "palan kiya jayega" should be "nigrani ki jayegi" or "nirakshan ki jayegi"

Consent form should have the name of the subject with their father's/ mother's name

Is it date of enrollment or date of birth? Please clarify and use the same in both English and Hindi ICFs.

Remove the first line on the PID. "Kindly refer to Table 3.2 for the essential elements of an informed consent document. For example, of PID in non-interventional studies, see appendix (AP7/V3). For 'Recommended Terms for use in Informed Consent Document', see appendix (AP12/V3)"

Point 14 of PID: the response is not appropriate. The details of what would be done should be provided. Please rewrite this part of the PID in its entirety.

The contact details of PI are required (not that of the student – this should not be mentioned). Also, the contact details of member secretary IEC with the official landline number of IEC should be mentioned.

Decision: Minor revision

Agenda No. 4

IEC code: 2023-135-EMP-132

Type of submission: Project Extramural

Title of project: Assessment of changes in Liver stiffness measurement and METAVIR fibrosis stage

after endovascular Intervention in Budd-Chiari Syndrome.

PI: Dr Rajanikant R Yadav

Department: Radiodiagnosis

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The study should be initiated only after securing funding so that the extra tests done for the purpose of research only are not charged to the patient.

In the paragraph 5 of the PID, please use the correct Hindi translation for "follow-up course"

Please provide informed consent forms in both English and Hindi.

Decision: Minor revision

Agenda No. 5 IEC code: 2023-136-IMP-132

Type of submission: Project Intramural

Title of project: Anthropometric measurements and analysis of the Auricle and determination of the

age of maturity of the ear in the Indian population

PI: Dr Anupama Singh Department: Plastic Surgery

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID should mention "your child is being invited" (not "you are being invited"

Decision: Minor revision

Agenda No. 6 IEC code: 2023-137-MD-132

Type of submission: Project DM/MCh/MD

Title of project: 68 Ga FAPI PET/CT as a potential tool for assessing liver fibrosis in chronic liver

disease: A pilot study

PI: Dr Manish Ora Department: Nuclear Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Is the product being used approved for this indication by regulatory authorities? Please clarify

Please clarify whether the cost of the procedure has to be borne by the patient.

Decision: Minor revision

Agenda No. 7 IEC code: 2023-138-PDCC-132

Type of submission: Project SRF/PDF/PDCC

Title of project: Study of clinico-pathological profile of skeletal oligometastatic breast cancer and its

management outcomes

PI: Dr Gyan Chand Department: Endocrine Surgery

Primary reviewer (Technical) Dr. Durga P. Misra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The waiver of consent form is incomplete. Please provide a complete form.

Highlight the importance of the study in the revised protocol.

Decision: Minor revision

Agenda No. 8 IEC code: 2023-139-MCh-132

Type of submission: Project DM/MCh/MD

Title of project: Patient perspective on Oncoplasty (reconstruction) Vs BCS (WLE) Vs MRM

following video counselling using story telling technique with animation characters

PI: Dr Sabaretnam Mayivaganan Department: Endocrine Surgery

Primary reviewer PID and CF: Dr. Shagun Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved

Agenda No. 9 IEC code: 2023-140-IP-132

Type of submission: Project Independent

Title of project: Bile Acid Synthetic Defects: Clinical Profile and Natural History

PI: Dr Moinak Sen Sarma

Department: Ped. Gastroenterology

Primary reviewer (Technical): Dr. Uday C. Ghoshal Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved

Agenda No. 10 IEC code: 2023-141-DM-132

Type of submission: Project DM/MCh/MD

Title of project: Prevalence of neuropsychiatric manifestations and delirium in Lupus
PI: Dr Able Lawrence

Department: Clinical Immunology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The patient information document should be expanded. At present, it appears to be too brief.

The PID for control mentions "you are a patient with SLE". Please modify this.

The PID for control should not mention standard of care as this is likely not relevant for a control subject.

Decision: Minor revision

Agenda No. 11 IEC code: 2023-142-EMP-132

Type of submission: Project Extramural

Title of project: Tofacitinib/ Sirolimus in refractory Still's disease

PI: Dr Anu Balakrishnan Department: Clinical Immunology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Is tofacitinib/ sirolimus approved by the DCGI for use in children between 16-18 years? Please clarify.

The PID should be provided in a structured format as this is a clinical trial.

The PID should clarify who shall pay for the drug and for adverse reactions arising from the use of the drug.

The PID should mention what adverse events can occur with the drug and whether these adverse events can be treated.

Decision: Minor revision

IEC code: 2023-143-IP-132

Type of submission: Project Independent

Title of project: Three dimensional reconstruction of the congenital cleft of ear lobule with lipo-

dermal flap

PI: Dr Anupama Singh

Department: Plastic Surgery

Primary reviewer (Technical): Dr Gautam Palit

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

It appears that your project has already been completed. The IEC considers projects before the work has been initiated. Completed work is not under the purview of the ethics committee for review.

Agenda No. 13

IEC code: 2023-144-IP-132

Type of submission: Project Independent

Title of project: correction of long standing flexion contracture of digits with surgical release and

distraction histogenesis

PI: Dr Anupama Singh

Department: Plastic Surgery

Primary reviewer PID and CF: Dr. Shagun Misra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

It appears that your project has already been completed. The IEC considers projects before the work has been initiated. Completed work is not under the purview of the ethics committee for review.

Agenda No. 14

IEC code: 2023-145-MD-132

Type of submission: Project DM/MCh/MD

Title of project: Comparison of Performance Characteristics of LMA ProSeal® and LMA BlockBuster® in Children Undergoing Surgical Procedures Under General Anaesthesia: A

Randomized Control Study

PI: Dr Ashish Kumar Kannaujiya Department: Anaesthesiology

Primary reviewer (Technical): Dr. Arun Chaturvedi Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The proposed project is a Randomized Controlled Trial comparing Oropharyngeal Leak Pressures(OLP) of two LMA devices for administering GA to children.

- The RCT is being conducted on children aged 1 to 12 years and will require permission from parents/LAR and documentation of verbal/oral assent for children 7-12 years of age in the presence of parents/LAR.
- Suggest adding a flow chart as per the SPIRIT guidelines for clinical trial protocols.
- Suggest adding details of primary statistical analysis planned for hypothesis testing.

Decision: Minor revision

Type of submission: Project Independent

Title of project: Comparison of clinical and laboratory features of children with Acute Viral

Hepatitis and acute hepatitis due to Tropical Infections

PI: Dr Ujjal Poddar Department: Ped. Gastroenterology

Primary reviewer (Technical): Dr. Uday C. Ghoshal

Subject: Project for review

Scientific issues and ethical issues were discussed.

Statement assuring the right of participants is not violated is missing from the waiver of consent form. Please provide the completed waiver of consent form.

Decision: Minor revision

Agenda No. 16

IEC code: 2023-147-IP-132

IEC code: 2023-146-IP-132

Type of submission: Project Independent

Title of project: Late onset Lupus Nephritis- A clinico-pathological and outcome study from a single

centre in India

PI: Dr Anupama Kaul Department: Nephrology

Primary reviewer (Technical) Dr. Durga P. Misra

Subject: Project for review

Scientific issues and ethical issues were discussed.

Decision: Approved

Agenda No. 17

IEC code: 2023-148-DM-132

Type of submission: Project DM/MCh/MD

Title of project: Randomized placebo-controlled trial of Psyllium fiber in functional abdominal pain

disorders in children

PI: Dr Ujjal Poddar Department: Ped. Gastroenterology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved

Type of submission: Project DM/MCh/MD

Title of project: To study the effect of propofol with or without dexmedetomidine on optic nerve sheath diameter (ONSD) in robotassissted pelvic laparoscopic surgeries: A randomized trial PI: Dr Sandeep Sahu

Department: Anaesthesiology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- Suggest adding a flow chart as per the SPIRIT guidelines for clinical trial protocols.

Please clarify the rationale for combining the two drugs

CTRI registration would be mandatory before the trial is initiated after obtaining ethics approval

Decision: Minor revision

Agenda No. 19

IEC code: 2023-150-MD-132

IEC code: 2023-152-IP-132

IEC code: 2023-149-MD-132

Type of submission: Project DM/MCh/MD

Title of project: A Predictive Score for screening of Retinopathy of Prematurity in preterm infants in

a tertiary care center **PI:** Dr Abhishek Paul

Department: Neonatology

Primary reviewer (Technical): Dr Gautam Palit

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Is it a prospective or a retrospective study? If there is a prospective component, then the submission of a PID and ICF would be essential.

Decision: Minor revision

Agenda No. 20 IEC code: 2023-151-IP-132

Type of submission: Project Independent

Title of project: When less is more! The Outcomes and Surgical Nuances of Minimally Invasive

Parotid Surgery for Pleomorphic Adenoma.

PI: Dr M. Ravi Shankar Department: Neurosurgery

Primary reviewer (Technical): Dr. Arun Chaturvedi

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

It appears that your project has already been completed. The IEC considers projects before the work has been initiated. Completed work is not under the purview of the ethics committee for review.

Agenda No. 21

Type of submission: Project Independent

Title of project: Etiological spectrum of gastric outlet obstruction and outcome of endoscopic balloon

dilatation of pyloric stenosis in children: a retrospective study

PI: Dr Ujjal Poddar Department: Ped. Gastroenterology

Primary reviewer (Technical): Dr. Uday C. Ghoshal

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved

Type of submission: Project DM/MCh/MD

Title of project: BODY COMPOSITION, NUTRITIONAL STATUS, FRAILTY AND QUALITY OF LIFE IN PATIENTS WITH END STAGE RENAL DISEASE (ESRD) ON MAINTENANCE

HEMODIALYSIS (MHD) AT A TERTIARY CARE CENTRE IN NORTH INDIA

PI: Dr Narayan Prasad

Department: Nephrology

Primary reviewer (Technical): Dr. Mala Kumar Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The period of the study is mentioned as November 2021 to May 2023. Is it prospective or retrospective? If retrospective, then a waiver of consent form would be required.

Decision: Minor revision

Agenda No. 23

IEC code: 2023-154-DM-132

IEC code: 2023-153-DM-132

Type of submission: Project DM/MCh/MD

Title of project: Cirrhotic cardiomyopathy in children with chronic liver diseases: Correlation with

severity of liver diseases and its effect on outcome

PI: Dr Anshu Srivastava Department: Ped. Gastroenterology

Primary reviewer (Technical): Dr. Mala Kumar Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Decision: Approved

Agenda No. 24 IEC code: 2023-155-MCh-132

Type of submission: Project DM/MCh/MD

Title of project: Efficacy and Safety of Gravity Dependent and Hand Held Push Injection Methods of

Contrast Cholangiography: Validation of A Pilot Study

PI: Dr Rajan Saxena Department: Surgical Gastroenterology

Primary reviewer (Technical): Dr. Uday C. Ghoshal Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Which patient shall get the Gravity Dependent and which shall get Hand Held Push Injection Methods? How shall this be decided? If randomization would be done, then it would be a RCT which would have to be written as per SPIRIT guidelines.

How was the sample size decided upon? Since you have already done a pilot, you could use this data from the pilot for sample size calculation.

The IEC recommends that there should be a co-investigator from Radiodiagnosis since the procedure shall be done there.

Decision: Minor revision.

Type of submission: Project DM/MCh/MD

Title of project: Epidemiology and outcomes of Extra-Pulmonary Fungal Infections among Renal

IEC code: 2023-156-DM-132

Transplant Recipients

Department: Nephrology PI: Dr Anupama Kaul

Primary reviewer PID and CF: Dr. Shagun Misra

Subject: Project for review

Scientific issues and ethical issues were discussed.

Mention the estimated sample size.

Decision: Minor revision.

IEC code: 2023-157-DM-132 Agenda No. 26

Type of submission: Project DM/MCh/MD

Title of project: Nocardia infection in Kidney transplant recipients: A Tertiary Care Experience

Department: Nephrology PI: Dr Anupama Kaul

Primary reviewer (Technical) Dr. Durga P. Misra

Subject: Project for review

Scientific issues and ethical issues were discussed.

Decision: Approved

Agenda No. 27

IEC code: 2023-158-MD-132

Type of submission: Project DM/MCh/MD

Title of project: To study the feasibility of DARS optimized IMRT in pharyngeal cancers treated by neoadjuvant chemotherapy followed by concurrent CTRT - A dosimetric exercise on CT data sets of

already treated patients.

Department: Radiotherapy PI: Dr Punita Lal

Primary reviewer PID and CF: Dr. Shagun Misra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The waiver of consent form is incomplete. Please provide a completed form.

The duration of the study is mentioned as April 2023 to 31st March 2025. Is it a prospective or a retrospective study? If prospective, then it would require a PID and ICF to be synthesized.

Decision: Minor revision

Agenda No. 28

Type of submission: Project DM/MCh/MD

Title of project: Feasibility Of Taxane based Chemo-Radiotherapy in Ca Esophagus - A Prospective

IEC code: 2023-159-MD-132

Department: Radiotherapy

Observational study

PI: Dr Shaleen Kumar

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Decision: Approved

Agenda No. 29 IEC code: 2023-160-MD-132

Type of submission: Project DM/MCh/MD

Title of project: Oligometastatic Breast Cancer: Analysis of practice patterns, outcomes and creation

of prospective database

PI: Dr Shagun Misra Department: Radiotherapy

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PI has provided a waiver of consent form for the retrospective part of the study.

Decision: Approved

Agenda No. 30 IEC code: 2023-160-MD-132

Type of submission: Project DM/MCh/MD

Title of project: Measurement of Bone Loss after Initiation of Androgen Deprivation Therapy with

Radiation Therapy or Surgery in patients with Prostate Cancer

PI: Dr Sushma Agrawal Department: Radiotherapy

Primary reviewer PID and CF: Dr. Shagun Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The PID should mention the name and contact details of the PI and the current member secretary.

Decision: Minor revision

In addition, the following projects which were approved in the Expedited Review Committee meeting on 27-04-2023 were ratified by the Full Board of the IEC.

Agenda No. 3 IEC code: 2023-100-DM-EXP-52

Type of submission: Project DM/MCh/MD

Title of project: Serum BCMA in multiple myeloma and correlation with serum free light chain for

response to therapy

PI: Dr Rajesh Kashyap Department: Clinical Hematology

Agenda No. 7 IEC code: 2023-92-MCh-EXP-52

Type of submission: Project DM/MCh/MD

Title of project: "Recurrence of pain after drainage procedure for chronic pancreatitis-A retrospective

and prospective study"

PI: Dr Rajneesh Kumar Singh

Department: Surgical Gastroenterology

Agenda No. 9

IEC code: 2023-97-DM-EXP-52

Type of submission: Project DM/MCh/MD

Title of project: Role of peripheral blood neutrophil to lymphocyte ratio in severity and prognosis of

hemorrhagic stroke

PI: Dr Ruchika Tandon

Department: Neurology

Agenda No. 12

Type of submission: Project PhD

Title of project: Systematic and Comprehensive Re-analysis of Unsolved Genomic Sequencing Data

in a Research Cohort of Mendelian Disorder

PI: Dr Moirangthem Amita

Department: Medical Genetics

IEC code: 2023-96-IP-EXP-52

IEC code: 2023-86-PhD-EXP-52

Agenda No. 16

Type of submission: Project Independent

Title of project: Profile of children with cryptogenic multifocal ulcero-stenosing small bowel

enteropathy (CMUSE)

PI: Dr Moinak Sen Sarma

Department: Ped. Gastroenterology

IEC code: 2023-102-EMP-EXP-52

Agenda No. 18

Type of submission: Project Extramural

Title of project: Hepatic parenchymal extinction in extrahepatic portal venous obstruction

PI: Dr Moinak Sen Sarma

Department: Ped. Gastroenterology

Agenda No. 19

IEC code: 2023-103-EMP-EXP-52

Type of submission: Project Extramural

Title of project: Utility of Contrast-Enhanced Mammography (CEM) in monitoring response to

Neoadjuvant Chemotherapy in Breast Cancer Patients

PI: Dr Namita Mohindra

Department: Radiodiagnosis

IEC code: 2023-104-EMP-EXP-52

Agenda No. 20

Type of submission: Project Extramural

Title of project: Role of gut microbial dysbiosis, small intestinal bacterial overgrowth and immune

activation in non-alcoholoc fatty liver disease: A case-control study.

PI: Dr Gaurav Pandey

Department: Gastroenterology

(Dr. Durga P. Misra) Member Secretary, IEC, SGPGI (Dr. M.K. Mitra) Chairman, IEC, SGPGI

Sanjay Gandhi Postgraduate Institute Of Medical Sciences, Lucknow

PGI/BE/IEC/ /2023 Date: 06-May-23

MEETING NOTICE

131st Institutional Ethics Committee meeting is scheduled to be held on 12th May 2023 at 02:30 PM in a hybrid mode. The venue of this meeting is Committee Room of the Guest House, SGPGI Lucknow. Following members are requested to be present either physically or online.

Meeting ID and passcode will be communicated.

Distribution: (A)

1. Prof. M. K. Mitra, Retd. Professor, Dept. of Medicine, KGMU, Lucknow	Chairperson
2. Prof. S. P. Ambesh, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow	Ex-Officio Member
3. Dr. Gautam Palit, Former Chief Scientist and Head, Dept. of Pharmacology, CSIR, Lucknow	Member
4. Dr. Arun Chaturvedi, Retd. Head, Dept. of Oncology, KGMU, Lucknow	Member
5. Dr. Mala Kumar, Professor, Department of Pediatric, KGMU, Lucknow	Member
6. Dr. Uday C. Ghoshal, Prof. & Head, Department of Gastroenterology, SGPGI MS, Lucknow	Member
7. Dr. Vinita Agarwal, Professor, Department of Pathology, SGPGIMS, Lucklnow	Member
8. Prof. Anand Vishwakarma, Professor of Law and Director Legal Cell, University of Lucknow	Member
9. Shri. Vijai Varma Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
10. Ms. Shalini Mathur, Secretary, Suraksha NGO, Lucknow	Member
11. Shri Sharad Jain, Shri Madhav Seva Ashram, Lucknow	Member
12. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
13. Mr. Anil Kumar Srivastava, Retd. Professor, Department of Sociology, University of Lucknow	Member
14. Dr. Shagun Misra, Additional Professor, Department of Radiotherapy, SGPGIMS, Lucknow	Alternate Member Secretary
15. Dr. Durga P. Misra, Associate Professor, Department of Clinical Immunology, SGPGIMS, Luckno	ow Member Secretary

(B)

- 1. All Principal Investigators & Guides SGPGI
- 2. Director, SGPGI-for information please
- 3. Guest House Manager
- 4. JAO (Research)

(Dr. Durga P. Misra) Member Secretary IEC, SGPGI

Salon

A: Confirmation of minutes of previous meeting

Approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 130th IEC meeting held on 31.03.2023. All the members of IEC approved the minutes of the meeting.

B. Review of New Proposals:

Agenda No. 1 IEC code: 2023-104-EMP-131

Type of submission: Project Extramural

Title of project: Comparison of parenteral to oral split-dose methotrexate in terms of efficacy and intracellular

methotrexate polyglutamate levels - A randomized controlled trial

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

To modify the title of the project

Comparison of parenteral to oral split-dose methotrexate in terms of efficacy and intracellular methotrexate

polyglutamate levels in patients of Rheumatoid arthritis - A randomized controlled trial.

Please provide the PID in Hindi

Decision: Minor revision.

Agenda No. 2

Type of submission: Project Extramural

Title of project: An analysis of the differential expression of Th17 lymphocytes and their novel subpopulations, Th17.1

and PD1+ Th17, in the context of autoimmune hepatitis and does they play a role in drug non-

responsiveness

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

Hindi PID and English PID to be simplified. Title of the project should be simplified in the Consent form

IEC code: 2023-105-EMP-131

IEC code: 2023-106-DM-131

in Hindi.

Decision: Minor Revision

Agenda No. 3

Type of submission: Project DM/MCh/MD

Title of project: EUS-Guided Choledochoduodenostomy Vs ERCP FCSEMS For Preoperative Biliary Drainage In

Malignant Biliary Obstruction: A Randomised Controlled Study

Comments

Scientific issues, ethical issues and informed consent documents were discussed.

To specify in the PID whether both the procedures are standard of care and whether any additional costs will be borne by the patients. Additionally comment on the procedural costs, whether they are

different between the arms. CTRI registration should be done after ethics approval.

Decision: Minor Revision

Lt Col Varun Bajpai VSM
Executive Registrar
SGPGIMS, Lucknow

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Type of submission: Project Extramural

Title of project:

Development of patient derived organoid bio-bank as a resource for drug response studies and

precision medicine applications in triple negative breast cancer

Comment: Scientific issues, ethical issues and informed consent documents were discussed. There

IEC code: 2023-107-EMP-131

IEC code: 2023-108-EMP-131

IEC code: 2023-109-MD-131

IEC code: 2023-110-DM-131

were no major ethical concerns.

Decision: Approved

Agenda No. 5

Type of submission: Project Extramural

Title of project:

Prevelance and species identification of Leptospira infections among acute febrile illness cases and

tracking of transmission sources using one health approach

Comment: Scientific issues, ethical issues and informed consent documents were discussed.

Hindi PID to be simplified and resubmitted

Decision: Minor Revision

Agenda No. 6

Type of submission: Project DM/MCh/MD

Title of project: Bacterial profile of wound site infections and evaluation of risk factors for sepsis among road traffic

accident (RTA) patients from Apex Trauma Centre, Northern India

Comment: Scientific issues, ethical issues and informed consent documents were discussed.

Simplify and resubmit Hindi and English PID.

Decision: Minor Revision

Subject: Project for review

Agenda No. 7

Type of submission: Project DM/MCh/MD

Title of project: Clinical Characteristics, Diagnosis and Management of the Patients of Idiopathic Intracranial

Hypertension Comments:

Scientific issues, ethical issues and informed consent documents were discussed.

Primary objective is not reflected in the title.

Modify the title to indicate the assessment of the value of surgery in acetazolamide refractory cases. Modify the Hindi and English PID accordingly. To mention in the PID regarding any additional costs to

the patient as part of participation in the study.

DecisionL Major Revision

Lt Col Varun Bajpai VSM Executive Registrar

SGPGIMS, Lucknow

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IEC code: 2023-111-MD-131 Agenda No. 8

Type of submission: Project DM/MCh/MD

Comparison of CT and Robot assisted CT guided celiac plexus neurolysis (CPN)in cases of upper Title of project:

abdominal malignancy: Prospective Randomised comparative parallel trial

Comment: Scientific issues, ethical issues and informed consent documents were discussed. Modify Hindi PID to clarify about side effects. CTRI registration after ethics approval is mandatory.

Decision: Minor revision.

Subject: Project for review

IEC code: 2023-112-IP-131 Agenda No. 9

Type of submission: Project Independent

Title of project: A randomized crossover study to compare the performance characteristics of two sequential sizes of I-

gel in anaesthetized paralyzed patients

Comments: Scientific issues, ethical issues and informed consent documents were discussed. Hindi and English PID to be modified to remove "Kindly refer to..." and simplified (such as simple language for endotracheal intubation). Mention in the PID that there will be no financial burden on the patient. CTRI registration after ethics approval is mandatory.

Decision: Minor revision.

Subject: Project for review

IEC code: 2023-113-DM-131 Agenda No. 10

Type of submission: Project DM/MCh/MD

Title of project: Effect of Diuretics, Midodrine & beta blockers in Hemodynamics of Chronic Liver Disease with ascites:

A Pilot Study

Comments:

Scientific issues, ethical issues and informed consent documents were discussed.

Hindi and English PID to be simplified and resubmitted. PID in certain instances uses past tense, please

IEC code: 2023-114-IP-131

use the future tense. PID to mention about additional tests and added costs to the patient by

participating in the study. PI to clarify whether 3 monthly Ultrasound and blood tests are standard of

care.

Minor Revision

Agenda No. 11

Type of submission: Project Independent

Intravesical Dexmedetomidine for prevention of post-operative catheter related bladder dysfunction Title of project:

in renal transplant recipients- a prospective randomized double-blind, placebo-controlled study.

Scientific issues, ethical issues and informed consent documents were discussed.

Title: is is "discomfort" or "dysfunction"? Please clarify.

Modify PID, Question 6: Study over in 24hrs and Question 10; document side effects of intravesical

administration of the drug. . CTRI registration after ethics approval is mandatory.

Decision: Minor revision.

Subject: Project for review

Agenda No. <u>12</u> IEC code: 2023-115-PhD-131

Type of submission: Project PhD

Title of project: Understanding arterial wall fibrosis in Takayasu arteritis: role of macrophages in driving the activation

of aortic adventitial fibroblasts and exploration of therapeutic targets

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

Modify PID for controls Decision: Minor revision.

Subject: Project for review

Agenda No. <u>13</u> IEC code: 2023-116-IMP-131

Type of submission: Project Intramural

Title of project: Understanding arterial wall fibrosis in Takayasu arteritis: Effect of sera from TAK on fibrotic pathways

in aortic adventitial fibroblasts, and its potential therapeutic modulation.

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

Modify PID for controls Decision: Minor revision.

Agenda No. 14

IEC code: 2023-117-EMP-131

Type of submission: Project Extramural

Title of project: Circulating monocyte/ macrophage phenotype, gene expression profile, and in vivo arterial wall

macrophage activity using SST2 PET as markers of Takayasu arteritis disease activity

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

Modify PID for controls Decision: Minor revision.

Agenda No. 15

IEC code: 2023-118-EMP-131

Type of submission: Project Extramural

Title of project: Role of Proteomic Biomarkers in Early Fetal Growth Restriction

Scientific issues, ethical issues and informed consent documents were discussed.

Please clarify whether the third objective is to be carried out on blood or on placenta.

Please clarify the number of patients and controls. Please present the study in the form of a flowchart.

Decision: Minor revision.

Vsapon Rojaci VS

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

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Type of submission: Project PhD

Title of project: Investigating the RPTOR gene protein expression as a function of epigenetic regulation in Gastric

Adenocarcinoma

Comment: Provide waiver of consent form for patients enrolled from stored samples.

The PID should correctly mention the details of member secretary.

Subject: Project for review

IEC code: 2023-120-SRF-131 Agenda No. 17

Type of submission: Project SRF/JRF

Title of project: Evaluating CD4+ T lymphocyte-macrophage cross-talk in the pathogenesis of arterial wall fibrosis in

Takayasu arteritis and its therapeutic modulation.

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

IEC code: 2023-119-PhD-131

Modify PID for controls Decision: Minor revision.

Subject: Project for review

IEC code: 2023-121-EMP-131 Agenda No. 18

Type of submission: Project Extramural

Role of Urinary Tissue Inhibitor of Metalloproteinase-2 and Insulin-Like Growth Factor Binding Title of project:

Protein- 7 in early prediction of Acute Kidney Injury in critically ill neonates.

Comments:

Scientific issues, ethical issues and informed consent documents were discussed.

Modify language in the PID as "your child" is being invited to take part in the study. Modify responses

to question 7 and 15 in the PID.

Decision: Minor revision.

Subject: Project for review

IEC code: 2023-122-MD-131 Agenda No. 19

Type of submission: Project DM/MCh/MD

Title of project: Effect of single bolus dose of ketodex and ketofol on emergence agitation in patients undergoing

transsphenoidal pituitary surgery: A prospective double blinded randomized clinical trial

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

Minor translational errors in Hindi PID need to be rectified and resubmitted.

Decision: Minor revision

Type of submission: Project Drug/device trials

Title of project:

A Phase 3, Randomized, Double-Blind, Double-Dummy, Parallel Group, Active Controlled Study to Evaluate the Efficacy and Safety of Milvexian, an Oral Factor XIa Inhibitor, Versus Apixaban in Participants with Atrial Fibrillation

IEC code: 2023-123-DT-131

Scientific issues, ethical issues and informed consent documents were discussed.

Comments of legal reviewer:

1- The Sponsor, Janssen Research and Development, LLC,USA, is shown as a party but it is not a signatory to the CTA.Instead Iqvia RDS,India, Pvt. Ltd.,is shown as an authorized signatory on behalf of the Sponsor. This may create problems if there is any breach of the provisions of the CTA by either the Sponsor or The Iqvia, its authorized representative. Besides, it transpires that a separate agreement has been been reached between the Sponsor and the Iqvia RDS as CRO to act on behalf of Sponsor as its authorized representative but that agreement is not on record to assess its impact on the instt. CTA and the consequential discharge of the responsibilities and liabilities of the Sponsor. Hence let the copy of that separate agreement between the Sponsor and its representative Iqvia RDS be filed for making a proper assessment of its impact on the CTA.

2- In Cl.14 of the CTA, it is provided that the jurisdiction of the courts will be at Mumbai in case of any unsettled dispute arising out of the provisions of the CTA. In fact as the study is to be conducted in

Lucknow, hence the jurisdiction of the courts should be at Lucknow instead of Mumbai.

3- In the Insurance policy, the insured is shown as Johnson and Johnson Pvt ltd, whereas the name of the Sponsor is Janssen Research and Development LLC. Why is it so,has got to be explained.

No other issue is discernible.

Decision: Minor revision

Subject: Project for review

Agenda No. 21

Type of submission: Project DM/MCh/MD

Type of submission:

Title of project: Norepinephrine versus epinephrine for fluid refractory septic shock in neonates: A Randomized

Controlled Trial (Pilot Study)

Comments:

Scientific issues, ethical issues and informed consent documents were discussed. Title should include "reversal of fluid refractory septic shock within an hour".

Since participants up to 3 months are included, title should include neonates and infants.

Blinding might be feasible: Pi may consider incirporating this in the study design.

PID should include PI contact details (not student's details) and also details of member secretary,

ethics committee.

Modify language in the PID as "your child" is being invited to take part in the study. Modify responses

to question 7 and 15 in the PID

Decision: Minor revision

Subject: Project for review

Agenda No. 22

Type of submission: Project DM/MCh/MD

Title of project:

IEC code: 2023-125-MD-131

IEC code: 2023-124-DM-131

Lt Col Varun Bajpai VSM
Executive Registrar
SGPGIMS,Lucknow

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EVALUATION OF PREOPERATIVE ACUPRESSURE ON ACUPOINTS ON PROPOFOL INDUCTION DOSE: A SINGLE BLIND, RANDOMISED CONTROLLED STUDY

Comments: Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 23

Type of submission: Project Extramural

Title of project:

Role of Extracellular Vesicles in the Susceptibility and Recurrence of Urinary Tract Infections in

IEC code: 2023-126-EMP-131

Children: A Prospective Cohort Study

Comments:

Scientific issues, ethical issues and informed consent documents were discussed. Title should include "urinary" ECVs and "diagnosis" rather than "susceptibility".

Hindi PID requires modification – Hindi PID title should be the title in Hindi rather than writing the

English title in Hindi. **Decision: Minor revision**

Agenda No. 24 IEC code: 2023-127-EMP-131

Type of submission: Project Extramural

Title of project: Factors associated with sudden deaths among adults aged 18-45 years, India: Multicentric matched

case-control study

PI: Dr Alka Verma

Department: Emergency Medicine

Primary reviewer (Technical): Dr Vinita

Agrawal Primary reviewer PID and CF: Ms.

Shalini Mathur

Subject: Project for review

Comments: Scientific issues, ethical issues and informed consent documents were discussed. ICMR approved study. There were no major ethical concerns.

Approved by ethics

Agenda No. 50 IEC code: 2023-128-DM-131

Type of submission: Project DM/MCh/MD

Title of project: Colon transit study for assessment of chronic constipation and therapy directed against fecal

evacuation disorder by biofeedback with or without transcutaneous electrical stimulation of posterior

tibial nerve

PI: Dr U C Ghoshal Department: Gastroenterology

Primary reviewer (Technical): Dr. Mala Kumar

Primary reviewer PID and CF: Dr A K

Srivastava

Subject: Project for review

Comments: Scientific issues, ethical issues and informed consent documents were discussed. There were no major ethical concerns. Approved.

Agenda No. 51 IEC code: 2023-94-IP-131

Type of submission: Project Independent

Title of project: Improving Patient Care and Operational Efficiency with Shared Medical Appointment: Evidence from

Randomized Control Trial in Pediatric Urology

PI: Dr Priyank yadav Department: Urology

Primary reviewer (Technical): Dr. Mala Kumar

Primary reviewer PID and CF: Dr. Manuka

Khanna

Subject: Project for review

Comments:

Scientific issues, ethical issues and informed consent documents were discussed.

Privacy and confidentiality issues were discussed and the ethics committee suggested change in study design as shared decision making approach violates confidentiality and privacy of the participants. The PI might like to redesign the submit and resubmit as a new proposal.

D. Notification for Amendments/Addendum:

Agenda No. 25

IEC code: 2019-110-DM-109

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

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Type of submission: Notification of Amendments/Addendum

Title of project: Comparison of efficacy and safety of Low (20 mg) vs High (40mg) dose oral Prednisolone in Complex

Regional Pain Syndrome

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Amendment report (Reporting date 01-05-2023)

Noted and approved.

Agenda No. 26 IEC code: 2018-1-OTHERS-EXP

Type of submission: Notification of Amendments/Addendum

Title of project: Indian Registry in Ischemic Stroke with Tenecteplase (TNK tPA)

PI: Dr Jayantee Kalita Department: Neurology

Subject:

Study related Documents(1. DCGI Approval letter, Version not applicable, 2. Version Dated 04 Nov 2022, Amend Active PMS Study Protocol Version 2.1, Version Dated 29 Oct 2022, 3. Summary of Changes in Protocol Version not applicable, Version dated 03 Nov 2022, 4. ICF-English Hindi and local language, Versiondated 31 Oct 2022, 5. ICF Traslation Certificates: English -Local Language-English translation. Version 2.1, Version Dated 31 Oct 2022 and 6. ICF back traslation from English -Local Language-English t Version 2.1, Version Dated 31 Oct 2022).

Since there is a change in participant PID, DCGI should be notified. **Noted and approved.**

E. Notification for protocol deviation:

Agenda No. 27 IEC code: 2020-329-DT-117

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to

evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita Department: Neurology

Subject: Protocol deviation (Subject no 41050100and 41050266).

Noted and approved.

F. General Notification:

approved.

Agenda No. 29 IEC code: 2020-196-DT-115

Type of submission: General Notification

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV® (velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Noted and

Subject: General Notification (Updated CIOMS from dated 22.03.2023 for the Subject 406-009-0002).

Agenda No. <u>30</u> **IEC code:** 2021-180-DT-121

Type of submission: General Notification

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of

ofatumumab and sipponimod versus fingolimod in pediatric patients with multiple sclerosis followed by

an open-label extens on

PI: Dr Jayantee Kalita Department: Neurology

Subject: Investigtor Undertaking dated 18 Feb 2023.

Noted and approved.

Agenda No. 31 IEC code: 2022-179-DT-129

Type of submission: General Notification

Title of project: A Prospective, openlabled, Multicenter, Observational, Registry of ISAR SUMMIT IN CAD Patients

undergoing PCI in real world indian population (TRANSEVER REGISTRY).

PI: Dr Sudeep Kumar

Kumar Department: Cardiology

Noted and approved.

Subject: Notification of signed CTA copy.

Agenda No. 32 IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy

and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A

Nephropathy

PI: Dr Narayan Prasad Department: Nephrology

Noted and approved.

Subject: Study related documents (1. Brief Fatigue Inventory (BFI) Hindi - Translation certificate dated 17 sep 2009, 2. Patients facing Materials provided to Study participants for 24 Hr urine collection English version 3.0 dated 02 Aug 2022, 3. 24-hours Urine collection Instruction and Diary _3.0_27 Jan2022; Patient ID and Emergency Card _1.0_23Nov2021; Spot Urine Collection Instructions and Diary _3.0_37 Jan 2022 - Hindi-Translation certificate dated 24 Feb 2022, 4. Certificate of Insurance CT 21044241A-417-20100007 upadted dated 04 Jul 2022).

Agenda No. 33 IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy

and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A

Nephropathy

PI: Dr Narayan Prasad Department: Nephrology

Noted and approved.

Subject: Memorandum to notify the error in Country and Site level ICF for IEC Notification.

Agenda No. <u>34</u> IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy

and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A

Nephropathy

PI: Dr Narayan Prasad Department: Nephrology

Subject: Study related documents review and approval V4.1.1 dated 13 Apr 2022 etc.

Since there is a change in participants and additionally pharmacokinetic/ pharmacodynamic studies shall be carried out, DCGI should be informed.

Noted and approved.

Agenda No. 35 IEC code: 2017-47-DT-96

Type of submission: General Notification

Title of project: Investigation of Rheumatic AF Treatment Using vitamin K antagonists, rivaroxaban or aspirin Studies.

(INVICTUS)

PI: Dr Sudeep Kumar

Department: Cardiology

Subject: IEC notification of DSMB letter dated 01 February 2022 and study Site Close out

Noted and approved.

Agenda No. 36

IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO

EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad

Department: Nephrology

Subject: Investigator Brochure Ed: 6 (reporting date 12.04.2023).

Noted and approved.

Agenda No. 37

IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO

EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad

Department: Nephrology

Subject: Name change in central Laboratory (Labcorp Development (Asia) Pte. Ltd.)

Noted and approved.

Agenda No. 38

IEC code: 2020-196-DT-115

Type of submission: General Notification

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV® (velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Subject: DCGI Approval Letter on Protocol Amendment 4 dated 25 April 2023

Noted and approved.

Agenda No. 39 IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO

EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATH

PI: Dr Narayan Prasad

Department: Nephrology

Subject: DSMD form (1. Date of Review meeting: 8 Jul 2021, 2. Date of Review meeting: 9 Sep 2021, 3. Date of Review meeting: 28 Oct 2021, 4. Date of Review meeting: 15 Mar 2022 and 5. Date of Review meeting: 6 Sep 2022)

Noted and approved.

Agenda No. 40

IEC code: 2020-329-DT-117

Type of submission: General Notification

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to

evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Investigator Undertaking dated 24 Apr 2023

Noted and approved.

Agenda No. 41

IEC code: 2021-346-DT-124

Type of submission: General Notification

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the

Safety and Efficacy of Agalsidase alfa (r-DNA origin) (Replagal™) in Indian Children and Adults With

Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal

Department: Medical Genetics

Subject: General Notification (EXPANDED (Final) e CRF Version 1 Dated 15 Dec 2021)

Noted and approved.

G. SAE:

Agenda No. 28

IEC code: 2020-286-DT-116

Type of submission: SAE reports-Outside-Log

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO

EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad

Department: Nephrology

Subject: Notification of CIOMS from dated 01 Nov 2022 to 31 Jan 2023 (Follow up 10 and Patient ID 1703-002)

Noted and approved.

Lt Col Varun Bajpai VSM
Executive Registrar
SGPGIMS, Lucknow

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Agenda No. 49 IEC code: 2017-173-IMP-99(B)

Type of submission: Study Close out Notification

Title of project: To study the phenotypic spectrum of a cohort of patients with Parry Romberg syndrome and to

identify the copy –number variations by cytogenetic microarray.

PI: Dr Deepti Saxena Department: Medical Genetics

Subject: Study Comletion report (Date of termination: 31-12-2021)

Noted and approved.

H. Study Final/Annual/Progress reports /Close out Notification:

Agenda No. 42 IEC code: 2019-188-IMP-113

Type of submission: Final/Annual/Progress reports

Title of project: EVALUATION OF EOSINOPHILIC INFLAMMATION IN PATIENTS OF CHRONIC OBSTRUCTIVE PULMONARY

DISEASE

PI: Dr Mansi Gupta Department: Pulmonary Medicine

Subject: Annual Progress report dated 06-04-2023

Noted and approved.

Agenda No. 43 IEC code: 2020-196-DT-115

Type of submission: Final/Annual/Progress reports

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV® (velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Subject: Annual report dated 29-03-2023

Noted and approved.

Agenda No. 44 IEC code: 2020-33-IMP-114

Type of submission: Final/Annual/Progress reports

Title of project: Effects of catecholamine excess on glucose and fat metabolism in Pheochromocytoma &

Paraganglioma patients and their reversal following surgical cure: A Prospective Cohort Study

PI: Dr Gaurav Agrawal Department: Endocrine Surgery

Subject: Annual report dated 15-12-2022

Noted and approved.

Agenda No. <u>45</u> IEC code: 2020-19-EMP-114

Type of submission: Study Close out Notification

Title of project: An open label randomized controlled trial to compare the serological response to 1.0 ml versus 2.0 ml

intramuscular four doses schedule of hepatitis B vaccine in pre-dialysis chronic kidney disease patients

with eGFR below 60 ml/min

PI: Dr Amit Goel

Department: Hepatology

Subject: Premature termination report dated 23rd April 2023

Noted and approved.

Agenda No. 46

IEC code: 2022-25-DT-125

Type of submission: Study Close out Notification

Title of project: A streamlined, multicentre, randomized, parallel group, double-blind placebo-controlled superiority

trial to evaluate the effect of EMPAgliflozin on hospitalisation for heart failure and mortality in

patients with aCuTe Myocardial Infarction

PI: Dr Sudeep Kumar

Department: Cardiology

Subject: Premature termination report (Date of termination 2 Feb 2023)

Noted and approved.

Agenda No. 47

IEC code: 2019-46-EMP-108

Type of submission: Study Close out Notification

Title of project: Outcome in adulthood of children with Enthesitis related arthritis (ERA) category of juvenile idiopathic

arthritis (JIA) and its predictors

PI: Dr Amita Aggarwal

Department: Clinical Immunology

Subject: Study Completion report (Date of termination May 2022)

Noted and approved.

Agenda No. 48

IEC code: 2020-312-IMP-EXP-33

Type of submission: Study Close out Notification

Title of project: Micro-RNA mediated regulation of Th17 and Treg cells in Aplastic anemia and their correlation with

Immunosuppressive therapy.

PI: Dr Ruchi Gupta

Department: Hematology

Subject: Study completion report (Date of Termination: 24-12-2022) Noted and approved.

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

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Table Agenda:

Agenda No. 52

Type of submission: Project Drug Trial

Title of project: A Randomized, Controlled, Open-label, Multicenter, Inferentially Seamless Phase 2/3 Study of Ibrutinib in Combination With Rituximab Versus Physician's Choice of Lenalidomide Plus Rituximab or Bortezomib

IEC code: 2023-129-DT-131

IEC code: 2023-130-EMP-131

Plus Rituximab in Participants with Relapsed or Refractory Mantle Cell Lymphoma

Department: Clinical Hematology PI: Dr Sanjeev

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer Legal: Judge Vijai Verma Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Project was withdrawn by the PI before the meeting as the trial was terminated by the sponsor.

Agenda No. 53

Type of submission: Project Intramural

Title of project: Exploratory Imaging Study to Assess the Safety, Tolerability and Biodistribution of the Novel Glypican-3 (GPC3) Targeted Radiotracers RAYZ-8009 and RAYZ-8030 in Patients with Locally Advanced or

MetastaticHepatocellular Carcinoma (HCC)Undergoing Restaging

Department: Nuclear Medicine PI: Dr S Gambhir

Primary reviewer (Technical) Dr. Shagun Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Comments: Scientific issues, ethical issues and informed consent documents were discussed.

First in human study. To submit animal safety data and approval from recommended regulatory bodies

Decision: Major revision.

Agenda No. 54

IEC code: 2023-131-IMP-131

Type of submission: Project Intramural

Title of project: DNA methylation changes in intron 1 of RPTOR gene in patients with Gastric Adenocarcinoma: a

pilot study

PI: Dr Raghavendra L

Department: Pathology

Primary reviewer (Technical) Dr. Durga P. Misra

Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Comments: Scientific issues, ethical issues and informed consent documents were discussed. There were no major

ethical concerns.

Approved.

(Dr. Durga P. Misra) Member Secretary,

IEC, SGPGI

(Dr. M.K. Mitra) Chairman,

IEC, SGPGI

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 130th Institutional Ethics Committee meeting held on 31st March 2023, at 2:30 PM, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Present:

1. Prof. M. K. Mitra, Retd. Professor, Dept. of Medicine, KGMU, Lucknow	Chairperson
2. Prof. S. P. Ambesh, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow	Ex-Officio Member
3. Dr. Gautam Palit, Former Chief Scientist and Head, Dept. of Pharmacology, CSIR, Lucknow	Member
3. Dr. Gautam Palit, Former Chief Scientist and Head, pept. of Finantiacology, CSIA, Edeknow	Member
4. Dr. Arun Chaturvedi, Retd. Head, Dept. of Oncology, KGMU, Lucknow	Member
5. Dr. Mala Kumar, Professor, Department of Pediatric, KGMU, Lucknow	
6. Dr. Uday C. Ghoshal, Prof. & Head, Department of Gastroenterology, SGPGI MS, Lucknow	Member
7. Dr. Vinita Agarwal, Professor, Department of Pathology, SGPGIMS, Lucklnow	Member
8. Prof. Anand Vishwakarma, Professor of Law and Director Legal Cell, University of Lucknow	Member
9. Shri. Vijai Varma Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
10. Ms. Shalini Mathur, Secretary, Suraksha NGO, Lucknow	Member
11. Shri Sharad Jain, Shri Madhav Seva Ashram, Lucknow	Member
12. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
13. Mr. Anil Kumar Srivastava, Retd. Professor, Department of Sociology, University of Lucknow	Member
14. Dr. Shagun Mishra, Additional Professor, Department of Radiotherapy, SGPGIMS, Lucknow	Alternate Member Secretary
15 Dr. Durga P. Misra. Associate Professor, Department of Clinical Immunology, SGPGIMS, Lucknov	v Member Secretary

Determination of quorum: quorum was complete: 13 members present, with 9 non-institutional members.

A: General discussions and confirmation of Minutes:

Approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 129th IEC meeting held on 15.12.2022. All the members of IEC approved the minutes of the meeting.

Salon

Lt Col Varun Bajpai VSM
Executive Registrar
SGPGIMS,Lucknow

bayen

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

B. Review of New Proposals:

Agenda No. 1 IEC code: 2023-37-IMP-130

Type of submission: Project Intramural

Title of project: Evaluation of Occult Renal Dysfunction using Functional Magnetic

Resonance Imaging in Patients with Cirrhosis

PI: Dr Moinak Sen Sarma Department: Ped. Gastroenterology

Primary reviewer (Technical): Dr. Mala Kumar Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

In ICF the word 'subject' is wrongly translated as विषय.It should be प्रतिभागी.

Please separate PID from ICF.

Clarify that MRI shall not be charged to the patient.

Decision: Minor revision

Agenda No. 2 IEC code: 2023-40-IMP-130

Type of submission: Project Intramural

Title of project: Pituitary function after recovery from septic shock among ICU survivors: A

prospective observational study

PI: Dr Subhash Yadav Department: Endocrinology

Primary reviewer (Technical): Dr. Mala Kumar Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Correct specimen collection on page 1

pg 27 'Patient information document' title itself be translated in hindi. This will bring

more clarity.

Decision: Minor revision

Balon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

Type of submission: Project Intramural

Title of project: Role of Maternal Soluble Neuropilin-1 and Placental Neuropilin-1 in fetal

growth restriction

PI: Dr Neeta Singh

Department: Maternal & Reprod.

IEC code: 2023-45-IMP-130

IEC code: 2023-43-IMP-130

Health

Primary reviewer (Technical): Dr. Mala Kumar Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

In PID - The word subject is wrongly translated as विषय.

PID should include current member secretary contact and details.

Decision: Minor revision

Agenda No. 4

Type of submission: Project Intramural

Title of project: Effect of oral bicarbonate therapy on disease progression, inflammatory markers and urinary klotho in Chronic Kidney Disease patients (Stage 3-4): A prospective observational cohort study

PI: Dr Anupama Kaul Department: Nephrology

Primary reviewer (Technical): Dr. Arun Chaturvedi Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please simplify the Hindi PID.

Please modify the PID to include the details of the member secretary.

Please clarify if the study is a parallel group observational study or a RCT. If it is a RCT, then the PI should follow the recommended methods of formulating a randomized trial (randomization method, blinding, sample size calculation, allocation concealment, etc). The study design in case of RCT should be reflected in the title. The PID and the consent form need to be designed separately for the control & experimental group. In the case of a RCT, CTRI/ clinicaltrials.gov registration would be necessary before starting the trial after obtaining ethics approval.

Decision: Minor revision

Sapon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

Type of submission: Project Intramural

Title of project: Benefit of intermittently scanned Continuous Glucose Monitoring (isCGM) in Reducing Hypoglycemia among Older Adults with Type 2 Diabetes Mellitus on Insulin

IEC code: 2023-46-IMP-130

IEC code: 2023-47-IMP-130

PI: Dr Preeti Dabadghao Department: Endocrinology

Primary reviewer (Technical): Dr. Arun Chaturvedi Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please modify the PID to include the details of the member secretary.

Please clarify if the study is a parallel group observational study or a RCT. If it is a RCT, then the PI should follow the recommended methods of formulating a randomized trial (randomization method, blinding, sample size calculation, allocation concealment, etc). The study design in case of RCT should be reflected in the title. The PID and the consent form need to be designed separately for the control & experimental group. In the case of a RCT, CTRI/ clinicaltrials.gov registration would be necessary before starting the trial after obtaining ethics approval.

Decision: Minor revision

Agenda No. 6

Type of submission: Project Intramural

Title of project: Bone Health Assessment in patients of Prolactinoma

PI: Dr Preeti Dabadghao Department: Endocrinology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID should include details of current member secretary.

Decision: Minor revision

Salon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

IEC code: 2023-49-IMP-130

Type of submission: Project Intramural

Title of project: Investigation of autophagy regulation as a potential therapeutic approach in

glioblastoma

PI: Dr L K Sharma

Department: Molecular Medicine

IEC code: 2023-53-IMP-130

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID should include details of current member secretary.

Decision: Minor revision

Agenda No. 8

Type of submission: Project Intramural

Title of project: Evaluation of glycemic and metabolic control by continuous glucose

monitoring in children with Glycogen Storage Disease

PI: Dr Moinak Sen Sarma

Department: Ped. Gastroenterology

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

In PID - The word subject is wrongly translated as विषय.

Consider including a control group if possible.

Decision: Minor revision

IEC code: 2023-57-IMP-130

Type of submission: Project Intramural

Title of project: Association of Gut microbiota with the appearance of non-HLA antibodies

and graft outcome in renal allograft recipients

PI: Dr Narayan Prasad

Department: Nephrology

IEC code: 2023-60-IMP-130

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Clarify that the tests are to be done at no cost to the patient.

Decision: Minor revision

Agenda No. 10

Type of submission: Project Intramural

Title of project: To study the role of insulin resistance and decreased beta-cell function in

ESRD for the prediction of new onset diabetes after transplantation (NODAT)

PI: Dr Anupama Kaul

Department: Nephrology

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please modify the PID to include the details of the member secretary.

Please simplify the language of the PID.

Clarify time points at which samples shall be taken and the frequency of samples.

What is the impact of detecting NODAT? Do patients continue in the study or do they drop

out?

Decision: Minor revision

Salon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

Type of submission: Project Intramural

Title of project: Comparison of measured Glomerular Filtrate Rate with various Glomerular

IEC code: 2023-66-IMP-130

IEC code: 2023-69-IMP-130

Filtrate Rate estimation equations in patients with posterior urethral valve

Department: Nuclear Medicine PI: Dr Manish Ora

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 12

Type of submission: Project Intramural

Title of project: Correlation of arterial concentrations of Dexmedetomidine with severity of

Catheter Related Bladder Discomfort in male patients undergoing Percutaneous

Nephrolithotomy after receiving Intravesical Dexmedetomidine.

PI: Dr Divya Srivastava

Department: Anaesthesiology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The project was reviewed and suggests that the subjects in the study will be randomly assigned to two study groups. The committee suggested to either proceed with a parallel group observational study or follow the recommended methods of formulating a randomized trial (randomization method, blinding, sample size calculation, allocation concealment, etc). The study design in case of RCT should be reflected in the title.. The PID and the consent form need to be designed separately for the control & experimental group. In the case of a RCT, CTRI/ clinicaltrials gov registration would be necessary before starting the trial after obtaining ethics approvalt Col Varun Bajpai vsm

Executive Registrar SGPGIMS, Lucknow

Decision: Minor revision

Agenda No. 13 IEC code: 2023-68-IMP-130

Type of submission: Project Intramural

Title of project: To study the impact of voluntary kidney donation on acid base balance

PI: Dr Manas Ranjan Behera Department: Nephrology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 14 IEC code: 2023-73-IMP-130

Type of submission: Project Intramural

Title of project: A pilot study of maintenance nivolumab after consolidation chemoradiation

in partial responders to first line chemotherapy

PI: Dr Sushma Agrawal Department: Radiotherapy

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The main purpose of the ethical committee is to take care of the interests of the patient. The primary reviewers Dr Gautam Palit and Dr Arun Chaturvedi (who is an oncosurgeon), the lay members and other members of the ethics committee felt that for a drug that was of doubtful benefit it might not be right for a patient to pay for it. The committee was of the opinion that PI should seek funding for the drug being administered from companies that market the drug for this purpose or any other extramural funding source.

If this is a new drug not recommended by the DCGI for this indication, then the PI should also seek DCGI approval and insurance cover for this pilot trial as required by regulatory authorities.

CTRI registration would also be required for this study after obtaining ethics approval.

Decision: Major revision

Balon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

Type of submission: Project Extramural

Title of project: A prospective study to explore the genotypic and phenotypic landscape of

IEC code: 2023-38-EMP-130

IEC code: 2023-39-EMP-130

pediatric epilepsies in north Indian Population

PI: Dr Deepti Saxena Department: Medical Genetics

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 16

Type of submission: Project Extramural

Title of project: An Indo-German approach to identify universal and specific molecular targets in breast cancer prevention: Impact of environmental endocrine disruptors, diet and lifestyle on sex hormone levels and cellular consequences thereof in human breast tissues

PI: Dr Gauray Agrawal

Department: Endocrine Surgery

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Title change is approved to: Impact of environmental endocrine disruptors, diet and lifestyle on sex hormone levels and consequences thereof in breast tissues of Indian and German Women

PID should be modified

1. Point 6 mentions only instructions regarding how to prepare the PID. Please modify.

2. Point number 16: Confidentiality is violated. Please modify.

If any tissue samples are to be sent foroad, then it is the responsibility of PI to ensure that appropriate approvals are obtained from regulatory authorities and material transfer agreement is in place before this aid denotes the same of the sam

Executive Registrar SGPGIMS, Lucknow

PI should ensure that all the participating centers have appropriate ethical clearance.

Decision: Minor revision

Agenda No. 17 IEC code: 2023-51-DM-130

Type of submission: Project Extramural

Title of project: Molecular scrutiny of Growth-disrupting sequence variations in epigenes

and their methylation signatures in Overgrowth syndromes

PI: Dr Kausik Mandal Department: Medical Genetics

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Submit Parents/LAR consent and PID form in Hindi.

Submit child assent in Hindi and English.

The contact person in PID should include PI & Member Secretary's name from SGPGIMS. Clarify the source of funding, ethics clearnce from other centres and whether these tests are going to be done at SGPGIMS laboratory or outside.

Decision: Minor revision

Agenda No. 18 IEC code: 2023-52-EMP-130

Type of submission: Project Extramural

Title of project: A prospective, randomized, two-arm, parallel, placebo-controlled, multicenter, superiority, phase II clinical trial to evaluate the immunogenicity and safety of Recombinant Hepatitis E Vaccine (Adsorbed) of M/s. Zydus Lifesciences Limited in healthy subjects

PI: Dr Amit Goel Department: Gastroenterology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Since the study involves children also, please ensure that child assent form and PID for children is also provided.

Please revise the Department to Department of Hepatology and provide DRC from this department for the same.

PI should ensure ethics approval from all centers for the project.

Comments of legal reviewer

1-In CTA in cl.12.2 pertaining to the Adverse Events, it transpires that the Sponsor has the required Insurance policy to cover adverse events and injury to the Study Subjects but it nowhere mentions that in case of adverse events appropriate treatment is to be provided in the Institute at the cost of the Sponsor,hence that should be specifically mentioned in this provision itself.

2- There is no provision for the redressal of the dispute in the CTA, hence a provision to that effect be added and in case the dispute is not resolved, then the matter may be taken to the courts at Lucknow instead of Ahmedabad as the trial is to be conducted at Lucknow.

IEC code: 2023-56-EMP-130

3-From the Insurance certificate it transpires that the the Insurance policy has expired on 19.03.2023, hence the Insurance policy needs to be extended till the completion of the Study.

Decision: Minor revision

Agenda No. 19

Type of submission: Project Extramural

Title of project: Evaluation of accelerated ageing in diabetes through investigation of

biomarkers of ageing in human diabetic cohort and animal model

PI: Dr L K Sharma Department: Molecular Medicine

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PI should seek animal ethics committee clearance before proceeding for animal studies.

Source of funding to be clarified.

PID should reflect details of current member secretary.

Decision: Minor revision

IEC code: 2023-58-EMP-130

Type of submission: Project Extramural

Title of project: Driving Co-Development of mHTT lowering Drugs and complementary

Blood-based Diagnostics to Combat Huntington disease related Mental Illness

PI: Dr Anshika Srivastava Department: Medical Genetics

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Comments:

- 1. Please rewrite the PID(English and Hindi) for patients, controls, and children so that it is in simple understandable language.
- 2. Please provide a child assent form for older children.
- 3. Hindi PIDs are not visible in the uploaded document. Please provide.
- 4. Submit the consent of head of department document.
- 5. PID should mention member secretary details.

Decision: Minor revision

IEC code: 2023-59-EMP-130

IEC code: 2023-63-EMP-130

Type of submission: Project Extramural

Title of project: INDIAn asymptomatic gallStones: natural course and their predictors

(INDIASTONE STUDY)

PI: Dr Anu Behari Department: Surgical Gastroenterology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Hindi PID is missing. Please provide it.

PI should ensure ethics approval from all centers for the project.

Decision: Minor revision

Agenda No. 22

Type of submission: Project Extramural

Title of project: Understanding arterial wall fibrosis in Takayasu arteritis: role of circulating T helper lymphocytes in driving the activation of TGF-beta mediated pathways in aortic

adventitial fibroblasts and its potential for therapeutic modulation

PI: Dr Durga Prasanna Misra Department: Clinical Immunology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Agenda No. 23 IEC code: 2023-71-EMP-130

Type of submission: Project Extramural

Title of project: Mycophenolate mofetil and prednisolone versus prednisolone alone in

chronic hypersensitivity pneumonitis: a randomized controlled trial

PI: Dr Mansi Gupta Department: Pulmonary Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 24 IEC code: 2023-81-EMP-130

Type of submission: Project Extramural

Title of project: Incidence, determinants and development of risk scoring system of long-

term complications in Covid-19 recovered patients: A cohort study

PI: Dr Prabhaker Mishra Department: Biostatistics

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. It is noted that some patients might not be able to provide written informed consent as they are contacted through phone. Such patients should provide verbal consent duly recorded (audio or audiovisual) and witnessed by a third person who is not a study participant.

Decision: Approved

Agenda No. 25 IEC code: 2023-10-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Autoimmune rheumatic diseases in families of patients with juvenile

arthritis especially children with ERA

PI: Dr Amita Aggarwal Department: Clinical

Immunology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 26 IEC code: 2023-2-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Bronchosopic Assessment of Swallowing Dysfunction in Critically III

Patients: A Prospective Observational Study

PI: Dr Banani Poddar Department: Critical Care Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID should reflect details of current member secretary.

Decision: Minor revision

Agenda No. 27 IEC code: 2023-30-DM-130

Type of submission: Project DM/MCh/MD

Title of project: DIAGNOSTIC ACCURACY OF FVD/FAD RATIO AND IVC FOR

PREDICTION OF VOLUME STATUS IN CRITICALLY ILL PATIENTS

PI: Dr Afzal Azim Department: Critical Care Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 28 IEC code: 2023-41-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Feasibility and acceptability of using Intermittently Scanned Continuous Glucose Monitoring System Freestyle Libre (FSL) in patients under 18 years age with type 1

diabetes mellitus visiting a tertiary care hospital in North India

PI: Dr Preeti Dabadghao Department: Endocrinology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID should reflect details of current member secretary.

Appropriate PID and ICF for child assent for older children should be provided.

Decision: Minor revision

Salon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

IEC code: 2023-44-DM-130

IEC code: 2023-51-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Prevalence, clinical characteristics, and outcomes of Chronic critical illness:

A retrospective study

PI: Dr Shreyas Hanumantrao

Gutte Department: Critical Care Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and waiver of consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 30

Type of submission: Project DM/MCh/MD

Title of project: Cytomegalovirus reactivation in acute necrotizing pancreatitis
PI: Dr Mohan Gurjar

Department: Microbiology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 31 IEC code: 2023-55-MD-130

Type of submission: Project DM/MCh/MD

Title of project: "ASSESSMENT OF HAEMOSTATIC ALTERATIONS IN PATIENTS WITH BREAST CARCINOMA USING THE VISCOELASTIC COAGULATION ASSAY"

PI: Dr Anupam Verma Department: Transfusion

Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

PID should reflect details of current member secretary.

Simplify Hindi PID so that is in a language easily understandable by the patient.

Decision: Minor revision

Agenda No. 32 IEC code: 2023-61-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Comparison of double dose Proton pump inhibitor (PPI) vs combination of single dose PPI and prokinetic in systemic sclerosis with gastroesophageal reflux disease **PI:** Dr Vikas Agarwal **Department:** Clinical Immunology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The project and PID with consent documents were reviewed and the project was approved by the ethics committee.

Agenda No. 33 IEC code: 2023-62-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Modulation of genetic markers of fibrosis and clinical scores in scleroderma

following administration of Tadalafil

PI: Dr Vikas Agarwal Department: Clinical Immunology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The project and PID with consent documents were reviewed and the project was approved by the ethics committee.

Balon

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

Type of submission: Project DM/MCh/MD

Title of project: Prevalence and predictors of Frailty in inflammatory myositis **PI:** Dr Able Lawrence **Department:** Clinical

IEC code: 2023-64-DM-130

Immunology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 35 IEC code: 2023-65-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Portosystemic Shunt Surgery for Extrahepatic Portal Venous Obstruction

beyond Endoscopic Variceal Eradication

PI: Dr Moinak Sen Sarma Department: Ped. Gastroenterology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 36 IEC code: 2023-70-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Evaluation of Diagnostic Performance of Type 2 Inflammatory Biomarkers

in Phenotyping Patients with Chronic Obstructive Pulmonary Disease

PI: Dr Mansi Gupta Department: Pulmonary Medicine

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

To resubmit PID for controls and participants in Hindi and English. PID to reflect the name of member secretary. It was noted that the funding will be borne by intramural funds.

Decision: Minor Revision

Agenda No. 37 IEC code: 2023-74-MD-130

Type of submission: Project DM/MCh/MD

Title of project: Red Cell alloimmunization and associated risk factors in multiply transfused thalassemia patients: A prospective cohort study conducted at Tertiary Care Centre in

Northern India.

PI: Dr Raiendra Chaudhary Department: Transfusion Medicine

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please provide a summary of the project (Project details) as required within 500 words. Waiver of consent form is incomplete. Please provide a completed form.

To submit PID and Consent form in Hindi and English. Once submitted the project will be cleared from ethical point of view.

Decision: Minor Revision

Type of submission: Project DM/MCh/MD

Title of project: Clinical and High-Resolution Manometry spectrum of patients with

esophageal motility disorders – A Retrospective Analysis.

PI: Dr U C Ghoshal Department: Gastroenterology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and waiver of consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 39

IEC code: 2023-79-MD-130

IEC code: 2023-78-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Assessment of quality of life and out of pocket expenses in patients with upper aerodigestive tract cancers receiving palliative chemo-radiotherapy and radiotherapy

PI: Dr Shagun Mishra Department: Radiotherapy

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please clarify if the study is a parallel group observational study or a RCT. If it is a RCT, then the PI should follow the recommended methods of formulating a randomized trial (randomization method, blinding, sample size calculation, allocation concealment, etc). The study design in case of RCT should be reflected in the title. The PID and the consent form need to be designed separately for the control & experimental group. In the case of a RCT, CTRI/ clinicaltrials.gov registration would be necessary before starting the trial after obtaining ethics approval.

Decision: Minor revision

Agenda No. 40 IEC code: 2023-8-DM-130

Type of submission: Project DM/MCh/MD

Title of project: Liver stiffness in critically ill patients with septic shock

PI: Dr Mohan Gurjar Department: Critical Care Medicine

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

IEC code: 2023-80-PhD-130

Type of submission: Project PhD

Title of project: Integrated statistical modelling with molecular markers to predict chronic

kidney disease

Department: Molecular Medicine PI: Dr Swasti Tiwari

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

It is noted that the study uses already collected samples. The waiver of consent form is incomplete. Please provide a completed form. Please provide the full name of the study and the ethics committee number of the study based on which samples were previously collected in the waiver form.

Please clarify why a funding of Rs 5 crore is being sought when you are oly doing statistical modelling based on already collected data.

Decision: Minor revision

Agenda No. 42

IEC code: 2023-25-IP-130

Department: Transfusion

Type of submission: Project Independent

Title of project: Retrospective Analysis Of The Double Volume Exchange Transfusions Performed In Infants At Sanjay Gandhi Post Graduate Institute Of Medical Sciences,

Lucknow

PI: Dr Dheeraj Khetan

Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and waiver of consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 43

IEC code: 2023-54-PDCC-130

Type of submission: Project SRF/PDF/PDCC

Title of project: Extent of hemodynamic changes during Heart Repositioning in OPCAB surgery as measured by Non-calibrated Pulse Contour (FloTrac) Analysis and its Comparison

with real time 3D parameters by Transesophageal Echocardiography: A Pilot Study Department: Anaesthesiology

Primary reviewer (Technical) Dr. Durga P. Misra

Subject: Project for review

PI: Dr Prabhat Tewari

Scientific issues, ethical issues and informed consent documents were discussed.

The project is approved from the ethics points of view.

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

Agenda No. 44 **IEC code:** 2023-22-IP-130

Type of submission: Project Independent

Title of project: Impediments to compliance with Clean Intermittent Catheterization in a

developing country

PI: Dr Priyank yadav **Department:** Urology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed in the expedited review committee meeting.

Clarify the total number of patients that will be included.

Kindly submit the Legally accepted representative and Assent form. Mention the name of Member Secretary in PID

The PID mentions funding of 2500 Euros. Where will these funds be utilised.

Kindly use the Consent form, from the institutional website.

Submit CV of investigator outside SGPGI.

Will be taken for the full board review as involves pediatric population.

Decision: Minor revision

After revision, the project is approved from the ethics points of view and ratified at the full board.

Agenda No. 45 **IEC code:** 2023-24-IP-130

Type of submission: Project Independent

Title of project: Portosystemic Shunt Surgery for Extrahepatic Portal Venous Obstruction

beyond Endoscopic Variceal Eradication

PI: Dr Moinak Sen Sarma **Department:** Ped. Gastroenterology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Duplicate entry of 35

Agenda No. 46 **IEC code:** 2023-42-IP-130

Type of submission: Project Independent

Title of project: Efficacy of intralesional injection of triamcinolone acetonide in

augmentation of endoscopic dilatation of benign oesophageal strictures: a case-control study PI: Dr Ujjal Poddar **Department:** Ped. Gastroenterology

Primary reviewer PID and CF: Dr. Shagun Mishra

Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The study qualifies for observational study as the committee failed to identify controls in the submitted proposal. To modify the title accordingly and replace case-control. Kindly mention the estimated sample size.

The Hindi PID/Consent should use the word "pratibhagi" for patients.

Decision: Minor revision

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

3 Mbn

IEC code: 2023-48-IP-130

Type of submission: Project Independent

Title of project: RADIAL SHOCK-WAVE THERAPY FOR FROZEN SHOULDER PATIENTS WITH TYPE 2 DIABETES MELLITUS: A PROSPECTIVE RANDOMISED

CLINICAL TRIAL COMPARING TWO DIFFERENT ENERGY LEVELS.

PI: Dr Siddharth Rai Department: Apex Trauma Centre

Primary reviewer (Technical): Dr. Arun Chaturvedi Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Hindi PID is missing - please provide

Duration of study should start after ethics committee approval

Please keep in mind due methodological considerations for a RCT in line with the SPIRIT guidelines – a checklist to this should be provided. Please also calculate and justify sample size

If this is a RCT, then the intervention should be funded/ not charged from the patient. CTRI/ clinicaltrials.gov registration is mandatory after ethics approval before starting trial enrolment. Proof of this should be submitted to the ethics committee before starting the study.

Decision: Revise and resubmit

Agenda No. 48

IEC code: 2023-67-IP-130

Type of submission: Project Independent

Title of project: Practice pattern of INtraoperative FlUid therapy in elective major gaStrointestinal cancEr surgeries in India (I-INFUSE)— A multicentre observational study

PI: Dr Sandeep Sahu Department: Anaesthesiology

Primary reviewer (Technical): Dr. Arun Chaturvedi Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Since this is a prospective, observational study, informed consent from patients is mandatory.

Please provide PID and ICF in English and Hindi.

PI should ensure ethics approval at all participating centers.

Decision: Major revision

Type of submission: Project Independent

Title of project: A study of intracranial vessel wall imaging and biomarkers in adults with

IEC code: 2023-72-IP-130

IEC code: 2023-77-IP-130

primary angiitis of the central nervous system

PI: Dr Vinita E Mani Department: Neurology

Primary reviewer (Technical): Dr. Arun Chaturvedi Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please provide PID in Hindi for patient and control.

The committee reviewed the study objectives and noted that objective 3 was not feasible to assess for a cross-sectional study (whether vessel wall imaging can replace DSA). The PI is suggested to modify this.

Decision: Minor revision

Agenda No. 50

Type of submission: Project Independent

Title of project: Real-life experience of retreatment with sofosbuvir/velpatasvir/voxilaprevir

in treatment experienced people with chronic hepatitis C virus infection

PI: Dr Amit Goel Department: Hepatology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Shri Sharad Jain

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee requests the PI to clarify if any other department was involved in the care of these patients. If so, the PI may consider including a collaborator from any other such department.

Decision: Minor revision

IEC code: 2022-67-DT-130

Type of submission: Project Drug/device trials

Title of project: A randomized, double-blind, parallel group, placebo-controlled, multicenter phase 3 trial to evaluate the efficacy, safety and tolerability of ianalumab on top of standard-

of-care therapy in participants with active lupus nephritis (SIRIUS-LN)

PI: Dr Narayan Prasad

Department: Nephrology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer Legal: Judge (Retd.) Vijai Varma Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Comments of legal reviewer:

1-In the CTA, I could not discern the remedial measures etc, to be taken for the Trial Subject in case of Serious Adverse Event, though it does talk about the Sponsor having an Insurance cover for the Trial Subject. But that the treatment required in case of SAE shall be provided free of cost and that the cost shall be borne by the Sponsor is not there which is essential point, hence a clause to that effect be included at the appropriate place.

2-In CI. 17, it is provided that neither party may assign its rights and obligations under this Agreement without the other Party's prior written consent but then an exception has been made that the Sponsor may assign its rights and obligations under certain conditions. Here it is necessary that the Sponsor at least gives a notice of any such assignment to the Institute/PI, lest they are caught unawares. So the provision for notice should be there.

3-In CI 26, the jurisdiction of the courts should be Lucknow instead of Mumbai as the trial is to be conducted in Lucknow.

4-As there is no provision for dispute resolution anywhere in the CTA, hence a dispute resolution clause be added so that the matter goes to the courts only after the dispute is not settled amicably between the parties.

5-In CI.14 pertaining to the Confidentiality clause it is provided as to under what circumstances the Institute or the PI can disclose the information which otherwise they can not disclose. But herein it should also be provided that the Institute/PI can also disclose the information in compliance of any requirement of law, rules regulations or any govt order, hence such a provision be made in this clause itself. 6- The Insurance policy has lapsed on 31.12.2022 whereas the study is to continue till 01.04.2031, hence the Insurance policy needs to be extended till the completion of the study.

Decision: Minor revision

Type of submission: Project Drug/device trials

Title of project: A prospective, interventional, multicenter, Phase IV, open-label, single arm study to assess the safety and effectiveness of finerenone in participants from India with

IEC code: 2023-75-DT-130

Department: Nephrology

chronic kidney disease associated with type 2 diabetes

PI: Dr Narayan Prasad

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer Legal: Judge (Retd.) Vijai Varma Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Comments of legal reviewer:

1-The most important issue in this CTA is that the Sponsor is not a party to this agreement but it is a third party beneficiary. The Sponsor has appointed a CRO Parexel International Clinical Research Pvt ltd. But from the CTA it transpires that the Sponsor has to discharge a number of functions and obligations as the Institute and the PI also have to But in case there is any breach of the provisions of this CTA by the Institute or the PI then the Sponsor can proceed against the Institute or the PI as the case may be but in case the Sponsor fails to discharge any of its obligations then the Institute or the PI can not proceed against the Sponsor as it is not a party to the Agreement. Therefore the Sponsor should also be arrayed as party or at least be a signatory to this agreement.

2-In CI.20.8 it is provided that the CRO may assign this agreement to any of its Subsidiaries, Affiliates or to a third Party. But here a notice of such assignment should be given to the Institute/PI.

- 3- There is no provision for resolution of the disputes in this CTA, hence a provision to that effect be added. However in case the dispute is not amicably settled between the parties, the matter may be taken to the courts at Lucknow instead of Bangalore as the study is to be conducted in Lucknow only.
- 4- The Insurance policy is expiring on 31.12.2023 whereas the study is to continue till 30.12.2025, hence the policy needs to be extended till the completion of the study.

Decision: Minor revision

Type of submission: Project Drug/device trials

Title of project: A Randomized, Multi Center, Parallel, Open Label Study Assessing Clinical and Functional Outcomes After Anterior Cruciate Ligament (ACL) Reconstruction

Procedure Using Suspensory Tibial Fixation with Open and Closed Peek Button

PI: Dr Pulak Sharma Department: Apex Trauma Centre

Primary reviewer (Technical): Dr. Uday C. Ghoshal Primary reviewer Legal: Judge (Retd.) Vijai Varma Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

At places in the protocol it is mentioned as cohort study and at others it is mentioned as RCT. Please clarify.

If it is a RCT, then randomization should be done before surgery.

It it is a RCT, then it will require CTRI registration and DCGI approval.

It is recommended to include a co-PI from the same Department

The PID should include the details of PI and the Member Secretary of ethics committee.

PI should ensure ethics approval from all the collaborating centers.

Legal reviewer comments;

1- The record is to be kept for 15 years. It is to be ensured that the fees for keeping the records for such a long period is being paid by them or not. If not then may make a demand.

Decision: Major revision

Agenda No. 107

MD

IEC code: 2023-82-MD-130

IEC code: 2023-76-DT-130

Type of submission: Project DM/MCh/MD

Title of project: A Prospective Study of Locally Advanced, Unresectable/ Inoperable, Good Performance Status, Upper Aerodigestive Tract Carcinoma Patients, Randomised Between

Palliative Regimens – 70Gy/35# vs. 30Gy/10#

PI: Dr Sushma Agrawal

Department: Radiotherapy

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please clarify if the study is a parallel group observational study or a RCT. If it is a RCT, then the PI should follow the recommended methods of formulating a randomized trial (randomization method, blinding, sample size calculation, allocation concealment, etc). The study design in case of RCT should be reflected in the title. The PID and the consent form need to be designed separately for the control & experimental group. In the case of a RCT, CTRI/ clinicaltrials.gov registration would be necessary before starting the trial after

obtaining ethics approval.

Decision: Minor revision

braken

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

IEC code: 2023-83-EMP-130

Type of submission: Project Extramural Title of project: Soul of Steel-Himalayan

PI: Dr Prabhaker Mishra

Department: Biostatistics

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Please provide PID and ICF in Hindi.

Please provide forwarding from HoD and DRC.

Decision: Minor revision

Agenda No. 109

Type of submission: Project DM/MCh/MD

<u>Title of project: Prospective study of locally advanced, unresectable/inoperable,poor performance status, upper aerodigestive tract carcinoma,randomised between palliative regimens - 20Gy/5 fractions vs14Gy/4 fractions (Quad shot)</u>

PI: Dr Shalini Singh Department: Radiotherapy

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr Shalini Mathur

Scientific issues, ethical issues and informed consent documents were discussed.

Please clarify if the study is a parallel group observational study or a RCT. If it is a RCT, then the PI should follow the recommended methods of formulating a randomized trial (randomization method, blinding, sample size calculation, allocation concealment, etc). The study design in case of RCT should be reflected in the title. The PID and the consent form need to be designed separately for the control & experimental group. In the case of a RCT, CTRI/ clinicaltrials.gov registration would be necessary before starting the trial after obtaining ethics approval.

Decision: Minor revision

D. Notification for Amendments/Addendum:

Agenda No. 54 IEC code: 2019-195-DM-113

Type of submission: Notification of Amendments/Addendum

Title of project: To assess and compare microvascular and macrovascular complications in

early and late onset type 2 diabetes

PI: Dr Subhash Yadav Department: Endocrinology

Subject: Amendment report (Adding one DM student Dr Ayushi Singhal)

Noted and approved.

Agenda No. 55 IEC code: 2020-196-DT-115

Type of submission: Notification of Amendments/Addendum

Title of project: SHP669-406 :A Post Marketing Surveillance (PMS) Study for VPRIV®

(velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Subject: Amendment report (Amendment 4 study related documents along-with summary of

changes and Intimation letter for the change in the name of Sponsor).

Noted and approved.

Agenda No. 56 IEC code: 2021-131-DM-120

Type of submission: Notification of Amendments/Addendum

Title of project: Usefulness and accuracy of FibroScan in diagnosing biliary atresia and predicting prognosis following Kasai portoenterostomy: A prospective Case Control Study **PI:** Dr Ujjal Poddar **Department:** Ped. Gastroenterology

Subject: Amendment report (Inclusion criteria and Exclusion criteria etc.)

Noted and approved.

Agenda No. 57 IEC code: 2021-270-EMP-123

Type of submission: Notification of Amendments/Addendum

Title of project: Feasibility of a new diagnostic device to assess small intestinal dysbiosis in

routine clinical setting

PI: Dr U C Ghoshal Department: Gastroenterology

Subject: Amendment report (A small subset of patients will be asked to ingest 2 capsules

simultaneously on the same day and Change PID)

Noted and approved.

Agenda No. 58 IEC code: 2021-346-DT-124

Type of submission: Notification of Amendments/Addendum

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the Safety and Efficacy of Agalsidase alfa (r-DNA origin) (ReplagalTM) in Italian Children and Adulta With Enhant Disconsciple automater tissue.

in Indian Children and Adults With Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal

Department: Medical Genetics

Subject: Amendment report (Amended protocol V 3.0 dated 27 Oct 2022 & Protocol V 3.0 -

List of Changes)

Noted and approved.

Agenda No. 59

IEC code: 2021-347-DT-124

Type of submission: Notification of Amendments/Addendum

Title of project: A Prospective, Open-Label, Multicentered, Non-Randomized, Non-Comparative Active Post-Marketing Surveillance to test the safety and efficacy of Diperoxochloric Acid [DPOCL] topical solution in patients with diabetic neuropathic ulcers

of skin and subcutan **PI:** Dr Gyan Chand

Department: Endocrine Surgery

Subject: Amendment report (Request 6 months extra time requirement for comletion of study due to festival and summer vacation).

Noted and approved.

Agenda No. 60

IEC code: 2021-96-PhD-EXP-37

Type of submission: Notification of Amendments/Addendum

Title of project: Circulating Microparticles and their Fibrogenic Activity in Renal Allograft

Dysfunction

PI: Dr Vinita Agrawal

Department: Pathology

Subject: Amendment report (the second objective is to study the fibrogenic activity of circulating MPs on human renal fibroblast cell (Nephrectomy) by evaluating the expression of cytokine TGF-β1.

Noted and approved.

Agenda No. 61

IEC code: 2022-25-DT-125

Type of submission: Notification of Amendments/Addendum

Title of project: A streamlined, multicentre, randomized, parallel group, double-blind placebo-controlled superiority trial to evaluate the effect of EMPAgliflozin on hospitalisation

for heart failure and mortality in patients with aCuTe Myocardial Infarction
PI: Dr Sudeep Kumar

Department: Cardiology

Subject: Amendment report (Study related documents Protocol version 2.0 dated 20th Apr 2022).

Noted and approved.

E. Notification for protocol deviation:

Agenda No. 62 IEC code: 2020-329-DT-117

Type of submission: Notification for protocol deviation Lt Col Varun Bajpai vsm

Executive Registrar SGPGIMS, Lucknow

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita Department: Neurology

Subject: Notification for protocol deviation (Subject ID: 41050100, 41050118, 41050266).

Noted and approved.

Agenda No. 63

IEC code: 2021-177-DT-121

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

Hematology

Subject: Notification for protocol deviation (Subject ID: 2100003, SB).

Noted and approved.

Agenda No. 64

IEC code: 2021-178-DT-121

Type of submission: Notification for protocol deviation

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled

study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3

glomerulopathy

PI: Dr DS Bhadauria

Department: Nephrology

Subject: Notification for protocol deviation (Subject ID: 2051001, D1 visit and D14 visit).

Noted and approved.

Agenda No. 65

IEC code: 2021-178-DT-121

Type of submission: Notification for protocol deviation

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled

study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3

glomerulopathy

PI: Dr DS Bhadauria

Department: Nephrology

Subject: Notification for protocol deviation (Subject ID: 2051001, D30 visit).

Noted and approved.

Agenda No. 66

IEC code: 2021-180-DT-121

Type of submission: Notification for protocol deviation

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Notification for protocol deviation (Subject no: 2224002).

Noted and approved.

F. General Notification:

Agenda No. 67 IEC code: 2019-178-DT-111

Type of submission: General Notification

Title of project: Protocol I4V-MC-JAHU: A Randomized, Double Blind, Placebo-Controlled, Withdrawal, Safety and Efficacy Study of Oral Baricitinib in Patients from 1

Year to Less than 18 years Old with Systemic Juvenile Idiopathic Arthritis.

PI: Dr Amita Aggarwal Department: Clinical

Immunology

Subject: Baricitinib, LY3009104, IB approved on 14 Dec 2022.

Noted and approved.

Agenda No. 68

IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad Department: Nephrology

Subject: Updated Insurance policy (Ploicy no: 4067/192797058/00/000)

Noted and approved.

Agenda No. 69 **IEC code:** 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad Department: Nephrology

Subject: Notification of DSUR 15 Oct 2021 to 14 Oct 2022.

Noted and approved.

Agenda No. 70

IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad Department: Nephrology

Subject: Notification of CIOMS from 01 May 2022 to 31 Jul 2022.

Noted and approved.

Agenda No. 71

IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad

Department: Nephrology

Subject: SUSAR Line Listing Report form 15 Apr 2022 to 14 Aug 2022 for IEC notification.

Noted and approved.

Agenda No. 72

IEC code: 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad

Department: Nephrology

Subject: Notification of CIOMS from 01 Aug 2022 to 31 Oct 2022.

Noted and approved.

Agenda No. 73

IEC code: 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV

Clinical Trial

PI: Dr Rajanikant R Yadav

Department: Radiodiagnosis

Subject: Study related documents (Request for extension of IEC approval, SIV conduct,

CTRI no: CTRI/2020/10/028544 and COA dated 31-Mar-2022).

Noted and approved.

Lt Col Varun Bajpai VSM Executive Registrar

SGPGIMS, Lucknow

Agenda No. 74 IEC code: 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV

Clinical Trial

PI: Dr Rajanikant R Yadav Department: Radiodiagnosis

Subject: Study related documents for IEC approval (document submit date :02-02-2023).

Noted and approved.

Agenda No. 75 IEC code: 2021-177-DT-121

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

Hematology

Subject: Notification of EUROPEAN Medicines Agency review on Crizanlizumab study

Noted and approved.

Agenda No. 76 IEC code: 2021-177-DT-121

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

PI: Dr Sanjeev Hematology

Subject: Updated Insurance on Crizanlizumab study (Policy no: P052320001/9999/100188).

Noted and approved.

Agenda No. 77 IEC code: 2021-177-DT-121

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

Hematology

Subject: ECOG status not taken on upcoming visit as per protocol.

Noted and approved.

IEC code: 2021-177-DT-121 Agenda No. 78

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

Department: Nephrology PI: Dr Narayan Prasad

Subject: Study Related documents (417-201-00007 BUN Urea and Bicarbonate Testing V01 dated 24 Oct 2022, 417-201-00007 Protocol Clarification Memo Protocol Amendment Dated 18 Nov 2022, Otsuka Pharmaceutical Development & Commercialization Inc 417-201-

00007-SP6309-(

Noted and approved.

IEC code: 2021-178-DT-121 Agenda No. 79

Type of submission: General Notification

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled

study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3

glomerulopathy

Department: Nephrology PI: Dr DS Bhadauria

Subject: Study related documents for review and approval IB Edi 9 Release date 29- Jan

2022 and IB Summary of Changes.

Noted and approved.

IEC code: 2021-178-DT-121 Agenda No. 80

Type of submission: General Notification

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled

study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3 glomerulopathy

PI: Dr DS Bhadauria **Department:** Nephrology

Subject: SUSAR in LNP023 listing covering the period 01 April 2022 to 30 September

Noted and approved.

IEC code: 2021-179-DT-121 Agenda No. 81

Type of submission: General Notification

Title of project: A multicenter, single-arm, open label trial to evaluate efficacy and safety of oral, twice daily LNP023 in adult aHUS patients who are naïve to complement inhibitor

Department: Nephrology PI: Dr DS Bhadauria

Subject: Study related documents (IB edi 9, Release date 29-Jun-2022 and IB Summary of

Changes).

Noted and approved.

Agenda No. 82

IEC code: 2021-179-DT-121

Type of submission: General Notification

Title of project: A multicenter, single-arm, open label trial to evaluate efficacy and safety of oral, twice daily LNP023 in adult aHUS patients who are naïve to complement inhibitor

therapy

PI: Dr DS Bhadauria Department: Nephrology Subject: Study related documents for IEC approval submit on 23 Feb 2023.

Noted and approved.

Agenda No. 83

IEC code: 2021-180-DT-121

Type of submission: General Notification

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Study related documents for IEC notification (IB_OMB157/Ofatumumab-(Edition 07th Nov 2022, IB_OMB157/Ofatumumab Summary of Changes (Edition 07th Nov 2022).

Noted and approved.

Agenda No. 84

IEC code: 2021-180-DT-121

Type of submission: General Notification

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Study related documents for IEC notification (CBAF312 (L1)SUSAR (s), CFTY720 (L1)SUSAR (s), COMB157_NON OCOLOGY (L1)SUSAR (s) dated 01 Apr

2022 - 30 Sep 2022).

Noted and approved.

Agenda No. 85

IEC code: 2021-180-DT-121

Type of submission: General Notification

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Subject no:2224002 discontinue from study on 22 Dec 2022.

Noted and approved.

Agenda No. 86

Type of submission: General Notification

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

IEC code: 2021-180-DT-121

Subject: Study related documets of IEC notification (Data Monitoring Committee letter

dated 23 Jan 2023).

Noted and approved.

Agenda No. 87

IEC code: 2021-227-DT-122

Type of submission: General Notification

Title of project: A randomized, Open label, Single Center, Post Market Clinical Study to Evaluate the Efficacy and Safety of a New Antimicrobial Wound Dressing (VELVERT)

Compared to Standard dressing (SD) in the treatment of Diabetic Foot Ulcer

PI: Dr Gyan Chand

Department: Endocrine Surgery

Subject: Renewed Insurance documents (policy no: 4067/230369882/01/000).

Noted and approved.

Agenda No. 88

IEC code: 2021-271-EMP-123

Type of submission: General Notification

Title of project: Randomised phase II trial testing efficacy of intra-tumoural hydrogen peroxide as a radiation sensitiser in patients with locally advanced/recurrent breast cancer.

PI: Dr Punita Lal Department: Radiotherapy

Subject: Study related documents for review and approval (CTRI no: CTRI/2022/02/040331, policy no: 93000036220200000004, Protocol version: 3.0 dated 1.11.2021 along with

summary changes, PID, eCRF, DCGI approval for the latest version)

Noted and approved.

Agenda No. 89

IEC code: 2021-346-DT-124

Type of submission: General Notification

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the Safety and Efficacy of Agalsidase alfa (r-DNA origin) (ReplagalTM)

in Indian Children and Adults With Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal Department: Medical Genetics

Subject: Insurance letter for IE confication (Insurance no:OG-23-1113-3306-00000003 and

policy period from 11-May-2022 to 10-May-2023)

Noted and approved.

Agenda No. 90

IEC code: 2021-346-DT-124

Type of submission: General Notification

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the Safety and Efficacy of Agalsidase alfa (r-DNA origin) (ReplagalTM)

in Indian Children and Adults With Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal **Department:** Medical Genetics

Subject: CTRI registry for review and IEC notification (CTRI no: CTRI/2021/12/038690)

Noted and approved.

Agenda No. 91

IEC code: 2021-346-DT-124

Type of submission: General Notification

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the Safety and Efficacy of Agalsidase alfa (r-DNA origin) (ReplagalTM) in Indian Children and Adults With Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal

Department: Medical Genetics

Subject: Sponsor changing documents for review and IEC notification

Noted and approved.

Agenda No. 92

IEC code: 2021-346-DT-124

Department: Medical Genetics

Type of submission: General Notification

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the Safety and Efficacy of Agalsidase alfa (r-DNA origin) (ReplagalTM) in Indian Children and Adults With Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal Subject: Signed CTA for IEC notification

Noted and approved.

Agenda No. 93 **IEC code:** 2022-177-DT-129

Type of submission: General Notification

Title of project: An Adaptive, randomized, double blind, dose exploration, parallel group, placebo-controlled, multicenter, phase 2 trial to evaluate the efficacy, safety and tolerability of LNP023 in combination with standard of care with or without oral combination corti

PI: Dr DS Bhadauria Department: Nephrology

Subject: Study related documents (IB edi 9, Release date 29-Jun-2022 and IB Summary of Changes).

Noted and approved.

Agenda No. 94 IEC code: 2022-177-DT-129

Type of submission: General Notification

Title of project: An Adaptive, randomized, double blind, dose exploration, parallel group, placebo-controlled, multicenter, phase 2 trial to evaluate the efficacy, safety and tolerability of LNP023 in combination with standard of care with or without oral combination corti

PI: Dr DS Bhadauria Department: Nephrology

Subject: Updated Investigator Undertaking dated 02-Jan-2023

Noted and approved.

Agenda No. 95 IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A Nephropathy

PI: Dr Narayan Prasad

Department: Nephrology

Subject: Study related documents for IEC approval (Retention Item Plan dated V2.0 dated

02 Nov 2022).

Noted and approved.

Agenda No. 96 IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in

Subjects with Immunoglobulin A Nephropathy

PI: Dr Narayan Prasad Department: Nephrology

Subject: DCGI NOC letter dated 16 Sep 2022.

Noted and approved.

Agenda No. 97 IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in

Subjects with Immunoglobulin A Nephropathy

PI: Dr Narayan Prasad Department: Nephrology

Subject: Insurance Certificate (Ploicy no: D068507958).

Noted and approved.

Agenda No. 98 IEC code: 2022-72-DT-128

Lt Co1 Varun Bajpai VSM Executive Registrar

Type of submission: General Not fication

Executive Registrar SGPGIMS, Lucknow Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A Nephropathy

PI: Dr Narayan Prasad

Department: Nephrology

Subject: CTRI registration confirmation dated 23 Nov 2022 (CTRI no:

CTRI/2022/11/047572).

Noted and approved.

Agenda No. 99

IEC code: 2022-72-DT-128

Type of submission: General Notification

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A Nephropathy

PI: Dr Narayan Prasad

Department: Nephrology

Subject: IRB waiver letter study no: 417-201-0007

Noted and approved.

H. Study Final/Annual/Progress reports /Close out Notification:

Agenda No. 100 IEC code: 2019-19-RA-EXP-7

Type of submission: Final/Annual/Progress reports

Title of project: "Genetic risk factors and biomarkers of Non- alcoholic fatty liver disease". PI: Dr Samir Mohindra **Department:** Gastroenterology

Subject: Annual Progress report (document submit dated 21-02-2023)

Noted and approved.

IEC code: 2020-329-DT-117 Agenda No. 101

Type of submission: Final/Annual/Progress reports

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita **Department:** Neurology

Subject: Annual Progress report (Document submit date 11-01-2023).

Noted and approved.

Agenda No. 102 IEC code: 2021-125-IMP-120

Type of submission: Final/Annual/Progress reports

Title of project: To study the effect of medical nutrition therapy to alleviate school time hyperglycemia and address glycemic outcomes in children with type 1 diabetes mellitus PI: Dr Siddhnath Sudhanshu **Department:** Endocrinology

Subject: Annual Progress report (Document submitted on 08-02-2023).

Noted and approved.

IEC code: 2021-180-DT-121 Agenda No. 103

Type of submission: Final/Annual/Progress reports

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Annual Progress report (Document Submit date 11-01-2023).

Noted and approved.

Agenda No. 104

IEC code: 2018-118-IMP-105

Type of submission: Study Close out Notification

Title of project: vitamin D deficiency and its replenishment in children with epilepsy

receiving antiepileptic drugs.

PI: Dr Siddhnath Sudhanshu

Department: Endocrinology

Subject: Study completion report (completion date: Oct 2022).

Noted and approved.

Agenda No. 105

IEC code: 2020-18-MD-114

Type of submission: Study Close out Notification

Title of project: A PROSPECTIVE OBSERVATIONAL STUDY TO ASSESS THE EFFICACY OF AURICULAR TENS IN PAIN RELIEF AND HEMODYNAMICS IN

POST STERNOTOMY CARDIAC SURGICAL PATIENTS.

PI: Dr Prabhat Tewari

Department: Anaesthesiology

Subject: Study completion report (completion date: Aug 2021).

Noted and approved.

Agenda No. 106

IEC code: 2021-97-IMP-EXP-38

Type of submission: Study Close out Notification

Title of project: Antibody response to Covid-19 vaccination in patients with advanced liver

disease

PI: Dr Amit Goel

Department: Gastroenterology

Subject: Study completion report (completion date: December 2021).

Noted and approved.

(Dr. Durga P. Misra) Member Secretary,

IEC, SGPGI

(Dr. M.K. Mitra)

Chairman,

IEC, SGPGI

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 129th Institutional Ethics Committee meeting held on 15th December 2022, at 2:30 PM, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Present:

1. Prof. M. K. Mitra, Retd. Professor, Dept. of Medicine, KGMU, Lucknow	Chairperson
2. Prof. Shubha Phadke, Acting Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Luckr	iow Ex-Officio Member
3. Dr. Gautam Palit, Former Chief Scientist and Head, Dept. of Pharmacology, CSIR, Lucknow	Member
4. Dr. Arun Chaturvedi, Retd. Head, Dept. of Oncology, KGMU, Lucknow	Member
5. Dr. Mala Kumar, Professor, Department of Pediatric, KGMU, Lucknow	Member
6. Dr. Uday C. Ghoshal, Prof. & Head, Department of Gastroenterology, SGPGI MS, Lucknow	Member
7. Dr. Vinita Agarwal, Professor, Department of Pathology, SGPGIMS, Lucklnow	Member
8. Prof. Anand Vishwakarma, Professor of Law and Director Legal Cell, University of Lucknow	Member
9. Shri. Vijai Varma Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
10. Ms. Shalini Mathur, Secretary, Suraksha NGO, Lucknow	Member
11. Shri Sharad Jain, Shri Madhav Seva Ashram, Lucknow	Member
12. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
13. Mr. Anil Kumar Srivastava, Retd. Professor, Department of Sociology, University of Lucknow	Member
14. Dr. Shagun Mishra, Additional Professor, Department of Radiotherapy, SGPGIMS, Lucknow	Alternate Member Secretary
15. Dr. Durga P. Misra, Associate Professor, Department of Clinical Immunology, SGPGIMS, Lucknow	Member Secretary

Determination of quorum: quorum was complete: 12 members present, with 7 non-institutional members.

A: General discussions and confirmation of Minutes:

Approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 128th IEC meeting held on 24.09.2022. All the members of IEC approved the minutes of the meeting.

Salon

B. Review of New Proposals:

Agenda No. 1 IEC code: 2022-164-EMP-129

Type of submission: Project Extramural

Title of project: Acceptability and Usability of Hepatitis-C Self-Testing and its Distribution Models among the General Population, and People Who Inject Drugs and their Partners in

India

PI: Dr Amit Goel Department: Gastroenterology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 2 IEC code: 2022-166-EMP-129

Type of submission: Project Extramural

Title of project: Role of Zr89-Rituximab PET/CT in Rheumatoid Arthritis patients: A pilot

study

PI: Dr S Gambhir Department: Nuclear Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The PID is written in the form of instructions for making the PID rather than as a patient information to the PID. Please modify it.

Please also provide a Hindi version of the PID.

Decision: Minor revision

Agenda No. 3 IEC code: 2022-167-EMP-129

Type of submission: Project Extramural

Title of project: Analysis of placental derived Extracellular vesicles for aneuploidy detection

PI: Dr Swasti Tiwari Department: Molecular Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Please clarify the investigator who shall be responsible for recruiting pregnant patients into the study. It is suggested to include an investigator from a relevant clinical background for this purpose.

Decision: Minor revision

Agenda No. 4 IEC code: 2022-169-EMP-129

Type of submission: Project Extramural

Title of project: MOLECULAR TAXONOMY TO DEFINE SEVERITY AND

PROGNOSIS OF HEAD INJURY

PI: Dr Pawan Kumar Verme Department: Neurosurgery

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Executive Registrar SGPGIMS, Lucknow Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 5

IEC code: 2022-179-DT-129

Type of submission: Project Extramural

Title of project: A Prospective, openlabled, Multicenter, Observational, Registry of ISAR SUMMIT IN CAD Patients undergoing PCI in real world indian population (TRANSEVER

REGISTRY).

PI: Dr Sudeep Kumar **Department:** Cardiology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 6

IEC code: 2022-180-DT-129

Type of submission: Project Extramural

Title of project: Prevalence, determinants and awareness of covert injuries and its effect on performance in players involved in non contact sports

PI: Dr Pulak Sharma Department: Apex Trauma Centre

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Please provide for review

- Hindi informed consent form for patient
- English and Hindi informed consent form for parent consent & for child assent.

Decision: Minor revision

After revision, the project was approved from the ethics points of view.

Agenda No. 7

IEC code: 2022-161-MD-129

Type of submission: Project DM/MCh/MD

Title of project: Comparison of effect of Cricoid and Paratracheal pressure on patency of

upper esophagus, under General Anaesthesia

PI: Dr Divya Srivastava

Department: Anaesthesiology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Please clarify when consent shall be taken.

Question 9 in the PID is blank, please fill it.

Decision: Minor revision

Agenda No. 8 IEC code: 2022-162-MD-129

Type of submission: Project DM/MCh/MD

Title of project: Role of lenvatinib as adjuvant to high dose I-131 therapy in bulky

metastatic thyroid cancer

PI: Dr Manish Ora Department: Nuclear Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please clarify whether lenvatinib is approved for the indication.

The invitation paragraph of PID should mention that you are being invited to participate in the study as you have bulky metastatic thyroid cancer.

It is suggested to clarify/involve an investigator who is experienced with the usage of this drug for the monitoring of adverse events.

Decision: Minor revision

Agenda No. 9 **IEC code:** 2022-165-MD-129

Type of submission: Project DM/MCh/MD

Title of project: 11C-PIB PET/CT IN SUSPECTED PATIENTS OF DEMENTIA AND

MILD COGNITIVE IMPAIREMENT: VALUE ADDITION OVER STANDARD

CLINICO-IMAGING WORK UP -A PILOT STUDY

PI: Dr S Gambhir Department: Nuclear Medicine

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Please provide Hindi version of PID

Please provide a PID for the legally acceptable representative who shall be involved in

the consent process.

Decision: Minor revision

Agenda No. 10 IEC code: 2022-168-MD-129

Type of submission: Project DM/MCh/MD

Title of project: Evaluation of the postoperative olfactory and gustatory dysfunction following gargle with 2% lignocaine hydrochloride oral topical solution and nebulization with 4% lignocaine in the patients undergoing fiberoptic intubation for cervical spine surgery

Department: Anaesthesiology

und

PI: Dr Devendra Gupta

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Please clarify which is the intervention and which is the control group.

After ethics approval, the investigator should get the trial registered by CTRI and start enrollment after CTRI registration. At this stage, a copy of the CTRI registration should be submitted to the IEC before starting the study.

Decision: Minor revision

Agenda No. 11 **IEC code:** 2022-170-DM-129

Type of submission: Project DM/MCh/MD

Title of project: Transcranial Magnetic stimulation treatment for New Daily persistent

Headache, Single Arm Open Label study

PI: Dr Vimal Kumar Paliwal Department: Neurology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 12 IEC code: 2022-174-MD-129

Type of submission: Project DM/MCh/MD

Title of project: Comparison of placement efficacy of inflatable cuff &non-inflatable cuff supraglottic airway device when used by trainee anaesthesiologists in patients under general anaesthesia

PI: Dr Amit Rastogi Department: Anaesthesiology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The title in the protocol submitted to PG committee is different from the one submitted to the IEC. The title should include randomized controlled trial.

Please provide informed consent form - in English and Hindi

After ethics approval, the investigator should get the trial registered by CTRI and start enrollment after CTRI registration. At this stage, a copy of the CTRI registration should be submitted to the IEC before starting the study.

Decision: Minor revision

Agenda No. 13 IEC code: 2022-175-DM-129

Type of submission: Project DM/MCh/MD

Title of project: Screening for Iron overload in Wilson disease

PI: Dr Moinak Sen Sarma Department: Ped. Gastroenterology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 14

IEC code: 2022-199-MD-129

Type of submission: Project DM/MCh/MD

Title of project: To compare the intrathecal hyperbaric Levobupivacaine with morphine verses hyperbaric Bupivacaine with morphine for postoperative analgesia after LSCS, a

prospective randomised double blind study

PI: Dr Sandeep Sahu Department: Anaesthesiology

Primary reviewer (Technical) Dr. Durga P. Misra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

After ethics approval, the investigator should get the trial registered by CTRI and start enrollment after CTRI registration. At this stage, a copy of the CTRI registration should be submitted to the IEC before starting the study.

Agenda No. 15 IEC code: 2022-163-IP-129

Type of submission: Project Independent

Title of project: Antibody response to rapid course of hepatitis B vaccine among people who

inject drug (PWID) in India

PI: Dr Amit Goel Department: Gastroenterology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The project is approved from the ethics points of view.

Agenda No. 16 IEC code: 2022-171-IP-129

Type of submission: Project Independent

Title of project: Evaluation of intubation performances of Glidescope versus Smart trach

videolaryngoscopes in infants. A randomized prospective clinical trial

PI: Dr Rudrashish Haldar Department: Anaesthesiology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The project is approved from the ethics points of view.

After ethics approval, the investigator should get the trial registered by CTRI and start enrollment after CTRI registration. At this stage, a copy of the CTRI registration should be submitted to the IEC before starting the study.

Agenda No. 17 **IEC code:** 2022-172-CP-129

Type of submission: Project Collaborative

Title of project: Genetics of Takayasu arteritis and other immune-mediated diseases.

PI: Dr Durga Prasanna Misra Department: Clinical Immunology

Primary reviewer PID and GF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr. K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. Kindly inform the number of patients that will be accrued from the participating institution

Please mention about ethics approval of the participating institution To submit material transfer agreement/HMSC approval after ethics approval. Decision: Minor revision.

Agenda No. 18

IEC code: 2022-176-DT-129

IEC code: 2022-177-DT-129

Type of submission: Project Drug/device trials

Title of project: A randomized, double-blind, double-dummy, parallel-group study, comparing the efficacy and safety of remibrutinib versus teriflunomide in participants with relapsing multiple sclerosis, followed by extended treatment with open-label remibrutinib

PI: Dr Jayantee Kalita

Department: Neurology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The PI is requested to synthesize a concise PID in addition to the one that has already been provided, for the ease of the patients being recruited.

Legal reviewer comments:

1- Insurance policy is expiring on 31.12.2022 whereas the study end date is 31.07.2030.hence the policy needs to be extended till the date of the completion of the study.

- 2-In clearly.17 of the CTA, it is provided that the Novartis may assign its rights and obligations under the Agreement to its Affiliates etc. but there is no provision for giving notice to the Institution/PI. Though the Sponsor has a right to do so but at least a notice of the same may be given to the Institution/PI, so that they are not caught unawares.
- 3- In cl.26, the jurisdiction of the courts at Mumbai is given. It may be changed to Lucknow as the Study is to be undertaken at Lucknow.
- 4-There is no provision for the dispute resolution in the CTA. Let a clause be added for providing the mechanism for dispute resolution if an occasion so arises.
- 5-In the ICF, it is provided in para9 that all costs for the required visits etc. shall be provided by the Sponsor to the participants but at the same time it is also mentioned that the participants will still be responsible for the usual costs for their medication which appears to be slightly unethical.

Decision: Minor revision

Agenda No. 19

Type of submission: Project Drug/device trials

Title of project: An Adaptive, randomized, double blind, dose exploration, parallel group, placebo-controlled, multicenter, phase 2 trial to evaluate the efficacy, safety and tolerability of LNP023 in combination with standard of care with or without oral combination corti

PI: Dr DS Bhadauria Department: Nephrology

Primary reviewer PID and CF: Dr. Shagun Mishra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS, Lucknow

Salon

The PI is requested to synthesize a concise PID in addition to the one that has already been provided, for the ease of the patients being recruited.

1-The Insurance policy is expiring on 31.12.2022 whereas the Study End Date is 01.08.2025 hence the Policy needs to be extended till the completion of the Study.

2-The issues raised at point no. 2 to 4 of Agenda item no.18 are reiterated here as the Sponsor's CTA is exactly the same here.

3-In cl.8 of the ICF in a query as to what happens if I (the participant) is injured due to his participation in the study, it is mentioned that for this point he should see section in the core informed consent, which appears to be little improper as he can be explained here only, in specific terms as to how he will be dealt with in such a situation.

Decision: Minor revision

Agenda No. 20

Type of submission: Project Drug/device trials

Title of project: A Phase 3, Multi-Center, Randomized, Open-Label, Assessor-Blind Study to Evaluate the Efficacy and Safety of Mino-Lok Therapy (MLT) in Combination with Systemic Antibiotics in the Treatment of Catheter-Related or Central Line-Associated Bloodstream Infect

IEC code: 2022-178-DT-129

Department: Nephrology

IEC code: 2022-181-DT-129

PI: Dr Narayan Prasad

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The PI is requested to synthesize a concise PID in addition to the one that has already been provided, for the ease of the patients being recruited.

Kindly ensure that there is minimal spillage of the Mino-lok solution into the bloodstream to avoid any adverse effects.

Decision: Minor revision

Agenda No. 21

Type of submission: Project Drug/device trials

Title of project: A prospective, randomized, assessor-blind, active controlled, multi-center study to demonstrate the safety and efficacy of VELGRAFT, an allogenic cell-based wound dressing, in comparison to standard moist wound dressing in management of chronic diabetic f

PI: Dr Gvan Chand Department: Endocrine Surgery

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- 1. DCGI has approved the study as a Phase I study, after which an interim analysis is required for the permission for Phase II study. The PI would require permission from DCGI and the IEC for approval of the phase II study after interim analysis of phase I study.
- 2. The project submission form mentions 100 participants. Possibly it is for the Phase II study. Phase I study involves enrollment of 24 participants only. Clarify the number of participants to be enrolled from SGPGIMS (out of the 24). Kindly check and correct.

Executive Registrar SGPGIMS, Lucknow 3. Exclusion criteria mention that 'patients with cellulitis and other infections would be excluded'. Swab for the same will be taken. Kindly ensure in the 'inclusion criteria' that participants are included in the study only after the swab culture report is reported negative.

4-In cl.2.4 of the CTA, it is provided that if the PI is unwilling or unable to perform the study then a replacement is to be found by the Institution with consultation of the Sponsor. However if no such replacement is found then the study could be continued with Prof.Gyan Chand.Here there appears to be some fallacy as Prof.Gyan Chand is the PI himself and if he is unwilling or unable to perform the study, then how can he be asked to continue with the study.

Decision: Minor revision.

After revision, the project was approved from the ethics points of view.

C. Reporting decisions of Member Secretary/3 Member Committee/Expedite Review Committee:

The recommendation of the Member Secretary/Expedite Review Committee for the agenda 22 to 46 (EXP meeting no. 49) who has given clearance for the proposals has been approved by the committee.

Agenda No. 22 **IEC code:** 2022-117-IP-EXP-49

Type of submission: Expedite review project for IEC notification **Title of project:** Causes of delay in diagnosis of SLE patients in India.

PI: Dr Amita Aggarwal Department: Clinical Immunology

Subject: Expedite review project for IEC notification

Agenda No. 23 IEC code: 2022-118-CP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Laryngotraxheal and Upper airway symptoms due to mechanical ventilation after orotracheal intubation in Critically ill patients - a Prospective Multicentre Cohort study **PI:** Dr Mohan Gurjar **Department:** Critical Care Medicine

Subject: Expedite review project for IEC notification

Agenda No. 24 IEC code: 2022-120-IMP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: An Retrospective Study to Assess the Functional Outcomes Ater Anteror Cruciate Ligament Reconstruction Using an Adjustable Loop Button and PLDLA-BTCP

Interference screw

PI: Dr Pulak Sharma Department: Apex Trauma Centre

Subject: Expedite review project for IEC notification

Agenda No. 25 IEC code: 2022-122-IMP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Functional validation of the micro-RNAs involved in the pathogenesis of

acquired Aplastic anemia.

PI: Dr Ruchi Gupta Department: Clinical Hematology

Subject: Expedite review project for IEC notification

Agenda No. 26 IEC code: 2022-123-IMP-EXP-49

Type of submission: Expedite review project for IEC notification

Lt Col Varun Bajpai VSM

Executive Registrar

SGPGIMS, Lucknow

Balon

Title of project: Standarization and validation of in-house methods for Adamts-13 activity

and anti-CFH antibody

PI: Dr Dinesh Chandra Department: Clinical Hematology

Subject: Expedite review project for IEC notification

Agenda No. 27 IEC code: 2022-124-IMP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Comparative evaluation of diagnostic and prognostic accuracy of

Procalcitonin, CD64, Group II phospholipase a 2 and Human neutrophil lipocalin for adult

septic shock patients admitted in Emergecy Department.

PI: Dr Tanmoy Ghatak Department: Emergency Medicine

Subject: Expedite review project for IEC notification

Agenda No. 28 IEC code: 2022-126-IMP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Role of multiplex real time PCR assay in the diagnostic evaluation of

granulomatous inflammation due to Tuberculosis

PI: Dr Mansi Gupta Department: Pulmonary Medicine

Subject: Expedite review project for IEC notification

Agenda No. 29 IEC code: 2022-127-MD-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Profiling of O group donors according to antibody titers

PI: Dr Prashant Agarwal Department: Transfusion Medicine

Subject: Expedite review project for IEC notification

Agenda No. 30 IEC code: 2022-128-IP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Assessment of awareness of Emergency Medicine (EM) amongst medical

students, faculties and medical practitioners in India

PI: Dr Om Prakash Sanjeev Department: Emergency Medicine

Subject: Expedite review project for IEC notification

Agenda No. 31 IEC code: 2022-129-IP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Retrospective Observation Study of Tropical Pulmonary Eosinophilia
PI: Dr Aimal Khan

Department: Pulmonary Medicine

Subject: Expedite review project for IEC notification

Agenda No. 32 IEC code: 2022-131-DM-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: P-glycoprotein expression and function on peripheral blood lymphocytes in

Takayasu arteritis.

PI: Dr Durga Prasanna Misra Department: Clinical Immunology

Subject: Expedite review project for IEC notification

Agenda No. 33 IEC code: 2022-132-EMP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Study the molecular mechanism of nephrolithiasis and screening of

potential therapeutics for disease by using Drosophila model

PI: Dr Naveen Kumar Gautam Bajpai vsm Department: Urology

Executive Registrar SGPGIMS, Lucknow Subject: Expedite review project for IEC notification

Agenda No. 34 IEC code: 2022-136-CP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Practice pattern of aerosol therapy in ICU/Emergency Department patients

(AERO-IN ICU/ED)

PI: Dr Mohan Gurjar Department: Critical Care Medicine

Subject: Expedite review project for IEC notification

Agenda No. 35 IEC code: 2022-137-IP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Evaluation of HBeAg positive status as a surrogate marker of high HBV DNA requiring antiviral treatment in pregnant Indian women: a multicentric retrospective review of data

PI: Dr Amit Goel Department: Gastroenterology

Subject: Expedite review project for IEC notification

Agenda No. 36 IEC code: 2022-138-IP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: "Risk factors and early prediction of Post-Endoscopic Retrograde

Cholangiopancreatography Pancreatitis (PEP) in children"

PI: Dr Anshu Srivastava Department: Ped. Gastroenterology

Subject: Expedite review project for IEC notification

Agenda No. 37 IEC code: 2022-139-CP-EXP-49

Type of submission: Expedite review project for IEC notification

Title of project: Worldwide Delirium 1-Day Point Prevalence Study 2023

PI: Dr Mohan Gurjar Department: Critical Care Medicine

Subject: Expedite review project for IEC notification

Agenda No. 38 IEC code: 2022-108-IMP-128

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary **Title of project:** Effect of Three Months Daily Teriparatide Injection in Osteoporotic

Intertrochanteric fractures: A Randomised Controlled Trial

PI: Dr Kumar Keshav Department: Apex Trauma Centre

Subject: Clarification submitted by Dr Kumar Keshav Dept. of Apex Trauma Centre and

approved by Member Secretary for notification

Agenda No. 39 IEC code: 2022-111-IMP-128

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary **Title of project:** Comparison of the use of LMA Gastro Airway versus sedation and oxygen

supplementation with nasal cannula in patients undergoing Endoscopic Retrograde

Cholangiopancreatography: A Prospective Randomised Control Study

PI: Dr Suruchi Department: Anaesthesiology

Subject: Clarification submitted by Dr Suruchi Dept. of Anaesthesiology and approved by

Member Secretary for notification

Agenda No. 40 IEC code: 2022-112-CP-128

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary

Title of project: EUS-guided trans-papillary or trans-luminal biliary stenting for malignant

biliary obstruction: A prospective randomised comparison

Department: Gastroenterology PI: Dr Praveer Rai

Subject: Clarification submitted by Dr Praveer Rai Dept. Of Gastroenterology and approved

by Member Secretary for notification

IEC code: 2022-113-EMP-128 Agenda No. 41

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary Title of project: Efficacy and safety of levodopa in dystonia due to neurological Wilson

disease, an open label placebo controlled randomized clinical trial.

Department: Neurology PI: Dr Jayantee Kalita

Subject: Clarification submitted by Dr Jayantee Kalita Dept. Of Neurology and approved by

Member Secretary for notification

IEC code: 2022-72-DT-128 Agenda No. 42

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with Immunoglobulin A Nephropathy

Department: Nephrology PI: Dr Narayan Prasad

Subject: Clarification submitted by Dr Narayan Prasad Dept. Of Nephrology and approved

by Member Secretary for notification

IEC code: 2022-90-IMP-128 Agenda No. 43

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary Title of project: To Study the Serum Metabolomic markers as predictor of Gestational Diabetes Mellitus in first trimester of pregnancy in North Indian Population: a pilot Study.

Department: Maternal & Reprod. PI: Dr Indu Lata

Health

Subject: Clarification submitted by Dr Indu Lata Dept. Of Maternal & Reprod. Health and approved by Member Secretary for notification

IEC code: 2022-93-EMP-128 Agenda No. 44

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary Title of project: Investigation of mitochondrial damage associated molecular patterns as

potential biomarkers for pregnancy complications

Department: Molecular Medicine PI: Dr L K Sharma

Subject: Clarification submitted by Dr L K Sharma Dept. Of Molecular Medicine and approved by Member Secretary for notification

IEC code: 2022-94-EMP-128 Agenda No. 45

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary Title of project: Genotype-phenotype correlation with the efficacy of chelation therapy in

pediatric hepatic Wilson disease in North India

PI: Dr Moinak Sen Sarma **Department:** Ped. Gastroenterology

Subject: Clarification submitted by Dr Moinak Sen Sarma Dept. Of Ped. Gastroenterology

and approved by Member Secretary for notification

IEC code: 2022-99-EMP-128 Agenda No. 46 Type of submission: Reporting decisions of 3 Member Committee/Member Secretary

Executive Registrar

SGPGIMS, Lucknow

Title of project: EFFECT OF ORAL SODIUM BICARBONATE ON FGF-23/KLOTHO AXIS AND BONE HEALTH IN PATIENTS WITH CHRONIC KIDNEY DISEASE.

PI: Dr Manas Ranjan Behera

Department: Nephrology

Subject: Clarification submitted by Dr Manas Ranjan Behera Dept. Of Nephrology and

approved by Member Secretary for notification

D. Notification for Amendments/Addendum:

Agenda No. 47 IEC code: 2019-83-DM-109

Type of submission: Notification of Amendments/Addendum

Title of project: An observational case control study on the safety and efficacy of Edaravone

in Indian patients with Amyotrophic lateral sclerosis

PI: Dr Vinita E Mani Department: Neurology

Subject: Request for two years extension of the above study.

Committee has noted and approved.

Agenda No. 48 IEC code: 2019-85-DM-109

Type of submission: Notification of Amendments/Addendum

Title of project: A study of the clinical, laboratory and radiological profiles, etiology and outcomes of patients with acute encephalitis syndrome to identify the uncommon causes of encephalitis in Uttar Pradesh

PI: Dr Vinita E Mani Department: Neurology

Subject: Request for two years extension of the above study.

Committee has noted and approved.

Agenda No. 49 IEC code: 2020-196-DT-115

Type of submission: Notification of Amendments/Addendum

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV®

(velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Subject: Amendment report (Request for One year extension of the above study and

summary of changes).

Committee has noted and approved.

Agenda No. 50 IEC code: 2020-196-DT-115

Type of submission: Notification of Amendments/Addendum

Title of project: SHP669-406 :A Post Marketing Surveillance (PMS) Study for VPRIV®

(velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Subject: SHP669-406 DCGI approval for protocol Amendment 3 IEC notification.

Committee has noted and approved.

Agenda No. 51 IEC code: 2020-321-EMP-EXP-33

Type of submission: Notification of Amendments/Addendum

Title of project: Mitochondrial Dynamics and Immune Response Crosstalk in Inflammatory

Bowel Disease

PI: Dr U C Ghoshal Department: Gastroenterology

Subject: Amendment report ((Increase the sample size from 40 to 60).

Committee has noted and approved.

E. Notification for protocol deviation:

IEC code: 2020-329-DT-117 Agenda No. 52

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology Subject: Notification for protocol deviation (Hematocrit (HCT valu were missed due to beyond stability reason in central lab report of subject 41050100 for visit D-267, done on 04 Jul 2022 and Accidentally tapering of IP kit (10682 Exp 06/2022) without any IRT transantion, however none of the study subject impacted)

Committee has noted.

IEC code: 2020-329-DT-117 Agenda No. 53

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

Subject: IEC Notification of protocol deviation (Patient No.: 41050100 and 41050266).

Committee has noted.

IEC code: 2021-177-DT-121 Agenda No. 54

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

Hematology

Subject: Notification for protocol deviation (Patient no.: 2100009,RK)

Committee has noted.

IEC code: 2021-177-DT-121 Agenda No. 55

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises. Department: Clinical PI: Dr Sanjeev

Hematology

Subject: Notification for protocol deviation (Patient no.: 2100006 and RK).

Committee has noted.

IEC code: 2021-177-DT-121 Agenda No. 56

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

Department: Clinical PI: Dr Sanjeev

Hematology

Subject: Notification for protocol deviation (Patient no. : 2100006 and RK).

Committee has noted.

IEC code: 2021-178-DT-121 Agenda No. 57 Lt Col Varun Bajpai VSM

Executive Registrar SGPGIMS, Lucknow

Type of submission: Notification for protocol deviation

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled

study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3

glomerulopathy

PI: Dr DS Bhadauria

Department: Nephrology

Subject: Notification for protocol deviation (Patient no.: 2051001, ECG was missed to perform on screening visit therefore it was performed on D-75 visit dated on 5 August 2022).

Committee has noted.

Agenda No. 58

IEC code: 2021-178-DT-121

Type of submission: Notification for protocol deviation

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3 glomerulopathy

PI: Dr DS Bhadauria

Department: Nephrology

Subject: Notification for protocol deviation (Patient no.: 2051001, ECG was missed to perform on screening visit therefore it was performed on D-75 visit dated on 5 August 2022).

Committee has noted.

Agenda No. 59

IEC code: 2021-180-DT-121

Type of submission: Notification for protocol deviation

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita

Department: Neurology

Subject: IEC Notification of protocol deviation (Patient No. : 222402)

Committee has noted.

F. General Notification:

Agenda No. 60 **IEC code:** 2019-178-DT-111

Type of submission: General Notification

Title of project: Protocol I4V-MC-JAHU: A Randomized, Double Blind, Placebo-Controlled, Withdrawal, Safety and Efficacy Study of Oral Baricitinib in Patients from 1

Year to Less than 18 years Old with Systemic Juvenile Idiopathic Arthritis.

PI: Dr Amita Aggarwal Department: Clinical

Immunology

Subject: DCGI approval letter for JAHU protocol Amendment (d).

Committee has noted.

Agenda No. 61

IEC code: 2020-196-DT-115

Type of submission: General Notification

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV®

(velaglucerase alfa) in India

PI: Dr Shubha Phadke **Department:** Medical Genetics

Subject: CIOMS form (Site#:009, Subjact#: 406-009-0002 Onset of Event 07-Jul-2022 and

Stop date of Event 14-Jul-2022).

Committee has noted.

Agenda No. 62

IEC code: 2020-201-EMP-115

Type of submission: General Notification

Title of project: Digoxin in patients with rheumatic heart disease - a randomized placebocontrolled trial

PI: Dr Sudeep Kumar

Department: Cardiology

Subject: Study related documents (final working protocol version 1.3, dated 16th of June

2022).

Committee has noted.

Agenda No. 63

IEC code: 2020-329-DT-117

Type of submission: General Notification

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

Subject: IEC notification of SDMC form (Dated: 10 Jun 2022)

Committee has noted.

Agenda No. 64

IEC code: 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

PI: Dr Rajanikant R Yadav

Department: Radiodiagnosis

Subject: Study related documents for IEC notification and approval (Type of documents: IB version no. 4.0 dated 17 Dec 2020, IB version no. 5.0 dated 06 May 2022, Investigator IU dated 06 Apr 2022, Clinical Trial Agreement).

Committee has noted.

Agenda No. 65

IEC code: 2021-177-DT-121

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

Hematology

Subject: IEC notification for dispensing early of patient 2100001 in IRT.

Committee has noted.

Agenda No. 66

IEC code: 2021-177-DT-121

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev

Department: Clinical

Hematology

Subject: IEC notification for listing of suspected Unexpected Serious Adverse Reactions

(SUSAR) in Crizanlizumab (SEG101).

Committee has noted.

Agenda No. 67

IEC code: 2021-178-DT-121

Type of submission: General No

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled study to evaluate the efficacy and safety of iptacopan (LNP023) in complement 3 glomerulopathy

PI: Dr DS Bhadauria

Department: Nephrology

Subject: Study related documents for IEC notification (Audio-Video ICF - Version 1.0 -Dated 01 Apr 2021, Audio-Video ICF - Version 1.0 -Dated 01 Apr 2021-translated from English to Hindi on 23 Jun 2021, Audio-Video ICF - Version 1.0 - Dated 01 Apr 2021-back translated from Hindi to English on 25 Jun 2021, Translation back translation cerificate for Audio-Video form - Version 1.0 -Dated 01 Apr 2021):Hindi).

Committee has noted.

G. SAE:

IEC code: 2020-329-DT-117 Agenda No. 68

Type of submission: SAE reports-SGPGI

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

Subject: SAE report (Subject ID: M-41050100, report date: 30-Sep-2022, Type of SAE:

Initial and Date of onset of SAE 23 Sep 2022).

Committee has noted.

Agenda No. 69 **IEC code:** 2020-329-DT-117

Type of submission: SAE reports-SGPGI

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

IEC code: 2020-329-DT-117

Subject: SAE report (Subject ID: M-41050100, report date: 16 Nov 2022, Type of SAE:

Follow up-2 and Date of onset of SAE 23 Sep 2022).

Committee has noted.

Agenda No. 70

Type of submission: SAE reports-SGPGI

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

Subject: SAE report (Suspected Adverse Reaction (diagnosis): Death, Subject ID: M-

41050100, report date: 24 Nov 2022 and Date of onset of SAE 19 Nov 2022).

Committee has noted.

IEC code: 2020-329-DT-117 Agenda No. 71

Type of submission: SAE reports-SGPGI

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology Subject: SAE report (Suspected Adverse Reaction (diagnosis): Death, Subject ID: M-

41050100, report date: 05 Dec 2022 and Date of onset of SAE 19 Nov 2022).

Committee has noted.

Agenda No. 72

Type of submission: SAE reports-SGPGI

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita

Department: Neurology

IEC code: 2020-329-DT-117

Subject: SAE report (Subject ID: M-41050100, report date: 13-Oct-2022, Type of SAE:

Follow up 1 and Date of onset of SAE 23 Sep 2022).

Committee has noted.

H. Study Final/Annual/Progress reports /Close out Notification:

Agenda No. 73 IEC code: 2017-47-DT-96

Type of submission: Final/Annual/Progress reports

Title of project: InvestIgation of Rheumatic AF Treatment Using vitamin K antagonists,

rivaroxaban or aspirin Studies. (INVICTUS)

PI: Dr Sudeep Kumar Department: Cardiology

Subject: Annual Progress report (Reporting date: 05-09-2022).

Committee has noted.

Agenda No. 74 IEC code: 2020-196-DT-115

Type of submission: Final/Annual/Progress reports

Title of project: SHP669-406 :A Post Marketing Surveillance (PMS) Study for VPRIV®

(velaglucerase alfa) in India

PI: Dr Shubha Phadke Department: Medical Genetics

Subject: Annual Progress report (Reporting date: 10.11.2022).

Committee has noted.

Agenda No. 75 **IEC code:** 2020-286-DT-116

Type of submission: Final/Annual/Progress reports

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad Department: Nephrology

Subject: Annual Progress report (Reporting date: 14.06.2022).

Committee has noted.

Agenda No. 76 IEC code: 2018-185-MCh-EXP-4

Type of submission: Study Close out Notification

Title of project: PROSPECTIVE CASE CONTROL STUDY OF OBJECTIVE CARDIAC AND VASCULAR CHANGES IN PHEOCHROMOCYTOMA AND PARAGANGLIOMA

AND THEIR REVERSAL FOLLOWING CURATIVE SURGERY

PI: Dr Roopali Khanna

Department: Cardiology

Subject: Study completion report (Date of termination: 31.08.2022).

Committee has noted.

Agenda No. 77 IEC code: 2019-35-EMP-108

Type of submission: Study Close but Notification
Lt Col Varun Bajpai VSM

Executive Registrar SGPGIMS, Lucknow

Title of project: Host Determinants of Hepatitis E Disease Severity

PI: Dr Amit Goel Department: Gastroenterology

Subject: Study completion report (Date of termination: 30th June 2022).

Committee has noted.

Agenda No. 78

IEC code: 2019-67-EMP-108

Type of submission: Study Close out Notification

Title of project: Clinical Trial of the Content -based Image Retriveval-based Computer

Aided Diagnosis (CBIR-CAD) for lung nodules and ILD patterns

PI: Dr Sunil Kumar

Department: Radiodiagnosis

Subject: Premature termination report (Reporting date: 01-09-2022).

Committee has noted.

Agenda No. 79

IEC code: 2020-216-DT-115

Type of submission: Study Close out Notification

Title of project: Preventing Adverse Cardiac Events (PACE) in Chronic Obstructive

Pulmonary Disease (COPD)

PI: Dr Alok Nath

Department: Pulmonary Medicine

Subject: Premature termination report (Date of termination: 31 Oct 2022).

Committee has noted.

Agenda No. 80

IEC code: 2020-66-DM-EXP-15

Type of submission: Study Close out Notification

Title of project: Macrophage migration inhibitor factor, its receptor CD74 and antibodies to

anti CD74 in ERA category of JIA

PI: Dr Amita Aggarwal

Department: Clinical Immunology

Subject: Study completion report (Date of termination: May 2022).

Committee has noted.

Agenda No. 81

IEC code: 2021-30-DM-EXP-36

Type of submission: Study Close out Notification

Title of project: Infections in Systemic Lupus erythematosus : A retrospective study PI: Dr Amita Aggarwal Department: Clinical

Immunology

Subject: Study completion report (Date of termination: Aug 2022).

Committee has noted.

(Dr. Durga P. Misra) Member Secretary, IEC, SGPGI (Dr. M.K. Mitra) Chairman, IEC, SGPGI

braken

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 125th Institutional Ethics Committee meeting held on 01st April, 2022 at 10.00 AM in the committee room of the Guest house, SGPGIMS, Lucknow.

Present:

1. Dr. Chandishwar Nath, Retd. Chief Scientist, Division of Toxicology, CSIR-CDRI, Lucknow	Chairman
2. Prof. Aneesh Srivastava, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow	Member
3. Justice S.C Verma, Retd. High Court Judge Allahabad -	Member
4. Shri. Vijai Varma, Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
5. Shri. Sharat Pradhan, Senior Journalist, (B-4, Dilkusha Colony, Lucknow	Member
6. Dr. Mohan Gurjar, Additional Professor, Department of CCM, S G P G I M S, Lucknow	Member
7. Prof. M. K. Mitra Former HOD Medicine, KGMU, Lucknow	Member
8. Prof. AmitaPanday, Dept. of Obst. &Gynae., KGMU, Lucknow	Member
9. Prof. V.L Bhatia, Prof. Department of Endocrinology, S G P G I M S, Lucknow	Member
10. Prof. A.K Srivastava, Retd. Prof. Department of Sociology, University of Lucknow	Member
11. Dr. GautamPalit, Ex-Head, Div. of Pharmacology &Seni. Dep. Dir. & Medical Officer, CSIR-CDRI, L	ko. Member
12. Dr. S. Srivastava, Retd. Scientist-IV, Research Cell, S G P G I M S, Lucknow	Member
13. Prof. Vinita Agrawal, Professor, Dept. of Pathology, S G P G I M S, Lucknow	Member Secretary

Members Mrs Shalini Mathur and Dr Manuka Khanna, could not attend the meeting due to other commitments. Dr Manuka Khanna had sent her comments on the projects allotted.

Determination of quorum: quorum was complete: 13 members present, with 9 non-institutional members.

A: General discussions and confirmation of Minutes:

The Chairman, Dr Chandishwar Nath, welcomed all members. Dean Prof Aneesh Srivastava also greeted all members. All members expressed their happiness in able to meet physically after a period of more than two years in which only online meetings were held. Director, Prof RK Dhiman, who was invited to hand over the certificate of NABH accreditation of the IEC, SGPGIMS, to the Chairman, IEC and all members, praised the efforts of the IEC. He congratulated the Chairman, Member Secretary, all IEC members and staff of Bioethics Cell in accomplishing this feat and maintaining the high ethical standards. Dr Chandishwar Nath said that IEC of SGPGI is one of the best in the country and should take a lead in educating other regional IEC's in various centers and remembered earlier Chairman Late Prof BN Dhawan for his great contribution towards development of IEC-SGPGI. The staff of the Bioethics cell, including Senior Adm. Officer, Mr. Anand Srivastava, Data entry operator, Mr. Ashfaq Ulla Khan and attendant, Mr. Raju Srivastava were handed over a potted plant by the Director, Chairman and Member Secretary.

Member Secretary, Prof Vinita Agrawal, informed that email has been received from FERCI that FERCAP re-survey of IEC, SGPGI is due in 2022 and can be held in April 2022 or in June 2022. It Is not clear whether it be held online/offline. The matter was discussed amongst all members. The members were of the view that our IEC is NABH accredited and also registered with DCGI and DHR. There is no definite academic/scientific benefit from FERCAP accreditation.

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Moreover, it will involve payments of fees etc. amounting to USD 3700. If the survey is held offline, the Institute would have to take care of TA, DA etc. of survey coordinator, lead surveyor and all surveyors. After detailed discussions and deliberations, it was decided that FERCAP survey may not have any added advantage for the IEC and the Institute.

Member Secretary reported and informed the IEC, about the decisions of the SAE subcommittee for compensation in the clinical trial from the Department of Cardiology (subject ID 0090058 (J-D) under the clinical trial, IEC Code 2017-47-DT-96).

Disclosure of conflict of interest: Chairman, called for disclosure of Conflict of Interest (COI) in the Protocols scheduled for deliberation in the meeting.

The following member did not participate in the deliberations of the following agenda and was out of the committee room during the discussions:

- Dr Vinita Agrawal, PI for the agenda no. 7 (2022-32-IMP-125)
- Dr Mohan Gurjar, Co-PI for the agenda no. 25 (2021-305-IMP-EXP-44)

Reading and approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 124th IEC meeting held on 29th January, 2022. All members approved the minutes.

B. Review of New Proposals:

IEC code: 2022-14-IMP-125

Title of project: Evaluation of techniques and outcome of Celiac Plexus Neurolysis by fluoroscopy,
Robot assisted CT guidance: Randomized comparative, Parallel trial

Department: Anaesthesiology

Agenda No. 1

Type of submission: Project Intramural

Title of project: Evaluation of techniques and ou

Title of project: Evaluation of techniques and ou

Tand Robot assisted CT guidance: Randomized

Primary reviewer (Technical): Dr Mohan Gurjar

Sprimary reviewer PD and CE: Ma. Shellich M. d. Frimary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Inform about competency of the investigators for the robotic procedures.
- 2. All patients may not be able to pay for the robotic procedure. How will the investigators randomize participants in the groups?
- 3. Clearly mention about complications and how they will be managed?

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 2 **IEC code:** 2022-17-IMP-125

Type of submission: Project Intramural

Title of project: Redefining 'triple negative myeloproliferative neoplasm' using targeted next

generation sequencing

PI: Dr Khaligur Rahman **Department:** Clinical Hematology

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues and ethical issues were discussed.

The committee has given the following suggestions to the PI:

- 1. The study has a retrospective and prospective arm. Mention the essentiality of the retrospective arm.
- 2. For the prospective study, informed consent documents are required. Mention the inclusion and exclusion criteria.
- 3. For the retrospective arm, kindly inform about the consent to use the stored samples for genetic analysis. Ensure that the samples are anonymized with delinking of identity with the stored samples.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 3 **IEC code:** 2022-18-IMP-125

Type of submission: Project Intramural

Title of project: New Xpert MTB/XDR assay : a prospective single centre pilot study **Department:** Microbiology

PI: Dr Richa Mishra
Primary reviewer (Technical): Prof. V.L Bhatia
Primary reviewer PID and CF: Dr. Manuka Khar
Subject: Project for review Primary reviewer PID and CF: Dr. Manuka Khanna

Scientific issues, ethical issues and issues related to informed consent documents were discussed. The committee has given the following suggestions to the PI:

- 1. The project is incomplete with no information about study design and methodology.
- 2. There is no information about participant inclusion and exclusion criteria.
- 3. The informed consent documents are not submitted.
- 4. There is no case proforma.

Decision: Rejected.

PI advised to resubmit the project.

IEC code: 2022-20-IMP-125 Agenda No. 4

Type of submission: Project Intramural

Title of project: Role of betablocker and norepinephrine in the outcome of stroke. PI: Dr Jayantee Kalita **Department:** Neurology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Mention the method of randomization in the two groups. Grouping should be clearly defined (Metoprolol vs amlodipine)
- 2. Submit child information document and assent form for participants aged 15-18years.
- 3. Submit proper bilingual information documents for LAR/parents.
- 4. Get CTRI before start of the study.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 5 IEC code: 2022-26-IMP-125

Type of submission: Project Intramural

Title of project: Status of BRCA gene in breast cancer

PI: Dr Sushila Jaiswal Department: Pathology

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Clearly mention the retrospective and prospective part of the study.

2. Mention the time of blood collection.

3. Clarify the sample size; mentioned variably as 40 and 30 in the documents.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 6 **IEC code:** 2022-28-IMP-125

Type of submission: Project Intramural

Title of project: Can we improve detection of occult neurological changes in patients with hepatic

Wilson disease?

PI: Dr Moinak Sen Sarma Department: Ped. Gastroenterology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Mention in the participant information document (PID) that the standard of care will be given/remain and also about repeat MRI and MRS.
- 2. Justify the inclusion of controls. Is it ethical to perform MRS and MRI in non-

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Wilson children? Can the investigators get data from historical references/data from literature?

3. It is a suggestion to change in title of the study to 'Can we improve management of hepatic Wilson disease by early detection of neurological changes?' The PI may like to consider and decide.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 7 **IEC code:** 2022-32-IMP-125

Type of submission: Project Intramural

Title of project: Next-Generation Sequencing for Genetic testing in Early-onset Steroid-resistant

FSGS and Correlation with Ultrastructural Features

PI: Dr Vinita Agrawal **Department:** Pathology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

Decision: Approved.

Agenda No. 8 **IEC code:** 2021-313-EMP-125

Type of submission: Project Extramural

Title of project: Micro-particles generated from SARS-CoV-2 syncytia and phosphatidylserine

exposure as a pro-coagulant factor during COVID-19 blood coagulation
PI: Dr Santosh Kumar Verma

Department: M **Department:** Molecular Medicine

Primary reviewer (Technical/PID & CF): Dr Surendra Srivastava
Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Clarify about frequency of blood collection and mention in the participant information document (PID).
- 2. The PID should be comprehensive.
- 3. Check exclusion criteria for controls. It is mentioned that participants free of infection and hypertension would be excluded.
- 4. Submit a copy of approval letter from the funding agency to the IEC.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 9 **IEC code:** 2021-337-EMP-125

Type of submission: Project Extramural

Title of project: Role of Emerging SARS-CoV-2 variants in reshaping of serological responses of COVID-19 recovered patients and in vaccinated individuals: Study in North Indian Population PI: Dr Santosh Kumar Verma **Department:** Molecular Medicine

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. As Northern Indian is a vast area, so P.I. should identify which state they are covering.
- 2. The PID mentions that study is prospective but also mention about pre-pandemic period. Kindly correct.
- 3. Check exclusion criteria for controls. It is mentioned that participants free of infection and hypertension would be excluded.
- 4. Mention the role of collaborator. Submit IEC clearance from the collaborating
- 5. Submit a copy of approval letter from the funding agency to the IEC.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-11-EMP-125

Agenda No. 10

Special No. 10

Type of submission: Project Extramural

Title of project: Role of small intestinal bacterial overgrowth in pathogenesis of functional dyspecial a case control study

Department: Microbiology

Primary reviewer (Technical): Dr Vinita Agrawal

Special No. 10

Type of submission: Project Extramural

Department: Microbiology

Primary reviewer (Technical): Dr Vinita Agrawal

Special No. 10

Type of submission: Project Extramural

Department: Microbiology

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Justify the inclusion of controls in the study. Clarify if gut aspirates will be taken in controls? Give inclusion and exclusion criteria for controls.
- 2. Suggestion to include controls later as per the results of the study.
- 3. Submit a copy of approval letter from the funding agency to the IEC.
- 4. Mention about the side effects of the drug Rifaximin in the participant information document (PID).

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 11 **IEC code:** 2022-13-EMP-125

Type of submission: Project Extramural

Title of project: A pilot prospective cohort study for assessing maternal mitochondrial DNA copy number variations during pregnancy as a potential biomarker for predicting healthy or complicated pregnancy

PI: Dr L K Sharma **Department:** Molecular Medicine

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The study design would require changes as the PI cannot determine at the time of recruitment if the participant will be a case/control. All pregnancies can be followed to see who develops pre-eclampsia.
- 2. Clarify the amount of blood to be collected.
- 3. Submit comprehensive and proper participant information document (PID).
- 4. Since only participants with pre-eclampsia are to be included as a complication; change the title of the study to 'A pilot..... predicting pre-eclampsia'.
- 5. Submit a copy of approval letter from the funding agency to the IEC.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above Agenda No. 12
Type of sub---

IEC code: 2022-10-DM-125

Type of submission: Project DM/MCh/MD

THE of project: A Prospective Interventional Pilot study evaluating the Safety and Efficacy of

Department: Gastroenterology

Agenda No. 12
Type of submission: Project DM/MCh/MD
Title of project: A Prospective Interventional Pilot study evaluating the Endoscopic Ultrasound guided Liver Biopsy in coagulopathy patients
Primary reviewer (Technical): Prof. Amita Panday

CE. Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

Submit proper Hindi participant information document (PID). Follow-up is mentioned as 'पीछा करेंगे'।

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-29-DM-125 Agenda No. 13

Type of submission: Project DM/MCh/MD

Title of project: A Prospective, Interventional, Pilot study evaluating the Efficacy of EUS guided

Liver Biopsy (EUS-LB) in Indeterminate Hepatic Solid Masses (HSM)

PI: Dr Samir Mohindra **Department:** Gastroenterology

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Pt. 14 of participant information document (PID): it is mentioned that the risk is moderate to high. Clarify the increase in risk as compared to conventional procedures. Also give an account about information about the risk involved from the available literature.
- 2. Pt. 14 of participant information document (PID): mention that the standard of care would be provided free of cost.
- 3. Submit proper Hindi PID.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 14 **IEC code:** 2021-330-IP-125

Type of submission: Project Independent

Jugular Vein (IJV) dimensions and ease of cannulation. Prospective, randomized, single blinded controlled trial.

PI: Dx Devendra Gupta

Primary reviewer (Technical): Dr Mohan Gurjar

Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Give a reference for the procedure of the new technique being studied.
- 2. Participant information document (PID) mentions about brain tumors, while methodology mentions about all surgical patients. Clarify.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-12-IP-125 Agenda No. 15

Type of submission: Project Independent

Title of project: Evaluation of circulating Vascular Endothelial Growth Factors (VEGF), endothelial and platelet microparticles in orbital lymphaticovenous malformations in Indian patients.

PI: Dr Rachna Agarwal **Department:** Ophthalmology

Primary reviewer (Technical/PID & CF): Dr Surendra Srivastava

Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Clarify if only children will be included in the study.
- 2. Submit proper participant information document (PID) for participants (controls and patients).
- 3. Submit proper consent forms as per IEC SOPs.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 16 **IEC code:** 2022-16-IP-125

Type of submission: Project Independent

Title of project: Comparison of IVC distensibility guided goal directed fluid therapy with

conventional fluid therapy in renal transplant recipients- A prospective, randomized controlled trial

PI: Dr Tapas Kumar Singh **Department:** Anaesthesiology

Subject: Project for review Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Shri Sharat Pradhan

Scientific issues, ethical issues and informed consent documents were discussed.

Decision Approved.

Agenda No. 17 **IEC code:** 2022-15-DT-125

Type of submission: Project Drug/device trials

Title of project: Prophylaxis for patients at risk of COVID-19 infection (PROTECT). PI: Dr Narayan Prasad **Department:** Nephrology

Primary reviewer (Technical): Prof. V.L Bhatia Primary reviewer PID and CF: Dr. Manuka Khanna

Primary reviewer Legal: Justice S.C Verma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The name of SGPGI is not present in the list of approved centers by DCGI and in CTRI.
- 2. Submit Insurance certificate.
- 3. Submit CTA.
- 4. English PID, point no. 7-begins with the phrase "Niclosamide is not licensed in this formulation"; it may be better explained as "Niclosamide is a licensed medicine in the form of a tablet, but not in the form of a nasal spray".
- 5. In the Hindi PID, in point 7, the sentence: इस परीक्षण......पर हलके लक्षणों को ठीक

कर सकता है निसमेंशानमल हैं", is not a proper translation of the English sentence, and gives the impression "if used in a proper way, side effects are minimized" which was not stated in the English version. Kindly check and correct.

Decision: Major Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 18 **IEC code:** 2022-23-DT-125

Type of submission: Project Drug/device trials

Title of project: A Multicentric, Post Marketing, Observational Study to Determine the impact of IVABID® OD on average Resting Heart Rate in patients with reduced ejection fraction with systolic dysfunction.

PI: Dr Sudeep Kumar Department: Cardiology

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Dr A K Srivastava Primary reviewer Legal: Judge (Retd.) Vijai Varma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. CTA, pg. 127 clause 13: delete point (d).

- 2. CTA, clause 23: mention that the seat of arbitration will be Lucknow.
- 3. Insurance policy mentions, retroactive date as 1st January 1977. What is the relevance of this date?

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 19 **IEC code:** 2022-24-DT-125

Type of submission: Project Drug/device trials

Title of project: Randomized, Double-Blind, Placebo-Controlled, Phase 3 Study to Evaluate the Long-Term Efficacy and Safety of LIB003 in Patients With Cardiovascular Disease, or at High Risk for Cardiovascular Disease, on Stable Lipid-Lowering Therapy Requiring Additional

PI: Dr Aditya Kapoor

Department: Cardiology

Primary reviewer (Technical): Prof. V.L Bhatia Primary reviewer PID and CF: Dr. Manuka Khanna Primary reviewer Legal: Judge (Retd.) Vijai Varma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. The PI is requested, not to submit the self-signed certificate of approval as Head of the Department.

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- 2. Will blood/DNA be sent abroad? If yes, submit HMSC clearance and material transfer agreement.
- 3. CTA clause 3.5: should be deleted.
- 4. Sponsor should be arrayed as a party of the CTA and signatory.
- 5. CTA, pg. 383, point 15: under 'Assignment and delegation'-notice should be given to the Institute.
- 6. CTA, pg 383, point 12: point 12.1 should be deleted.
- 7. CTA: There is no clause for dispute resolution. Mention the clause and state that the jurisdiction will be in Courts of Lucknow.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 20 IEC code: 2022-25-DT-125

Type of submission: Project Drug/device trials

Title of project: A streamlined, multicentre, randomized, parallel group, double-blind placebo-controlled superiority trial to evaluate the effect of EMPAgliflozin on hospitalisation for heart failure and mortality in patients with aCuTe Myocardial Infarction

PI: Dr Sudeep Kumar Department: Cardiology

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Dr A K Srivastava Primary reviewer Legal: Judge (Retd.) Vijai Varma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. The name of SGPGI is not present in the list of approved centers by DCGI and in CTRI.

2. CTA mentions about 15 years retention period by the Institute. Clarify. There are no guidelines for the budget/space in the Institute. Contact Research cell.

3. CTA, under 'Assignment and delegation'-notice should be given to the Institute.

4. CTA: the jurisdiction should be in the Courts of Lucknow and not Mumbai.

Decision: Major Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

C. Reporting decisions of Member Secretary/3 Member Committee/Expedite Review Committee:

The recommendation of the Member Secretary/3-Member Committee/Expedite Review Committee (EXP meeting no. 44, agenda 21-36) who has given clearance for the proposals has been approved by the committee.

Agenda No. 21 IEC code: 2021-291-IMP-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: STANDARDIZATION OF REAL-TIME PCR ON BLOOD AND BAL FOR

IMPROVING THE DIAGNOSIS OF INVASIVE PULMONARY ASPERGILLOSIS

PI: Dr Zia Hasim **Department:** Pulmonary Medicine

Subject: Expedite review project for IEC notification

IEC code: 2021-293-IMP-EXP-44 Agenda No. 22

Type of submission: Expedite review project for IEC notification

Title of project: A pilot study on the prevalence of Cardiac Sarcoidosis among the patients of Systemic Sarcoidosis by speckled tracing echocardiography, cardiac MRI and FDG PET-CT. **Department:** Pulmonary Medicine **PI:** Dr Alok Nath

Subject: Expedite review project for IEC notification

IEC code: 2021-298-IMP-EXP-44 Agenda No. 23

Type of submission: Expedite review project for IEC notification

Title of project: ANCA Associated vasculitis diagnosis, management and risks amidst the COVID-

19 pandemic

PI: Dr Vikas Agarwal **Department:** Clinical Immunology

Subject: Expedite review project for IEC notification

Agenda No. 24 **IEC code:** 2021-299-IMP-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: Reactive arthritis Knowledge and perceptions changes amidst the COVID-19

pandemic

PI: Dr Vikas Agarwal **Department:** Clinical Immunology

Subject: Expedite review project for IEC notification

IEC code: 2021-305-EMP-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: CMV reactivation in mechanically ventilated patients and its impact on clinical Scholms, Lucknow

Scholms, Luc

PI: Dr Atul Garg
Subject: Expedite review project for IEC notification **Department:** Microbiology

Agenda No. 26 **IEC code:** 2021-306-EMP-EXP-44

Type **Csubmission:** Expedite review project for IEC notification

Title of project: Investigation of mitochondrial quality control and mitochondrial modulation for

targeting of glioma initiating cells: a potential therapeutic approach for glioblastoma

PI: Dr L K Sharma **Department:** Molecular Medicine

Subject: Expedite review project for IEC notification

Agenda No. 27 **IEC code:** 2021-307-SRA-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: TARGETING OF GLIOMA INITIATING CELLS VIA AUTOPHAGY

MODULATION FOR THERAPEUTIC INTERVENTIONS IN GLIOMA

PI: Dr L K Sharma **Department:** Molecular Medicine

Subject: Expedite review project for IEC notification

Agenda No. 28 **IEC code:** 2021-309-EMP-EXP-44

Type of submission: Expedite review project for IEC notification **Title of project:** Renal transcriptome regulation in kidney disease

PI: Dr Swasti Tiwari **Department:** Molecular Medicine

Subject: Expedite review project for IEC notification

Agenda No. 29 **IEC code:** 2021-315-SRF-EXP-44 **Type of submission:** Expedite review project for IEC notification

Title of project: Circulating Microparticles and Monocyte Activation in Renal Antibody Mediated

Allograft Rejection

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Expedite review project for IEC notification

IEC code: 2021-317-EMP-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: Serum profile of bile acids and lipids to identify the putative pruritogenic agent in

patients with cholestasis

PI: Dr Amit Goel **Department:** Gastroenterology

Subject: Expedite review project for IEC notification

IEC code: 2021-319-SRA-EXP-44 Agenda No. 31

Type of submission: Expedite review project for IEC notification

Title of project: Role of novel microRNAs in the patho-mechanism of early kidney disease PI: Dr Swasti Tiwari **Department:** Molecular Medicine

Subject: Expedite review project for IEC notification

IEC code: 2021-320-IMP-EXP-44 Agenda No. 32

Type of submission: Expedite review project for IEC notification

Title of project: Diagnostic performance of hepatitis C virus core antigen (HCVcAg) test in patients

with cirrhosis

PI: Dr Amit Goel **Department:** Gastroenterology

Subject: Expedite review project for IEC notification

Project: Investigation of mitochondrial modu

Negative Breast Cancers(TNBCs)

OPI: Del K Sharma

Subject: Expedite review project for IEC notification

Subject: Expedite review project for IEC notification

Agenda No. 34

Character review project for IEC notification

Type of submission: Fv
Title of pro-Agenda No. 33 **IEC code:** 2021-321-SRF-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: Investigation of mitochondrial modulation for therapeutic targeting in Triple

Department: Molecular Medicine

IEC code: 2021-322-SRF-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: Targeting metastatic potential through regulating mitochondria in Colorectal cancer

PI: Dr L K Sharma **Department:** Molecular Medicine

Subject: Expedite review project for IEC notification

Agenda No. 35 **IEC code:** 2021-338-SRF-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: Stimulatory effects of circulating microovesicles on Monocytic cell lineage in IgAN

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Expedite review project for IEC notification

Agenda No. 36 **IEC code:** 2021-339-PDCC-EXP-44

Type of submission: Expedite review project for IEC notification

Title of project: Cytogenetic microarray in neurodevelopmental disorders- utility of reanalysis of

PI: Dr Shubha Phadke **Department:** Medical Genetics

Subject: Expedite review project for IEC notification

D. Notification for Amendments/Addendum:

Agenda No. 37 **IEC code:** 2018-138-IP-106

Type of submission: Notification of Amendments/Addendum

Title of project: Efficacy and safety of exercise in mild to moderate myasthenia gravis: an open labeled controlled trial. [Rest Or Exercise in Myasthenia Gravis (RESTOREX MG)].

PI: Dr Jayantee Kalita

Department: Neurology

Subject: Amendment Report (Sample size 46, the low dose or high dose steriod better disease control and additional immunosuppression is better than steroid alone and efficacy of plasmapheresis over IVIG).

Committee has noted.

Agenda No. 38 **IEC code:** 2018-185-MCh-EXP-4

Type of submission: Notification of Amendments/Addendum

Title of project: PROSPECTIVE CASE CONTROL STUDY OF OBJECTIVE CARDIAC AND VASCULAR CHANGES IN PHEOCHROMOCYTOMA AND PARAGANGLIOMA AND THEIR

REVERSAL FOLLOWING CURATIVE SURGERY

PI: Dr Roopali Khanna **Department:** Cardiology

Subject: Amendment Report (07.02.2022).

Committee has noted.

Agenda No. 39 **IEC code:** 2019-162-EMP-111

Type of submission: Notification of Amendments/Addendum

Title of project: Development of blood and urine based biomarker for early diagnosis of clear cell

renal cell carcinoma patients and identification of therapeutic target for the disease PI: Dr Naveen Kumar Gautam **Department:** Urology

Subject: Amendment Report (Adding a new Co-PI, Dr. Somendu Roy, Sr. Scientist, CSIR-IITR,

Lucknow).

Committee has noted. Submit CV of collaborator and IEC clearance from the collaborating Institute.

Agenda No. 40 **IEC code:** 2019-164-EMP-111

Type of submission: Notification of Amendments/Addendum

Title of project: Study on Polio and Non-Polio enterovirus infections in children with

gimmupodeficiency at multiple medical institutes across India
PI: Dr Amita Aggarwal
Subject: Amendment Report PI: Dr Amita Aggarwal

Subject: Amendment Report (2 Year extension for entering Phase II of the study and study will include 13 more centres across India, Study will continue following the same protocol).

Committee has noted and approved.

Agenda No. 41 **IEC code:** 2019-178-DT-111

Type of submission: Notification of Amendments/Addendum

Title of project: Protocol I4V-MC-JAHU: A Randomized, Double Blind, Placebo-Controlled, Withdrawal, Safety and Efficacy Study of Oral Baricitinib in Patients from 1 Year to Less than 18 Tears Old with Systemic Juvenile Idiopathic Arthritis.

PI: Dr Amita Aggarwal **Department:** Clinical Immunology

Subject: Amendment and study related documents.

Committee has noted.

Agenda No. 42 **IEC code:** 2019-47-EMP-108

Type of submission: Notification of Amendments/Addendum

Title of project: Understanding pathogenesis of Takayasu arteritis – transcriptomics applied to peripheral blood mononuclear cells (PBMC) and involved vessels, and the role of various T-cell subsets.

PI: Dr Durga Prasanna Misra **Department:** Clinical Immunology

Subject: Amendment Report (PI Planned to do T cell profile, cytokines and transcriotomics on patient's peripheral blood. To complete the picture of inflammatory milieu, study shall be evaluating

endothelial, platelet and leucocyte microparticles in already collected plasma samples. No further samples are to be taken from patients).

Committee has noted and approved.

Agenda No. 43 **IEC code:** 2021-143-IP-EXP-39

Type of submission: Notification of Amendments/Addendum

Title of project: Patient reported outcomes of COVID-19/COVID-19 vaccine in patients with

rheumatic diseases

PI: Dr Vikas Agarwal Department: Clinical Immunology

Subject: Amendment Report (Survey has been revised to include questions on booster dose, Survey would be translated into other languages and Dr Latika Gupta would be an external Co-PI).

Committee has noted. Submit the CV and present affiliation of the external co-PI.

Agenda No. 44 **IEC code:** 2021-180-DT-121

Type of submission: Notification of Amendments/Addendum

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita **Department:** Neuro Opthomology **Subject:** Study related documents for IEC notification (ICF version 3.0 dated 03 Jan 2022).

Committee has noted.

E. Notification for protocol deviation:

Agenda No. 45 **IEC code:** 2020-329-DT-117

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita Department: Neurology

Subject: Protocol deviation (Patient No. and Name: 41050093 Initial H-B, 41050100 Initial M- and 41050118 Initial K--).

Committee has noted.

F. General Notification:

Agenda No. 46 **IEC code:** 2019-124-DT-109

Type of submission: General Notification

Title of project: A Phase 3 Randomized, Open Lable (Sponsor - Blind), active - controlled, parallel group, multi-centre, event driven study in non -dialysis subjects with anemia associated with chronic kidney disease to evaluate the Safety and efficacy of Daprodustat compar

PI: Dr Narayan Prasad Department: Nephrology

Subject: Notification CSR Report

Committee has noted.

Agenda No. 47 **IEC code:** 2019-178-DT-111

Type of submission: General Notification

Title of project: Protocol I4V-MC-JAHU: A Randomized, Double Blind, Placebo-Controlled, Withdrawal, Safety and Efficacy Study of Oral Baricitinib in Patients from 1 Year to Less than 18 Years Old with Systemic Juvenile Idiopathic Arthritis.

PI: Dr Amita Aggarwal Department: Clinical Immunology

Subject: Study related documents for IEC notification.

Committee has noted.

Agenda No. 48 **IEC code:** 2019-208-DT-113

Type of submission: General Notification

Title of project: "Protocol I4V-MC-JAHV: A Randomized, Double-Blind, Placebo-Controlled, Withdrawal, Safety and Efficacy Study of Oral Baricitinib in Patients from 2 Year to Less Than 18

Years old with Juvenile Idiopathic Arthritis"

PI: Dr Amita Aggarwal **Department:** Clinical Immunology

Subject: Discontinuation report dated 13 Feb 2022.

Committee has noted.

Agenda No. 49 **IEC code:** 2020-196-DT-115

Type of submission: General Notification

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV®

(velaglucerase alfa) in India

PI: Dr Shubha Phadke **Department:** Medical Genetics

Subject: Study related documents for IEC notification (CTRI No: CTRI /2021/07/034906 dated 15 Jul 2021, CTA; Fully executed CTA Signed dated 29 Nov 2021, Insurance Certificate No.: P0422200001/9999/100163, valid from 15 Jun 2021 to 14 Jun 2022 and Source document Template for Screening and Baseline Visit, All Visits Study Completion or early Termination Visit: Version 1.0 dated 21 Jul 2021).

Committee has noted.

Agenda No. 50 **IEC code:** 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

PI: Dr Rajanikant R Yadav **Department:** Radiodiagnosis **Subject:** Study related documents for IEC notification (Updated CTRI registration

:CTRI/2020/10028544 Registered on: 22/10/2020).

Committee has noted.

IEC code: 2021-177-DT-121

Agenda No. 51

Type of sub-Agenda No. 51

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

Department: Clinical Hematology

Subject: Study related documents for IEC notification (Updated Insurance Certificate Policy No.: Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without

Committee has noted.

H. Study Final/Annual/Progress reports /Close out Notification:

IEC code: 2019-192-IMP-113 Agenda No. 52

Type of submission: Final/Annual/Progress reports

Title of project: Cytomegalovirus reactivation in critically ill immunocompetent patients with septic

shock.

PI: Dr Atul Garg **Department:** Microbiology

Subject: Annual Progress report dated 08.02.2022 and request for extension).

Committee has noted.

IEC code: 2020-329-DT-117 Agenda No. 53

Type of submission: Final/Annual/Progress reports

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita **Department:** Neurology Subject: Annual Progress report dated 20.01.2022.

Committee has noted.

Agenda No. 54 **IEC code:** 2018-176-IP-107

Type of submission: Study Close out Notification

Title of project: Use of a short course of low-dose Pregabalin for the treatment of Restless Leg

Syndrome (RLS) in patients with chronic liver disease

PI: Dr Amit Goel **Department:** Gastroenterology

Subject: Study Completion report dated 26.02.2022.

Committee has noted.

IEC code: 2018-60-IMP-103 Agenda No. 55

Type of submission: Study Close out Notification

Title of project: Assessment of selenium deficiency in gluten-free diet in patients with celiac disease

Department: Ped. Gastroenterology PI: Dr Moinak Sen Sarma

Subject: Study Comletion report dated 18.01.2022.

Committee has noted.

Agenda No. 56 **IEC code:** 2019-60-DM-EXP-7

Type of submission: Study Close out Notification

Title of project: Utility of follow-up endoscopy/imaging to document healing/resolution in pediatric

abdominal tuberculosis

PI: Dr Ujjal Poddar **Department:** Ped. Gastroenterology

Subject: Study Completion report (Date of Termination: 20 Jan 2022).

Committee has noted.

Agenda No. 57 **IEC code:** 2020-259-IP-EXP-29

Type of submission: Study Close out Notification

Title of project: Achalasia cardia in children: clinical profile, subtypes on high resolution

Goesophageal manometry and response to therapy
PI: Dr Anshu Srivastava
Subject: Study Complete: PI: Dr Anshu Srivastava

Department
Subject: Study Completion report (Date of Termination: Sep 2021). **Department:** Ped. Gastroenterology

Committee has noted.

(Dr. Vinita Agrawal) Member Secretary, IEC, SGPGI

(Dr. Chandishwar Nath)

CNA

Chairman, IEC, SGPGI

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 126th Institutional Ethics Committee meeting held on Saturday, 21st May 2022, at 10.30 am, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Present:

 Dr. Chandishwar Nath, <i>Retd.</i> Chief Scientist, Division of Toxicology, CSIR-CDRI, Lucknow Prof. Aneesh Srivastava, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow Justice S.C Verma, Retd. High Court Judge Allahabad - 	Chairman Member Member
4. Shri. Vijai Varma, Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
5. Shri. Sharat Pradhan, Senior Journalist, (B-4, Dilkusha Colony, Lucknow	Member
6. Ms. ShaliniMathur, Secretary, Suraksha NGO, Lucknow	Member
7. Dr. Mohan Gurjar, Additional Professor, Department of CCM, S G P G I M S, Lucknow	Member
8. Prof. M. K. Mitra Former HOD Medicine, KGMU, Lucknow	Member
9. Prof. AmitaPanday, Dept. of Obst. &Gynae., KGMU, Lucknow	Member
10. Prof. V.L Bhatia, Prof. Department of Endocrinology, S G P G I M S, Lucknow	Member
11. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
12. Prof. A.K Srivastava, Retd. Prof. Department of Sociology, University of Lucknow	Member
13. Dr. GautamPalit, Ex-Head, Div. of Pharmacology &Seni. Dep. Dir. & Medical Officer, CSIR-CDRI, Ll	ko. Member
14. Dr. S. Srivastava, Retd. Scientist-IV, Research Cell, S G P G I M S, Lucknow	Member
15. Prof. Vinita Agrawal, Professor, Dept. of Pathology, S G P G I M S, Lucknow	Member Secretary

Members Dr Aneesh Srivastava, Justice SC Verma, Dr Amita Panday, Dr Gautam Palit and Dr Surendra Srivastava could not attend the meeting due to other commitments.

Determination of quorum: quorum was complete: 10 members present, with 7 non-institutional

The Chairman, Dr Chandishwar Nath, welcomed all members. Member Secretary, Dr Vinita Agrawal, proposed to conduct a CME on behalf of the IEC, tentatively in October 2022. All members agreed and the Chairman suggested that a tentative program can be made and circulated by email for inputs and suggestions. Dr Mohan Gurjar suggested that along with CME, a GCP workshop can also be conducted.

Disclosure of conflict of interest: Chairman, called for disclosure of Conflict of Interest (COI) in the Protocols scheduled for deliberation in the meeting.

None of the members declared any conflict of interest.

Reading and approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 125th IEC meeting held on 1st April, 2022. All members approved the minutes.

B. Review of New Proposals:

Agenda No. 1 IEC code: 2022-18-IMP-125

Type of submission: Project Intramural

Title of project: New Xpert MTB/XDR assay: a prospective single centre pilot study PI: Dr Richa Mishra **Department:** Microbiology

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

This was a resubmission of the project which was rejected by the committee in the previous meeting due to incomplete submission.

Scientific issues and ethical issues were discussed.

Decision Approved. Waiver of consent granted.

IEC code: 2022-66-IMP-126 Agenda No. 2

Type of submission: Project Intramural

Title of project: Anti fibrotic effect of tadalafil in liver fibrosis: an in vitro and in vivo study PI: Dr Vikas Agarwal **Department:** Clinical Immunology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues and ethical issues were discussed.

The committee has given the following suggestions to the PI:

1. Mention the years from which archival blocks from the Department of Pathology will be identified and included in the study.

52. Confirm that the consent is taken/full confidentiality maintained for the previously

collected liver specimens (n=8).

Collected liver specimens (n=8). considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-38-EMP-126 Agenda No. 3

Type of submission: Project Extramural

Title of project: Evaluating Molecular portraits of pituitary tumour: relevance for pathogenesis and

its clinical significance

PI: Dr Sushila Jaiswal **Department:** Pathology

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Mention the role of collaborator. If human samples are being handled in the collaborator's

institution, then ethical clearance document from that center should be submitted.

- 2. Submit waiver of consent document.
- 3. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-52-EMP-126 Agenda No. 4

Type of submission: Project Extramural

Title of project: Technical feasibility and efficacy of upper gut aspiration and culture using a doublelumen catheter in comparison with hydrogen breath tests among patients suspected having small

intestinal bacterial overgrowth

PI: Dr U C Ghoshal **Department:** Gastroenterology

Primary reviewer (Technical): Dr Vinita Agrawal Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Mention the source of funds for the study. The patients should not bear any 'extra cost' for the study.
- 2. The references quoted for the use of double lumen catheter are from the PI on the same condition (SIBO). Kindly mention the what is new in the present study?
- Windi PID, point 3: has translated 'culture' to 'sanskriti'. Please check and correct.

 Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study. Submit a copy of approval letter from the funding agency to the IEC.

 Begistrar Decision Minor Modification. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 5 **IEC code:** 2022-54-EMP-126

Type of submission: Project Extramural

Title of project: Aptamer based diagnostic device development for detecting Hepatitis-C virus (HCV)

and HCV core Antigen

PI: Dr Amit Goel **Department:** Gastroenterology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issue and ethical issues were discussed.

The committee has given the following suggestions to the PI:

- 1. If human samples are being handled in the collaborator's institution, then ethical clearance document from that center should be submitted.
- 2. Methodology p23; "In the present work, the proposed Hepatitis C Viral particle and HCV core antigen test will be first performed separately for around 100 serum samples provided by Dr. Amit Goel in SGPGI, Lucknow. The sensitivity and specificity values will be noted. Thereafter tests will be performed on the combined lateral flow assay for the same 100 samples." Project submission form mentions 10 samples. Clarify about the number of samples.
- 3. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 6 **IEC code:** 2022-58-EMP-126

Type of submission: Project Extramural

Title of project: Knowledge, attitude and practice (KAP) survey of low fermentable oligo-, di-, monosaccharide and polyol (FODMAP) diet awareness among Indian gastroenterologists and physicians

PI: Dr U C Ghoshal **Department:** Gastroenterology

Primary reviewer (Technical): Prof. V.L Bhatia Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

PI should include a statement "I have understood the voluntary" in the beginning of survey form.

Decision Minor Modification. PI should include a statement "I have understood the study and my participation is

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-61-EMP-126 Agenda No. 7

Type of submission: Project Extramural

Title of project: The effect of HPV infection on pregnancy on maternal and neonatal outcome. **Department:** Maternal & Reprod. Health PI: Dr Amrit Gupta

Primary reviewer (Technical): Prof. V.L Bhatia Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Control group should be defined very clearly. It is mentioned as 'Non-pregnant' in PID while in timelines 2nd year it seems that they are non-HPV pregnant women. It is stated "All data will recorded for comparative analysis in these two groups" but groups are not defined.
- 2. Clearly mention exclusion and inclusion criteria for both groups.
- 3. Kindly correct the PIDs.
 - a. English PIDs (Both p22 & 29) are for control as per title and invite non-pregnant participant as per para 2 but also mentions to collect samples of placental tissues and cord blood at the delivery.
 - b. Hindi PIDs (Both p24 & 31) are for pregnant women as per title and description.
 - c. English and Hindi PIDs para 3. The statement "You should definitely participate in this research study because you are an Indian citizen" is irrelevant for the study and should be removed.
 - d. There is a statement that study is being conducted 'central Govt. employees. How is this relevant for the study?
- 4. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 8 IEC code: 2022-71-PhD-126

Type of submission: Project PhD

Title of project: Complex omics signatures for different stages of ARDS and translation strategies for

improved clinical management

Department: Critical Care Medicine

Improved clinical managen
PI: Dr)Afzal Azim
Primary reviewer (Technical remark)
Primary reviewer PID and C
Subject: Project for reviewer PID and C Sprimary reviewer (Technical): Dr Mohan Gurjar Frimary reviewer PID and CF: Dr. Manuka Khanna

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Human samples are being handled in the collaborator's institution; ethical clearance from that center should be submitted.
- 2. Mention the amount of sample collection. Also mention the details of sample collection in the PID.
- 3. Mention the source of funding.
- 4. PID: Point 4 mentions: 'Why have I been chosen? On the basis of your clinical features and investigations you have been diagnosed with ARDS. For the characterization of ARDS we will use blood serum which will be removed as part of your treatment.' The same has been mentioned in point 6. Kindly correct language.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 9 **IEC code:** 2022-68-DT-126

Type of submission: Project Drug/device trials

Title of project: In vitro susceptibility of Ceftriaxone or Ceftriaxone combination with Sulbactam & Tazobactam in community acquired and nosocomial infections against gram-negative and gram -

positive bacteria

Department: Microbiology PI: Dr Ujjala Ghoshal

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Dr. Manuka Khanna Primary reviewer Legal: Judge (Retd.) Vijai Varma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Submit ethical clearance from other centers.
- 2. CTA: mentions sponsor/CRO in the document. Sponsor should therefore be arrayed as a party.
- 3. CTA, Pg5: Point 5 and 6 are the same.
- 4. CTA: the budget does not mention the currency (Rs).
- 5. CTA, Pg 5, point e (iv): Add 'that a notice would be given to the PI'.
- 6. CTA, Pg 4, point b (iv): correct the language of the statement.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Col Varun Bajpai V Tura of Complittee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-69-DT-126

Type of submission: Project Drug/device trials

Title of project: A randomized, double blind, parallel group, active controlled, multicentric, phase III study comparing the efficacy, safety and tolerability of Lifitegrast 5% eye drops in patients with dry eye disease.

PI: Dr Vikas Kanaujia **Department:** Ophthalmology

Primary reviewer (Technical): Prof. V.L Bhatia Primary reviewer PID and CF: Dr. Manuka Khanna Primary reviewer Legal: Judge (Retd.) Vijai Varma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The PI is not in the list of DCGI approval and CTRI. Kindly submit document for inclusion of
- 2. Introduction and summary mention about 'Glaucoma'. It should mention about 'dry eyes'.
- 3. Kindly clarify if the side-effects decrease in vision and altered taste are transient/longlasting? The PID should clearly state these adverse effects and their frequency.

- 4. The PID could be much simpler in language.
- 5. CTA, point 15.1 and 15.2 mentions about PI/CRO. It should be clearly mentioned as either PI or
- 6. CTA, Pg 11 point 25-mentions 'courts in Hyderabad'. Kindly change it to 'Courts in Lucknow'.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 11 **IEC code:** 2022-70-DT-126

Type of submission: Project Drug/device trials

Title of project: Randomized, Double-Blind, Placebo-Controlled, Phase 3 Study to Evaluate the Long-Term Efficacy and Safety of LIB003 in Patients With Cardiovascular Disease on Stable Lipid-Lowering

Therapy Requiring Additional Low-Density Lipoprotein Cholesterol Reduction PI: Dr Aditya Kapoor **Department:** Cardiology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr A K Srivastava Primary reviewer Legal: Judge (Retd.) Vijai Varma

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. CTA, Pg 383: Sponsor should be made party or write sponsor/CRO.

2. CIA: Include a dispute resolution clause.

Executive Registrar Considered in next IEC meeting for ethical approval. PI advised to submit above correction within 4 weeks, failing which the project will not be

Committee authorized the Member Secretary to review the correction made and clear the above project.

C. Reporting decisions of Member Secretary/3 Member Committee/Expedite Review Committee:

The recommendation of the Member Secretary/3-Member Committee/Expedite Review Committee (EXP meeting no. 46, agenda 12-28) who has given clearance for the proposals has been approved by the committee.

IEC code: 2022-33-IMP-EXP-46 Agenda No. 12

Type of submission: Expedite review project for IEC notification

Title of project: Respiratory viral infections in NICU of Northern India: A prospective observational

PI: Dr Akanksha Verma **Department:** Neonatology

Subject: Expedite review project for IEC notification

Agenda No. 13 IEC code: 2022-34-EMP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Evaluating the Potential role of cell free DNA (cfDNA) as non-invasive biomarker for

prognosis and residual disease monitoring in patients with Diffuse Large B cell Lymphoma.

PI: Dr Khaligur Rahman **Department:** Clinical Hematology

Subject: Expedite review project for IEC notification

Agenda No. 14 IEC code: 2022-35-EMP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Role of miR-451 in kidney disease associated proteomic deregulation PI: Dr Swasti Tiwari **Department:** Molecular Medicine

Subject: Expedite review project for IEC notification

IEC code: 2022-36-IP-EXP-46 Agenda No. 15

Type of submission: Expedite review project for IEC notification

Title of project: Serum and Urinary levels of circulating CXCL9, a biomarker targeting IFN-y

production in SLE patients

PI: Dr Amita Aggarwal **Department:** Clinical Immunology

Subject: Expedite review project for IEC notification

Agenda No. 16 IEC code: 2022-38-MCh-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Comparative study of dunking and modified dunking versus duct to mucosa

techniques of pancreaticojejunostomy

PI: Dr Rajan Saxena **Department:** Surgical Gastroenterology

Subject: Expedite review project for IEC notification

IEC code: 2022-39-SRF-EXP-46 Agenda No. 17

Type of submission: Expedite review project for IEC notification

Title of project: Study of Human Oncogenic virus and Molecular Pattern correlated with

Col Varun Bajpai v Executive Registrar SGPGIMS,Lucknow differentiated thyroid cancer

PI: Drwyan Chand **Department:** Endocrinology

Subjec: Expedite review project for IEC notification

IEC code: 2022-40-EMP-EXP-46 Agenda No. 18

Type of submission: Expedite review project for IEC notification

Title of project: Elucidation of genetic determinants of transmission of carbapenemases in

environmental isolates of Gramnegative bacteria.

PI: Dr Chinmoy Sahu **Department:** Microbiology

Subject: Expedite review project for IEC notification

IEC code: 2022-41-EMP-EXP-46 Agenda No. 19

Type of submission: Expedite review project for IEC notification

Title of project: Cytomegalovirus antiviral resistance in solid organ and hematopoietic stem cell

transplant recipients

PI: Dr Atul Garg **Department:** Microbiology

Subject: Expedite review project for IEC notification

Agenda No. 20 IEC code: 2022-42-EMP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: The role of epitranscriptomic markers of glioma in oncogenesis and clinical outcome

PI: Dr AK Jaiswal Department: Neurosurgery

Subject: Expedite review project for IEC notification

Agenda No. 21 IEC code: 2022-43-MCh-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Portal Biliopathy - analysis of surgical management options : A single center

experience

PI: Dr Rajan Saxena Department: Surgical Gastroenterology

Subject: Expedite review project for IEC notification

Agenda No. 22 IEC code: 2022-44-SRA-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Early non-invasive approach using placental derived Extracellular vesicle in

aneuploidy diagnosis

PI: Dr Swasti Tiwari Department: Molecular Medicine

Subject: Expedite review project for IEC notification

Agenda No. 23 IEC code: 2022-45-EMP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Deciphering the inter-relationship of Copy Number Variations (CNV) and Mono-

/Biallelic TP53 Inactivation in different Genetic Subgroups of Multiple Myeloma

PI: Dr Manish Kumar Singh Department: Clinical Hematology

Subject: Expedite review project for IEC notification

Agenda No. 24 **IEC code:** 2022-48-MCh-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Anticipatory Extended Cholecystectomy

PI: Dr Rajan Saxena Department: Surgical Gastroenterology

Subject: Expedite review project for IEC notification

Agenda No. 25

IEC code: 2022-49-IP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: "Teleconsultation in Post-COVID-19 ICU survivors: An assessment of physical, mental

and cognitive status"

PI: Dr Shreyas Hanumantrao

Gutte **Department:** Critical Care Medicine

Subject: Expedite review project for IEC notification

Agenda No. 26 IEC code: 2022-55-IP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Diabetic muscle infarction: experience from tertiary care center in India **PI:** Dr Subhash Yadav **Department:** Endocrinology

Subject: Expedite review project for IEC notification

Agenda No. 27 IEC code: 2022-59-IMP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Delineation and the local calibration of computer-aided detection (CAD) software

thresholds and associated parameters for detection of pulmonary tuberculosis

PI: Dr Alok Nath

Department: Pulmonary Medicine

Subject: Expedite review project for IEC notification

Agenda No. 28 IEC code: 2022-60-EMP-EXP-46

Type of submission: Expedite review project for IEC notification

Title of project: Role of Toll-like Receptors in Bone Marrow Mesenchymal Stem Cell Dysfunctions in

Aplastic Anemia

PI: Dr Naresh Kumar Tripathy **Department:** Clinical Hematology

Subject: Expedite review project for IEC notification

D. Notification for Amendments/Addendum:

IEC code: 2019-164-EMP-111 Agenda No. 29

Type of submission: Notification of Amendments/Addendum

Title of project: Study on Polio and Non-Polio enterovirus infections in children with

immunodeficiency at multiple medical institutes across India

Department: Clinical Immunology PI: Dr Amita Aggarwal

Subject: Amendment report (2 Year extension for entering Phase II of the study, This will include 13 more centers across India, making it atotalof 20 center study. We will continue following the same protocol).

Committee has noted and approved.

IEC code: 2019-178-DT-111 Agenda No. 30

Type of submission: Notification of Amendments/Addendum

Title of project: Protocol I4V-MC-JAHU: A Randomized, Double Blind, Placebo-Controlled,

Withdrawal, Safety and Efficacy Study of Oral Baricitinib in Patients from 1 Year to Less than 18 Years

Old with Systemic Juvenile Idiopathic Arthritis.

Department: Clinical Immunology PI: Dr Amita Aggarwal

Subject: Amendment report (Amendment D, Approval date: 18th February 2022).

Committee has noted.

Agenda No. 31

Type of sub-

Agenda No. 31

Type of submission: Notification of Amendments/Addendum

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

Department: Radiodiagnosis

Department: Radiodiagnosis

Subject: Amendment report (Notification of update Investigator Undertaking and Notification of revised Case report form Version 5.0).

Committee has noted.

IEC code: 2020-331-DT-117 Agenda No. 32

Type of submission: Notification of Amendments/Addendum

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

PI: Dr Rajanikant R Yadav **Department:** Radiodiagnosis

Subject: Study related documents for review and approval (Protocol No. LUF-44-005 version no. 4.0

dated December 3 2021) Committee has noted.

Agenda No. 33 **IEC code:** 2020-78-DM-115

Type of submission: Notification of Amendments/Addendum

Title of project: Endoscopic variceal ligation alone or in combination with non-selective beta blocker

for secondary prophylaxis in children with portal hypertension- A Randomised control trial

PI: Dr Anshu Srivastava **Department:** Ped. Gastroenterology **Subject:** Amendment report (Request to extend for 2 years till July 2024).

Committee has noted and approved.

IEC code: 2021-177-DT-121 Agenda No. 34

Type of submission: Notification of Amendments/Addendum

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without

hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev **Department:** Clinical Hematology

Subject: Amendment report (Change Investigator's Brochure Edition 10.1 dated 18-Jan-2021 to

Edition 11 dated 12-May-2021).

Committee has noted.

IEC code: 2021-309-EMP-EXP-44 Agenda No. 35

Type of submission: Notification of Amendments/Addendum **Title of project:** Renal transcriptome regulation in kidney disease

PI: Dr Swasti Tiwari **Department:** Molecular Medicine

Subject: Amendment report (Amended study Title: Renal transcriptome regulation in diabetic kidney

Committee has noted.

IEC code: 2021-311-DM-EXP-44 Agenda No. 36

Type of submission: Notification of Amendments/Addendum

Title of project: Breast artery calcification- A predictor of coronary artery disease in Women? A

hospital-based study in Indian women (BAC-CAD Study).

PI: Dr Roopali Khanna **Department:** Cardiology

Subject: Amendment report (Additional Investigation, Study duration increases from 1 year to 3 years, Sample size increases from 300 to 600, Amended study proposal summary, Revised Hindi &

English PID and Email Printout of ICMR approval).

IEC code: 2021-87-MD-EXP-37

Type of submission: Notification of Amendments/Addendum

Committee has noted and approved.

Agenca No. 37

Type of submission: Notification of Amendments/Addenduction of Beta Thalassemia trait in defendent using Mathematical formulas obtained by Red cell indices. Title of project: Detection of Beta Thalassemia trait in deferred blood donors for low Hemoglobin

PI: Dr Rajendra Chaudhary **Department:** Transfusion Medicine

Subject: Amendment Report (Sample size reduced from 1500 to 500).

Committee has noted and approved.

IEC code: 2021-9-MD-118 Agenda No. 38

Type of submission: Notification of Amendments/Addendum

Title of project: A prospective randomized control study to compare the efficacy of "Between the fingers Grip" with conventional "Pen holding Grip" to hold an endotracheal tube for orotracheal intubation.

PI: Dr Prabhat Tewari

Department: Anaesthesiology

Subject: Amendment report (Sample size increase from 100 to 300 and study title change : from

control word instead Interventional).

Committee has noted and approved. Submit copy of CTRI.

E. Notification for protocol deviation:

Agenda No. 39 **IEC code:** 2020-329-DT-117 Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia

gravis

PI: Dr Javantee Kalita **Department:** Neurology

Subject: Protocol deviation (Subject no. 41050118).

Committee has noted.

IEC code: 2020-329-DT-117 Agenda No. 40

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia

gravis

Department: Neurology PI: Dr Jayantee Kalita

Subject: Protocol deviation (41050118 and 41050100).

Committee has noted.

Agenda No. 41 **IEC code:** 2021-177-DT-121

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without

hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

Department: Clinical Hematology PI: DR Sanjeev

Subject: Protocol deviation (Subject no. 2100001, 2100002, 2100003 and 2100004)

Committee has noted.

F. General Notification:

Agenda No. 42 **IEC code:** 2018-67-DT-103

Type of submission: General Notification

Title of project: "A 12-week double-blind, randomized, multi-center study compared and affety of once monthly subcutaneous AMG 334 against placebo in adult epidents (EMPOWER)"

Department: Neurolog

Subject: IEC notification of Clinical Study Report version 1.0 dated 11 Jan 2022. Title of project: "A 12-week double-blind, randomized, multi-center study comparing the efficacy sand affety of once monthly subcutaneous AMG 334 against placebo in adult episodic migraine

Department: Neurology

Committee has noted.

Agenda No. 43 **IEC code:** 2020-201-EMP-115

Type of submission: General Notification

Title of project: Digoxin in patients with rheumatic heart disease - a randomized placebo-controlled

PI: Dr Sudeep Kumar **Department:** Cardiology

Subject: Notification of Site Activation.

Committee has noted.

Agenda No. 44 **IEC code:** 2020-201-EMP-115

Type of submission: General Notification

Title of project: Digoxin in patients with rheumatic heart disease - a randomized placebo-controlled

trial

PI: Dr Sudeep Kumar **Department:** Cardiology

Subject: Notification of Insurance certificate (Policy no. 272300/48/2022/3622 and period of

Insurance: from 21/02/2022 to 20/02/2023)

Committee has noted.

Agenda No. 45 **IEC code:** 2020-329-DT-117

Type of submission: General Notification

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia

gravis

PI: Dr Jayantee Kalita **Department:** Neurology

Subject: IEC notification of Blank eCRF version 4.0 dated 12 Oct 2021.

Committee has noted.

Agenda No. 46 **IEC code:** 2020-329-DT-117

Type of submission: General Notification

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita **Department:** Neurology

Subject: Documents for IEC notification (SDMC form dated 06 Oct 2021 and SDMC form dated 16

Feb 2022).

Committee has noted.

Agenda No. 47 **IEC code:** 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

PI: Dr Rajanikant R Yadav **Department:** Radiodiagnosis

Subject: Documents for IEC notification (Case report form version 5.0 dated 16-Feb-2022 and DCGI

notification of eCRF version 5.0).

Committee has noted.

Agenda No. 48 **IEC code:** 2021-180-DT-121

Type of submission: General Notification

Type of submission: G
Type of submission: G Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficaty and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with multiple sclerosis followed by an open-label extension.

Department: Nephrology

Subject: Notification of Insurance certificate (Policy no. P0422200001/9999/100188 and period of

Insurance: from 01/01/2022 to 31/12/2022).

Committee has noted.

IEC code: 2021-263-EMP-123 Agenda No. 49

Type of submission: General Notification

Title of project: A multicenter, single-arm, open-label, phase 4 study to evaluate the safety and

efficacy of vedolizumab in Indian patients with ulcerative colitis and Crohn's disease **Department:** Gastroenterology PI: Dr U C Ghoshal

Subject: IEC notification of remote monitoring plan.

Committee has noted.

G. SAE:

Agenda No. 50 **IEC code:** 2020-286-DT-116

Type of submission: SAE reports-Outside-Log

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE

DOSE STUDY TO EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH

INNUNOGLOBULIN A(IgA) NEPHROPATHY

PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Off-site safety report (Subject ID: 1201-002).

Committee has noted.

Agenda No. 51 IEC code: 2020-232-EMP-EXP-27

Type of submission: SAE reports-SGPGI

Title of project: To evaluate efficacy of Bacillus Calmette-Guerin (BCG) in Reducing the Incidence and severity of COVID-19 in thehigh-risk population (BRIC): a phase III, Multicentric, Quadruple blind

Randomized controlled trial

PI: Dr Sushil Gupta **Department:** Endocrinology

Subject: Onsite Adverse Drug Event report (Adverse event term: Cardio Respiratory Failure,

Outcome: Fatal, Date of onset 24-April-2021, Case no. ICMR82 and Annual Progress report dated:04-

05-2022).

Committee has noted. The SAE will be discussed by the SAE subcommittee.

Agenda No. 52 IEC code: 2020-232-EMP-EXP-27

Type of submission: SAE reports-Summary

Title of project: To evaluate efficacy of Bacillus Calmette-Guerin (BCG) in Reducing the Incidence and severity of COVID-19 in thehigh-risk population (BRIC): a phase III, Multicentric, Quadruple blind

Randomized controlled trial

PI: Dr Sudeep Kumar **Department:** Cardiology

Subject: SUSAR report (Quarterly summary report dated 01-Dec-2021, Period coverd from 01-Sep-

2021 to 30.Nov-2021) Committee has noted.

H. Study Final/Annual/Progress reports /Close out Notification:

FI: Dr Shubha Phadke **Department:** Medical Genetics

Subject: Annual Progress report dated 06.04.2022.

Committee has noted.

Agenda No. 54 **IEC code:** 2017-12-EMP-95

Type of submission: Study Close out Notification

Title of project: Plasma exosomal micro RNA and proteome profiling in autism spectrum disorder

chilrens: probing for biomarkers

PI: Dr Sarita Agarwal **Department:** Medical Genetics

Subject: Study completion report (Date of termination: 5th Sept 2021).

Committee has noted.

Agenda No. 55 **IEC code:** 2017-149-EMP-99(A)

Type of submission: Study Close out Notification

Title of project: Genotyping of polymorphic variations at quantitative trait loci on genetic modifier genes and its correlation with fetal hemoglobin levels in homozygous beta thalassemia patients.

PI: Dr Sarita Agarwal **Department:** Medical Genetics

Subject: Study completion report (Date of termination: 24-Nov-2021).

Committee has noted.

Agenda No. 56 IEC code: 2018-107-EMP-EXP

Type of submission: Study Close out Notification

Title of project: Study on Genetic Heterogeneity in Orofacial Clefts: Cleft Lip and Palate in Indians

PI: Dr Sarita Agarwal Department: Medical Genetics

Subject: Study completion report (Date of termination: 5th Sept 2021).

Committee has noted.

Agenda No. 57 **IEC code:** 2020-284-DT-116

Type of submission: Study Close out Notification

Title of project: A 12-week phase 3, randomized, double-blind, placebo controlled study to evaluate the efficacy and safety of once monthly subcutaneous erenumab 70 mg in adult chronic migraine

patients

PI: Dr Jayantee Kalita Department: Neurology

Subject: Notification of Study close out visit dated 14 Feb 2022.

Committee has noted.

<u>Agenda No. 58</u> IEC code: 2021-105-DM-EXP-38

Type of submission: Study Close out Notification

Title of project: Esophageal strictures in children: etiology, management and outcome

PI: Dr Ujjal Poddar Department: Ped. Gastroenterology

Subject: Study Completion report (Date of termination: 03-08-2021).

Committee has noted.

Col Varun Bajp: Executive Regist

(Er. Vinita Agrawal) Member Secretary, IEC, SGPGI

(Dr. Chandishwar Nath)

c Mr,

Chairman, IEC, SGPGI

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 127th Institutional Ethics Committee meeting held on Saturday, 23rd July 2022, at 10.30 am, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Present:

 Dr. Chandishwar Nath, <i>Retd.</i> Chief Scientist, Division of Toxicology, CSIR-CDRI, Lucknow Prof. Aneesh Srivastava, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow Justice S.C Verma, Retd. High Court Judge Allahabad - 	Chairman Member Member
4. Shri. Vijai Varma, Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
5. Shri. Sharat Pradhan, Senior Journalist, (B-4, Dilkusha Colony, Lucknow	Member
6. Ms. ShaliniMathur, Secretary, Suraksha NGO, Lucknow	Member
7. Dr. Mohan Gurjar, Additional Professor, Department of CCM, S G P G I M S, Lucknow	Member
8. Prof. M. K. Mitra Former HOD Medicine, KGMU, Lucknow	Member
9. Prof. AmitaPanday, Dept. of Obst. &Gynae., KGMU, Lucknow	Member
10. Prof. V.L Bhatia, Prof. Department of Endocrinology, S G P G I M S, Lucknow	Member
11. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
12. Prof. A.K Srivastava, Retd. Prof. Department of Sociology, University of Lucknow	Member
13. Dr. GautamPalit, Ex-Head, Div. of Pharmacology &Seni. Dep. Dir. & Medical Officer, CSIR-CDRI, Lko	o. Member
14. Dr. S. Srivastava, Retd. Scientist-IV, Research Cell, S G P G I M S, Lucknow	Member
15. Prof. Vinita Agrawal, Professor, Dept. of Pathology, S G P G I M S, Lucknow	Member Secretary

Members Dr Aneesh Srivastava and Justice SC Verma, could not attend the meeting due to other commitments.

Determination of quorum: quorum was complete: 13 members present, with 10 non-

quorum was complete: 13 1

SCO A: General discussions and confirmation of Minutes:

SCO A: General discussions and confirmation of Minutes:

The Chairman, Dr Chandishwar Nath. wol

Lucknow

SCO A: General discussions and confirmation of Minutes: The Chairman, Dr Chandishwar Nath, welcomed all members. Member Secretary, Dr Vinita Agrawal, proposed to conduct a 'CME on Clinical Trials' on 14th October and GCP workshop on 15th October 2022, on behalf of the IEC and Bioethics Cell. A tentative program was proposed. All members agreed and the Chairman suggested that the tentative program can be circulated by email for inputs and suggestions.

Disclosure of conflict of interest: Chairman, called for disclosure of Conflict of Interest (COI) in the Protocols scheduled for deliberation in the meeting.

None of the members declared any conflict of interest.

Reading and approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 126th IEC meeting held on 21st May, 2022. All members approved the minutes.

IEC code: 2022-76-IMP-127 Agenda No. 1

Type of submission: Project Intramural

Title of project: Comparison of Sugammadex and Neostigmine for reversal of Vecuronium induced neuromuscular blockade in paediatric patients: A prospective randomized study **PI:** Dr Aarti Agarwal **Department:** Anaesthesiology

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Why the study is essential to conduct in a vulnerable population of children 1-12 yrs. age?

- 2. Has DCGI approved Sugammadex for pediatric use in India? If yes, submit documentary evidence.
- 3. Please clarify randomization method in details.
- 4. English PID:
 - a. What is the purpose of study? It is written "this drug has been sponsored by SGPGI"; the study is sponsored not the drug.
 - b. What are the side effects of taking part? It is stated that "Sugammadex has been shown to be devoid of side effects which neostigmine has, hence it would be safer to use Sugammadex than neostigmine for reversal of muscle paralysis." It should clearly describe the side effects of Sugammadex.
- 5. Submit Departmental Research Committee document.
- 6. Submit Child assent form.
- 7. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Major Modification.

SCOUNTS PLANVISED to submit above correction within 4 wee by the submit above correction within 4 wee considered in next IEC meeting for ethical approval. FLacvised to submit above correction within 4 weeks, failing which the project will not be

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 2 **IEC code:** 2022-81-IMP-127

Type of submission: Project Intramural

Title of project: Oral JAK Inhibitor Tofacitinib In Enthesitis Related Arthritis Variant Of Juvenile Idiopathic Arthritis: A Single Center Double Blinded Randomized Control Study **Department:** Clinical Immunology PI: Dr Naveen R

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Page 12, 'the two arms include 1. Tofacitinib 5 mg BD/OD (based on body weight) plus Standard of care (Methotrexate plus NSAIDs) 2. Sulfasalazine (up to 2g/day) plus

Standard of care (Methotrexate plus NSAIDs). Oral steroid any dose is continued or tapered down based on the response in both arms. The dose of steroids is suggested to be similar in both arms.

- 2. Submit PID and consent form for Legally Accepted Representative.
- 3. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.
- 4. Give travel costs for participants, if they are being called for visits only for the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 3 **IEC code:** 2022-84-IMP-127

Type of submission: Project Intramural

Title of project: The role of Plasminogen Activator Inhibitor-1 as a contributor to the

pathogenesis of anti-phospholipid syndrome

PI: Dr Koshy Nithin Thomas **Department:** Clinical Immunology

1. Please confirm if the controls are age-matched. Who will be the pediatric controls?

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Please confirm if the controls are age-mated

COMMON COMMO 2. PID "Some of the blood sample will be stored for future studies"; amount of blood

- \$\frac{\pi}{2}\$3. There should be an option for agreeing to participate in the present study but refusal to give blood for future study.
 - 4. Submit assent form for children aged 7-<18 yrs. Clarify on title of PID that it is for Legally Accepted Representative (LAR).

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-80-EMP-127 Agenda No. 4

Type of submission: Project Extramural

Title of project: Prevalence and Risk Factors for Colorectal Polyps/Cancers in Indian

Population: A Prospective Multicentre Study

PI: Dr U C Ghoshal **Department:** Gastroenterology

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Submit Ethical clearance from other centers. The ethical clearance from primary center (Apollo, Kolkata) should be submitted.
- 2. Mention the source of funds.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-82-EMP-127 Agenda No. 5

Type of submission: Project Extramural

Title of project: Functional dyspepsia with and without delayed gastric emptying: symptom severity, quality of life, and fasting and post-water load electrogastrography parameters PI: Dr U C Ghoshal **Department:** Gastroenterology

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer PID and CF: Dr A K Srivastava

- Subject: Project for review Scientific issues, ethical issues and informed consent documents were discussed. The committee has given the following suggestions to the PI:

 1. Mention the source of funds for the EGG; it has not been mentioned in the but Mention the source of funds for the EGG; it has not been mentioned in the budget. The EGG should be free of charge and mention in the PID that there will be no charge for EGG test.
 - 2. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 6 **IEC code:** 2022-86-DM-127

Type of submission: Project DM/MCh/MD

Title of project: Use of transcutaneous electric nerve stimulation for treatment of restless leg syndrome in chronic liver disease patients

PI: Dr Vimal Kumar Paliwal **Department:** Neurology

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Page 15, Period of study should be July/August, not June.
- 2. PID: It should be included that there will be no extra charges for TENS and iron treatment in patients with low ferritin, if these are not the part of routine treatment.
- 3. Hindi PID, point 3: has translated 'culture' to 'sanskriti'. Please check and correct. 'Vishay' should be replaced by 'pratibhagi'.
- 4. Submit RLSS scoring in English also.
- 5. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 7 **IEC code:** 2022-75-PDCC-127

Type of submission: Project SRF/PDF/PDCC

Title of project: Comparison of venous blood gas with arterial blood gas in reducing pain in

Department: Neonatology

STitle of project: Comparison of venous blood gas we neonates: An open label randomized controlled trial Akanksha Verma
Primary reviewer (Technical): Prof. V.L Bhatia
Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has asked the PI to consider the following questions and suggestions:

- 1. Due to the randomization, is it correct to say that some newborns who need PaO2 and PaCO2 estimation will have below standard of care if randomized to the venous sampling arm? and some newborns who don't need these estimations, and only need pH and HCO3, will have unnecessary undergo arterial sampling?
- 2. Is arterial sampling already known to have the difficulties mentioned in the secondary aims, such as greater no. of pricks, greater no. of specialized personnel such as doctor instead of nurse, greater time taken for the sampling, and lower comfort level with the procedure?
- 3. Title should be corrected to comparison of the sampling procedure.

4. The project cannot be approved in the present form. However, if the primary outcome i.e., pain to the neonate, assessed without randomization as an observational study, the project can be resubmitted.

Decision Major Modification. Resubmit.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

C. Reporting decisions of Member Secretary/3 Member Committee/Expedite Review **Committee**

The recommendation of the Member Secretary/Expedite Review Committee for the agenda 8 to 13 (clarifications submitted for proposals discussed in 126th IEC meeting and for EXP meeting no. 47, agenda 8-9;) who has given clearance for the proposals has been approved by the committee.

IEC code: 2022-64-EMP-EXP-47 Agenda No. 8

Type of submission: Expedite review project for IEC notification

Title of project: Antinuclear Antibody Immunofluorescence Patterns in Systemic Lupus

Erythematosus: Diagnostic and Prognostic Evaluation using Artificial Intelligence PI: Dr Amita Aggarwal **Department:** Clinical

Immunology

Subject: Expedite review project for IEC notification

IEC code: 2022-65-IP-EXP-47

Type of submission: Expedite review project for IEC notification

Title of project: Natural history and predictors of response to therapy in children with

autoimmune liver disease

PI: Di Anshu Srivastava **Department:** Ped. Gastroenterology

Subject: Expedite review project for IEC notification

Agenda No. 10 **IEC code:** 2022-58-EMP-126

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary Title of project: Knowledge, attitude and practice (KAP) survey of low fermentable oligo-, di-, mono-saccharide and polyol (FODMAP) diet awareness among Indian gastroenterologists and physicians

PI: Dr U C Ghoshal **Department:** Gastroenterology

Subject: Clarification submitted by Dr U C Ghoshal Prasad Dept. of Gastroenterology and approved by Member Secretary for notification

Agenda No. 11 **IEC code:** 2022-66-IMP-126

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary **Title of project:** Anti fibrotic effect of tadalafil in liver fibrosis: an in vitro and in vivo study

Department: Clinical Immunology **PI:** Dr Vikas Agarwal

Subject: Clarification submitted by Dr Vikas Agarwal Dept. of Clinical Immunology and

approved by Member Secretary for notification

IEC code: 2022-68-DT-126 Agenda No. 12

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary **Title of project:** In vitro susceptibility of Ceftriaxone or Ceftriaxone combination with Sulbactam & Tazobactam in community acquired and nosocomial infections against gramnegative and gram -positive bacteria

PI: Dr Ujjala Ghoshal **Department:** Microbiology

Subject: Clarification submitted by Dr Ujjala Ghoshal Dept. of Microbiology and approved by Member Secretary for notification

Agenda No. 13 **IEC code:** 2022-71-PhD-126

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary **Title of project:** Complex omics signatures for different stages of ARDS and translation strategies for improved clinical management

PI: Dr Afzal Azim **Department:** Critical Care Medicine Subject: Clarification submitted by Dr Afzal Azim Dept. of Critical Care Medicine and approved by Member Secretary for notification

D. Notification for Amendments/Addendum:

IEC code: 2019-30-IP-108 Agenda No. 14

Type of submission: Notification of Amendments/Addendum

Title of project: A randomized study of Consolidation Chemoradiation versus Observation

after first line Chemotherapy in Locally Advanced Carcinoma Gallbladder

PI: Dr Sushma Aggarwal **Department:** Radiotherapy

Subject: Amendment report (Extend for 1 year and Omental metastases as evident on CT scan without ascites, Limited liver metastates (<5) in same segment as liver infiltration).

IEC code: 2020-179-MCh-EXP-

Committee has noted and approved.

IEC code: 2020-179-MCh
Septimental Agenda No. 15

IEC code: 2020-179-MCh
Type of submission: Notification of Amendments/Addendum

Title of project: Clinico-pathological profile and surgical outcome of non-functioning

Adrenal tumours- 30 years experience

PI: Dr Gyan Chand **Department:** Endocrine Surgery

Subject: Amendment Report (Amend study period: 21 years and Change study title: Clinicopathological profile and surgical outcome of non-functioning Adrenal tumours- 21 years experience).

Committee has noted and approved.

IEC code: 2020-320-PhD-117 Agenda No. 16

Type of submission: Notification of Amendments/Addendum

Title of project: Effect of Circulating Microparticles on Monocyte Activation and

Differentiation in IgA Nephropathy

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Amendment report (Numer of patients enrolled from 40 to 70).

Committee has noted and approved.

Agenda No. 17 **IEC code:** 2020-331-DT-117

Type of submission: Notification of Amendments/Addendum

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

Department: Radiodiagnosis **PI:** Dr Rajanikant R Yadav

Subject: Amendment report (Request for 1 month extension of IEC approval).

Committee has noted and approved.

Agenda No. 18 **IEC code:** 2021-177-DT-121

Type of submission: Notification of Amendments/Addendum

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises. **Department:** Clinical

PI: DR Sanjeev Hematology

Subject: Amendment report (Change Investigator's Brochure from Edition 11 to Edition 12

dated 12 May 2022).

Committee has noted and approved.

IEC code: 2022-20-IMP-125 Agenda No. 19

Type of submission: Notification of Amendments/Addendum

Title of project: Role of betablocker and norepinephrine in the outcome of stroke. **Department:** Neurology **PI:** Dr Jayantee Kalita

Subject: Amendment report (From Previous Sample Size 49 in each arm to new sample size

56 in each group).

Committee has noted and approved.

E. Notification for protocol deviation:

m S Agenda No. 20 **IEC code:** 2020-329-DT-117

Agenda No. 20

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study by with open label period to evaluate the efficacy and safety of Inebilizumab in adults with properties of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study by the project of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study by the project of project of

Subject: Notification for protocol deviation (Subject No. 41050118 and 41050100).

Committee has noted.

Agenda No. 21 **IEC code:** 2021-177-DT-121

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev Jha **Department:** Clinical Hematology

Subject: Notification for protocol deviation (Patient No. and name: 2100002 and DK).

Committee has noted.

F. General Notification:

Agenda No. 22 **IEC code:** 2017-160-DT-99(A)

Type of submission: General Notification

Title of project: Safety and Efficacy of Lipiodol® Ultra Fluid in Association with Surgical

Glues during Vascular Embolization A Phase IV study

PI: Dr Raghunandan Prasad **Department:** Radiodiagnosis

Subject: Clinical Study Report (CSR) for IEC notification and site filing.

Committee has noted.

Agenda No. 23 **IEC code:** 2019-193-DT-112

Type of submission: General Notification

Title of project: Flow Effect of semaglutide versus placebo on the progression of renal

inpairment in subject with type 2 diabetes and chronic kidney disease

PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Clinical trials Insurance policy (Policy No.: 030P000302901000 and Period of Insurance: 01-09-2021 to 31-08-2022) Certificate of Insurance Clinical Tests and Trials (Policy No.:390-08654942-30078 and Period of Insurance: 1 year & Expiry Date: April 30, 20

Committee has noted.

IEC code: 2020-286-DT-116 Agenda No. 24

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Lab Manual version 3.1.0 (Manual created: 23 March 2020 and Manual Revised: 28

IEC code: 2020-286-DT-116

September 2021). Committee has noted.

Agenda No. 25

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBOCONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND
SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Lab Manual version 4.1.0 (Manual Created 23 March 2020 and Manual Revised 11

January 2022).

Committee has noted.

Agenda No. 26 **IEC code:** 2020-329-DT-117

Type of submission: General Notification

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Javantee Kalita **Department:** Neurology

Subject: IEC notification of Insurance (Policy number: 4067/204322356/00/000 and Policy period from: August 19th, 2020 to April 30th, 2025).

Committee has noted.

IEC code: 2021-177-DT-121 Agenda No. 27

Type of submission: General Notification

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or

without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev **Department:** Clinical

Hematology

Subject: Error in ECG report (Subject No.: 2100007 and 2100008).

Committee has noted.

G. SAE:

Agenda No. 28 **IEC code:** 2020-286-DT-116

Type of submission: SAE reports-Outside-Log

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Notification of CIOMS from dated 29- Oct -2021 to 26 Jan 2022.

Committee has noted.

Agenda No. 29 **IEC code:** 2020-286-DT-116

Type of submission: SAE reports-Outside-Log

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND

Department: Nephrology

SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad

Subject: Notification of CIOMS from dated 27- Oct -2021 Subject no.: 1103-003.

Committee has noted.

Separate No. 30

IEC code: 2020-286-D

Type of submission: SAE reports-Outside-Log

Title of presints A MIN TICENTED Prints 1 **IEC code:** 2020-286-DT-116

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND SAFETY OF VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA)

NEPHROPATHY

PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Notification of CIOMS from dated 27- Jan-2021 to 30-Apr-2022.

Committee has noted.

Agenda No. 31 **IEC code:** 2021-177-DT-121

Type of submission: SAE reports-Outside-Log

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev **Department:** Clinical

Hematology

Subject: Suspected Unexpected Serious Adverse Reaction (Period: 01 October 2021 to 31

March 2022).

Committee has noted. Clarify if there is any SAE in this center, SGPGI.

Agenda No. 32 **IEC code:** 2021-179-DT-121

Type of submission: SAE reports-Outside-Log

Title of project: A multicenter, single-arm, open label trial to evaluate efficacy and safety of oral, twice daily LNP023 in adult aHUS patients who are naïve to complement inhibitor therapy

PI: Dr DS Bhadauria **Department:** Nephrology

Subject: Suspected Unexpected Serious Adverse Reaction (Period: 01 October 2021 to 31

March 2022, Total Number of Cases: 2, Pat. No: 1002006 and 5501002). Committee has noted. Clarify if there is any SAE in this center, SGPGI.

H. Study Final/Annual/Progress reports /Close out Notification:

IEC code: 2018-127-EMP-105 Agenda No. 33

Type of submission: Final/Annual/Progress reports

Title of project: Multi Centric Collaborative Study of National Registry for Rare Disorders

PI: Dr Shubha Phadke **Department:** Medical Genetics

Subject: Annual Progress report dated 07-06-2022.

Committee has noted.

Agenda No. 34 **IEC code:** 2014-161-EMP-EXP

Type of submission: Study Close out Notification

Title of project: Alterations in cell signaling pathways in bladder cancer progression a tissue microarray expression analysis of primary and recurrent tumors

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Study completion report (Date of termination: June 2020).

IEC code: 2015-109-IMP-EXP

Committee has noted.

Secondary Committee has noted.

Secondar

renal allograft biopsies

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Study Completion report dated 01.07.2022.

Committee has noted.

IEC code: 2017-129-PhD-99 Agenda No. 36

Type of submission: Study Close out Notification

Title of project: Endothelial injury and circulating Microparticles in IgA Nephropathy **PI:** Dr Vinita Agrawal **Department:** Pathology

Subject: Study Completion report (Date of termination: 24 June 2022).

Committee has noted.

IEC code: 2017-178-IMP-99(B) Agenda No. 37

Type of submission: Study Close out Notification

Title of project: Analysis of sequence variations in MEOX1, GDF3 and GDF6 genes in

cases with congenital craniovertebral junction anomalies

PI: Dr Jayesh Sardhara **Department:** Neurosurgery **Subject:** Study Close out Notification (Date of termination: 05/05/2022).

Committee has noted.

IEC code: 2017-232-MD-EXP Agenda No. 38

Type of submission: Study Close out Notification

Title of project: "A Clinicopathological Study of Renal Amyloidosis"

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Study completion report (Date of termination: 31st Oct. 2019).

Committee has noted.

Agenda No. 39 **IEC code:** 2018-58-SRF-EXP

Type of submission: Study Close out Notification

Title of project: MiRNA-21 in the fibrogenic pathway in IgAN

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Study Completion report (Date of termination: 24 June 2022).

Committee has noted.

IEC code: 2021-159-CP-EXP-40 Agenda No. 40

Type of submission: Study Close out Notification

Title of project: Validation of the IMPACT-III instrument to assess the quality of life of

Indian children with Inflammatory Bowel disease: A multicentre study

PI: Dr Anshu Srivastava **Department:** Ped. Gastroenterology

Subject: Study close out report (Date of termination: 20th April 2022).

Committee has noted.

Agenda No. 41 IEC code: 2021-315-SRF-EXP-

44

Type of submission: Study Close out Notification

Title of project: Circulating Microparticles and Monocyte Activation in Renal Antibody
Mediated Allograft Rejection
Pi: Dr. Vinita Agrawal
Department: Pathology
Subject: Premature termination report dated 08-July-2022.
Committee has noted.

Agenda No. 42 **IEC code:** 2021-338-SRF-EXP-

Type of submission: Study Close out Notification

Title of project: Stimulatory effects of circulating microovesicles on Monocytic cell lineage

in IgAN

PI: Dr Vinita Agrawal **Department:** Pathology

Subject: Premature termination report dated 08-July-2022.

Committee has noted.

Virita doramal (Dr. Vinita Agrawal) Member Secretary,

IEC, SGPGI

(Dr. Chandishwar Nath)

c Mr.

Chairman. IEC, SGPGI

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 128th **Institutional Ethics Committee** meeting held on Saturday, 24th September 2022, at 10.30 am, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Members:

1. Dr. Chandishwar Nath, Retd. Chief Scientist, Division of Toxicology, CSIR-CDRI, Lucknow	Chairman
2. Prof. SP Ambesh, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow	Member
3. Justice S.C Verma, Retd. High Court Judge Allahabad -	Member
4. Shri. Vijai Varma, Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
5. Shri. Sharat Pradhan, Senior Journalist, (B-4, Dilkusha Colony, Lucknow	Member
6. Ms. ShaliniMathur, Secretary, Suraksha NGO, Lucknow	Member
7. Dr. Mohan Gurjar, Additional Professor, Department of CCM, S G P G I M S, Lucknow	Member
8. Prof. M. K. Mitra Former HOD Medicine, KGMU, Lucknow	Member
9. Prof. AmitaPanday, Dept. of Obst. &Gynae., KGMU, Lucknow	Member
10. Prof. V.L Bhatia, Prof. Department of Endocrinology, S G P G I M S, Lucknow	Member
11. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
12. Prof. A.K Srivastava, Retd. Prof. Department of Sociology, University of Lucknow	Member
13. Dr. GautamPalit, Ex-Head, Div. of Pharmacology &Seni. Dep. Dir. & Medical Officer, CSIR-CDRI, Lko.	Member
14. Dr. S. Srivastava, Retd. Scientist-IV, Research Cell, S G P G I M S, Lucknow	Member
15. Prof. Vinita Agrawal, Professor, Dept. of Pathology, S G P G I M S, Lucknow M	Iember Secretary

Dean Dr SP Ambesh and Justice S C Verma, could not attend the meeting, due to prior commitment.

Determination of quorum: quorum was complete: 13 members present, with ten non-institutional members.

A: General discussions and confirmation of Minutes:

The chairman, Dr Chandishwar Nath, welcomed all members. Member Secretary, Dr Vinita Agrawal, informed that Prof SP Ambesh, Head Department of Anesthesiology, SGPGIMS has taken charge as Dean of the Institute and is a member of the IEC. She also informed that a 'CME on Clinical Trials and GCP' will be conducted on Friday, 14th October at SGPGIMS from 10am to 5pm. The speakers have given their consent; however, the clarification of online/offline participation has to be sought. The final program will be communicated to all members. All members agreed to participate in the CME.

Dr MK Mitra emphasized that faculty of the Institute should be aware of the CONSORT guidelines for randomized clinical trials to maintain standard of research which can be later published.

The Chairman, Member Secretary and all members were aware that this was the last full board meeting of this Committee. All thanked each other and opined that this has been a learning experience. The committee had many accomplishments in their tenure and had been committed to maintain the highest standards in ethics with dedicated efforts of all. The Chairman on behalf

Executive Registrar SGPGIMS, Lucknow

of the whole committee appreciated Member Secretary, Dr Vinita Agrawal and Bioethics Cell team for their exemplary efforts to arrange meetings, even in COVID times.

Disclosure of conflict of interest: Chairman, called for disclosure of Conflict of Interest (COI) in the Protocols scheduled for deliberation in the meeting.

The following member did not participate in the deliberations of the following agenda and was out of the committee room during the discussions:

Dr Vinita Agrawal, PI for the agenda no. 13 (IEC code: 2022-95-EMP-128)

Reading and approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 127th IEC meeting held on 23rd July, 2022. All members approved the minutes.

B. Review of New Proposals:

IEC code: 2022-104-IMP-128 Agenda No. 1

Type of submission: Project Intramural

Title of project: Comparison of pre-oxygenation with a high flow nasal cannula versus simple anatomical mask before intubation during induction of general anesthesia in patients undergoing

surgery for cervical spine injury

PI: Dr Prateek Singh Basis **Department:** Anaesthesiology

Primary reviewer (Technical): Dr Mohan Gurjar

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 2. Chrify in Method section of the study protocol about (a) Primary outcome, (b) Till what time study observations will be followed?
 - 3. Mention in simple language in the Participant Information Document (PID), about random selection and procedures to be performed.
 - 4. Hindi PID: the translation of subject to 'विषय' is not correct, it should be 'प्रतिभागी'.
 - 5. Submit PID and consent form for Legally Accepted Representative.
 - 6. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-105-IMP-128 Agenda No. 2

Type of submission: Project Intramural

Title of project: Comparison of Manual Intermittent bolus versus Continuous infusion of 0.2%

Ropivacaine after ultrasound guided serrates anterior plane block for rib fractures

PI: Dr Prateek Singh Basis Department: Anaesthesiology

Primary reviewer (Technical): Dr Mohan Gurjar

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Suggestion to mention 'Randomized control trial' in the Title.
- 2. Mention in simple language in the Participant Information Document (PID), about random selection and procedures to be performed.
- 3. Hindi PID: the translation of subject to 'विषय' is not correct, it should be 'प्रतिभागी'.
- 4. Submit PID and consent form for Legally Accepted Representative.
- 5. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 3 IEC code: 2022-107-IMP-128

Type of submission: Project Intramural

Title of project: A randomized controlled Study for Clinical evaluation of synergistic effect of oral

bisphosphonate with Platelets rich plasma in knee Osteoarthritis

PI: Dr Amit Kumar Department: Apex Trauma Centre

Primary reviewer (Technical): Prof. V.L Bhatia

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Kindly clarify if calcium and Vit D3 are prescribed in similar doses to all the patients of osteoarthritis, irrespective of whether they are part of study or not?
- 2. Simplify the language of Participant Information Document (PID). Do not mention the detailed procedure of preparation and administration of PRP.
- 3. Mention in PID, about the charges and free component in the study. Since Alendronate is add on to PRP therapy in this study, the cost of Alendronate should be borne by the study.
- 4. Consent forms should be as per SPO's of IEC. Contact Bioethics Cell for inputs/clarification.
- 5. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 4 **IEC code:** 2022-108-IMP-128

Type of submission: Project Intramural

Title of project: Effect of Three Months Daily Teriparatide Injection in Osteoporotic Intertrochanteric

fractures: A Randomised Controlled Trial

PI: Dr Kumar Keshav **Department:** Apex Trauma Centre

Primary reviewer (Technical): Prof. V.L Bhatia

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Participant Information Document (PID) mentions:
 - "If you are a part of the group receiving injection, you will have to take daily 20 µgm subcutaneous injection for 3 months, the cost of which would be around Rs.12,000/-".

Teriparatide is add on therapy (Gp 2) in the study. It should be provided free of cost to patients.

- P17 last paragraph: "You will receive several benefits by agreeing to participate in this study. Firstly, you will be followed up more closely than other patients and at more regular intervals. (This means that other patients will not be cared for properly)
- Secondly, you will have our contact number and can revert back to us anytime if you feel the need to. Thirdly, cost of an investigation used to assess osteoporosis amounting to Rs 4000/- would be waived off. And lastly, you may feel proud to be a part of the study which may eventually, in future help many more people like you." (It's a luring and improper.)

This language is improper. Modify and simplify the language of Participant Information Document (PID) and submit a comprehensive PID. Contact Bioethics Cell for inputs/clarification.

Col. The cost of PTH injection

Septimos Varun Bajpai

Septimos Minor Modification.

Septimos Minor Modification. The cost of PTH injection should be borne by Investigators.

t CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

DI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-109-IMP-128 **Agenda No.** 5

Type of submission: Project Intramural

Title of project: Early versus delayed removal of small calibre percutaneous intercostal drainage catheter and its correlation with infection in cases of acute haemothorax in level 1 trauma centre: A

pilot Study

PI: Dr Amit Kumar Singh **Department:** Apex Trauma Centre

Primary reviewer (Technical): Dr Mohan Gurjar

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- 1. Suggestion to mention 'Randomized control trial' in the Title.
- 2. The time of drain removal in hemothorax is according to clinical indication. Will you do repeat CT before drain removal? In some patients, repeat inserion may be required. How will this issue be handled?
- 3. How will you address, if patients get discharged before 10 days (study period)?
- 4. How will you address patients with deranged renal function, as study will require contrast media for doing CT scan and this contrast is nephrotoxic?
- 5. Hindi Participant Information Document (PID): the translation of subject to 'विषय' is not correct, it should be 'प्रतिभागी'. Pg. 18, point 3: drainage as been mentioned as 'जल निकासी. Kindly mention in scientific language and do not transplate if the meaning is improper.
- 6. Mention in simple language in the PID, about random selection and procedures to be performed.
- 7. Submit PID and consent form for Legally Accepted Representative.
- 8. Submit case record form.
- 9. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-111-IMP-128

Agenda No. 6

supplementation with nasal cannula in patients undergoing Endoscopic Retrograde Cholongiopancreatography: A Prospective Randomised Control Studies Properties Title of project: Comparison of the use of LMA Gastro Airway versus sedation and oxygen

supplementation with nasa Supplementation with nasa Supplementation with nasa Primary reviewer (Technica Subject: Project for review **Department:** Anaesthesiology

EPrimar reviewer (Technical): Dr Mohan Gurjar

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The Participant Information Document (PID) should mention the title of the project and also for whom it has been devised.
- 2. Only one PID is sufficient as the two groups will be formed after randomization. Submit proper PID. Mention in simple language in the PID, about random selection and procedures to be performed.
- 3. Hindi Participant Information Document (PID), para 4: it is written 'अच्छे रोगी की वस्ली'. Kindly check and reframe senetence with proper wordings. Kindly mention in scientific language and do not transplate if the meaning is improper.
- 4. In, consent forms, mention 'sample collection' as 'not applicable'.
- 5. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 7 **IEC code:** 2022-90-IMP-128

Type of submission: Project Intramural

Title of project: To Study the Serum Metabolomic markers as predictor of Gestational Diabetes

Mellitus in first trimester of pregnancy in North Indian Population: a pilot Study.

PI: Dr Indu Lata Department: Maternal & Reprod. Health

Primary reviewer (Technical): Prof. Amita Panday

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The protocol mentions that samples will be collected at first antenatal care visit and stored. Kindly ensure that all the pregnant women are screened and tested for gestational DM at the first antenatal visit.
- 2. For a group of 40 women with GDM, PI would need to screen a large number of women. Kindly mention in the protocol.
- 3. The language of the Participant Information Document (PID) is very technical. Kindly simplify and resubmit proper PID.

OPI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

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EAgenda No. 8 **IEC code:** 2022-106-EMP-128

Type of submission: Project Extramural

Title of project: A Randomized Double Blind Placebo control clinical study to evaluate the immunomodulatory effect of Swarnprashan in Moderately Malnourished Children

PI: Dr Vikas Agarwal **Department:** Clinical Immunology

Primary reviewer (Technical): Prof. V.L Bhatia

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- 1. What is the essentiality to do this study in malnourished children; a highly vulnerable population?
- 2. Submit copy of the ethical clearance for pilot studies (Preliminary work done earlier) as mentioned on pg. 88.
- 3. Has Swarnprashan been used in adults? Published literature should be provided.
- 4. Submit published literature on efficacy and safety of Swarnprashan in pediatric population.

- 5. The primary outcome is vague. How will the PI monitor the number of visits and frequency of infections which may be dependent on many other factors?
- 6. The GE toxicity is mentioned once. But there is no clarification about this in the PID or in the protocol regarding type, frequency, management etc.
- 7. Mention the rationale for sample size.
- 8. Who will prepare Swarnprashan tablets and do quality control? Ideally in clinical trials preparation should be done by a GMP (Good Manufacturing Practice) certified manufacturing establishment.
- 9. Diet will be provided by school/aganwadi worker. Will this diet improve malnutrition?
- 10. Ayurvedic expert should be consulted.
- 11. Kindly clarify the role of collaborator from the Department of Radiotherapy, KGMU, Lucknow.
- 12. The committee suggests that this study should be performed in AYUSH Institutes with Ayurvedic physicians as principal investigators. The blood samples can be analyzed by the investigator at SGPGIMS for immune profiling.

Decision Major Modification.

PI advised to submit above responses, for consideration in the next IEC meeting.

IEC code: 2022-113-EMP-128 Agenda No. 9

Type of submission: Project Extramural

Title of project: Efficacy and safety of levodopa in dystonia due to neurological Wilson disease, an

open label placebo controlled randomized clinical trial.

PI: Dr Jayantee Kalita **Department:** Neurology

Primary reviewer (Technical): Dr Gautam Palit

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

The cerificates have not be signed. In future, do not submit improper, unsigned certificates to the Bioethics Cell. Submit duly signed certificates.

Kindly mention the source of funding.

Clarify and mention about the adminstration of chelating agent as a standard of care in patients of Wilson disease.

- of Wilson disease.
- 4. As children are being recruited in the study, submit child assent form.
 - 5. Pg. 6, protocol mentions that 'if dystonia increases, the patients will be removed from the study'. They cannot be removed from the study analysis and should be considered as treatment failure. Mention how these patients will be managed.
 - 6. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.
 - 7. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 10 **IEC code:** 2022-89-EMP-128

Type of submission: Project Extramural

Title of project: Metabolomics and genetic association analysis in ccRCC patients in north Indian

population PI: Dr Naveen Kumar Gautam

Department: Urology

Primary reviewer (Technical): Dr Vinita Agrawal

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Mention the rationale of 123 healthy controls. Clarify their source and inclusion/exclusion criteria. Kindly correct the term 'healthy patients' to 'healthy controls'
- 2. Clarify the type of controls in the study and their numbers.
- 3. Participant Information Document (PID): mention clearly about sample collection.
- 4. Submit informed consent documents for healthy controls.
- 5. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-93-EMP-128 Agenda No. 11

Type of submission: Project Extramural

Title of project: Investigation of mitochondrial damage associated molecular patterns as potential

biomarkers for pregnancy complications

PI: Dr. K Sharma **Department:** Molecular Medicine

Primary reviewer (Technical): Prof. Amita Panday

Subject: Project for review

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Suggestion to include in the title the specific complications which are being studied 'preeclampsia' and 'IUGR'.
- 2. Mention the source of funding and the distribution among investigators of SGPGI and collaborator.
- 3. The protocol mentions about pre-existing/stored as well as prospective blood samples. Clarify.
- 4. Pg 21, clarify control groups (internal and external)
- 5. Participant Information Document (PID) for controls mentions: "Since you are visiting SGPGIMS for your routine checkups, we would like to request you to participate in the above study as a non-pregnant control." What is the indication of routine check up of young females? Please clarify and mention the source of controls.
- 6. Submit ethics committee clearance from AIIMS, Patna.
- 7. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 12 IEC code: 2022-94-EMP-128

Type of submission: Project Extramural

Title of project: Genotype-phenotype correlation with the efficacy of chelation therapy in pediatric

hepatic Wilson disease in North India

PI: Dr Moinak Sen Sarma Department: Ped. Gastroenterology

Primary reviewer (Technical): Prof. V.L Bhatia

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Simplify the language of the Participant Information Document (PID) and submit a comprehensive PID.
- 2. Submit PID for LAR (Legally Accepted Representative).
- 3. Submit child assent form.
- 4. Clarify the source of funding. (Page1 mentions: project funded Yes, DHR; Pg 18 of PID mentions: Who is organizing and funding the research/trial? Ans. Principal investigator from Dept of Pediatric Gastroenterology, SGPGIMS is organising. Funding will be sought from the Institute for the research trial).
- 5. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 13 IEC code: 2022-95-EMP-128

Type of submission: Project Extramural

Title of project: To evaluate the association of donor specific antibodies and protocol biopsy on graft

outcome in renal transplant recipients

PI: Dr Narayan Prasad Department: Nephrology

Primary reviewer (Technical): Prof. M. K Mitra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- 1. Clarify the source of funding (Intramural or DHR).
- 2. Participant Information Document (PID): explanation to q.6 should be more reassuring.
- 3. Mention the intervention in the PID.
- 4. Clarify the total amount of blood to be collected.

5. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2022-97-EMP-128 Agenda No. 14

Type of submission: Project Extramural

Title of project: Repurposing of alpha lipoic acid in breast cancer: Effect on autophagy and energy

metabolism of BCSCs

PI: Dr Rohit Anthony Sinha **Department:** Endocrinology

Primary reviewer (Technical): Dr Gautam Palit

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The protocol should include review of literature and associated references. Please submit proper protocol.
- 2. Get clearance from Stem Cell Research Committee, before the start of study and submit a copy to Bioethics Cells.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

SCPGIMS, Lucknow

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Agenda No. 15 **IEC code:** 2022-99-EMP-128

Type of submission: Project Extramural

Title of project: EFFECT OF ORAL SODIUM BICARBONATE ON FGF-23/KLOTHO AXIS AND BONE

HEALTH IN PATIENTS WITH CHRONIC KIDNEY DISEASE.

PI: Dr Manas Ranjan Behera **Department:** Nephrology

Primary reviewer (Technical): Prof. M. K Mitra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- 1. Kindly provide clarification for the ethical issue related to non-administration of sodium bicarbonate in a group of patients of Grade 2-4 renal fialure who require it to combat acidosis.
- 2. Hindi Participant Information Document (PID): the translation of subject to 'विषय' is not correct. it should be 'प्रतिभागी'.
- 3. Clarify the source of funding: Indian Society of Nephrology or SGPGIMS.
- 4. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 16 IEC code: 2022-110-DM-128

Type of submission: Project DM/MCh/MD

Title of project: Prospective Randomized Controlled Study Comparing the Diagnostic Yield of Cryo-Endobronchial Biopsy vs Forceps Endobronchial Biopsy in Patients Undergoing Endobronchial Ultrasound Guided Transbronchial Needle Aspiration for Suspected Sarcoidosis

PI: Dr Ajmal Khan **Department:** Pulmonary Medicine

Primary reviewer (Technical/PID & CF: Dr Surendra Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Submit comprehensive Participant Information Document (PID) with expalanation of the procedures being performed.
- 2. Clarify the frequency of perfroming the procedure.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Complittee authorized the Member Secretary to review the correction made and clear the above project.

Beginning Agenda No. 17

IEC code: 2022-114-DM-128

Type of submission: Project DM/MCh/MD

Title of project: Safety and efficacy of vigabatrin add on compared to placebo in Lennox-Gastaut

Syndrome: A randomized controlled trial

PI: Dr Jayantee Kalita **Department:** Neurology

Primary reviewer (Technical): Prof. M. K Mitra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

- 1. The placebo has 'saccharine'. What is the justification for not administring even the alternate standard therapy in patients who are not responding to standard treatment?
- 2. Mention the source of funding.
- 3. Participant Information Document (PID) for LAR should mention 'Your child' instead of 'you'.
- 4. PID mentions: "For participation you will have to give a consent for the treatment and collection of 3ml blood which will used future analysis for understanding disease pathophysiology." Collection of 3ml blood should be optional not compulsion. A patient may give consent to treatment but has right to deny blood sample. Change the statement as "For participation you may give consent for the treatment and if you are willing also for collection of 3ml blood which

- will be used for future analysis for understanding disease pathophysiology."
- 5. The language of PID should be simplified and mention in PID about side effects and random selection.
- 6. Clarify the mechanism for management of complications.
- 7. Get CTRI before start of the study. Submit a copy to Bioethics Cell before start of the study.
- 8. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

Agenda No. 18 IEC code: 2022-112-CP-128

Type of submission: Project Collaborative

Title of project: EUS-guided trans-papillary or trans-luminal biliary stenting for malignant biliary

obstruction: A prospective randomised comparison

PI: Dr Praveer Rai Department: Gastroenterology

Primary reviewer (Technical): Dr Vinita Agrawal

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Clarify the role of collaborator Dr Vinay Dhir.

2. •g 11 mentions Dr Rahul Shah as PI. His name is not mentioned as collaborator. Please clarify his rule and submit ethics committee clearance for S L Raheja hospital, Mumbai.

Carify the source of funding.

Participant Information Document (PID) should be comprehensive mentioning the role of SGPGI and collaborating Institution. Pg17, does not mention the name of SGPGIMS.

- Page 14, PID mentions "Principal investigator will obtain two sets of consent documents from the patient after explaining about this study sufficiently. One is for the patient and the other will be stored in the Department of Gastroenterology, S.L. Raheja Hospital, Mahim, Mumbail Rhaeja. What is the explanation for this statement?
- 6. Mention the cost differences between the procedures being performed in the two groups to assess the fair random selection.
- 7. CTRI should mention the name of PI from SGPGIMS. Submit a copy to Bioethics Cell before start of the study.
- 8. Submit a copy of approval letter from the funding agency to the IEC.

Decision Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.



Agenda No. 19 IEC code: 2022-72-DT-128

Type of submission: Project Drug/device trials

Title of project: A Phase 3, Multicenter, Randomized, Double-blind, Placebo-controlled Trial to Evaluate the Efficacy and Safety of Sibeprenlimab Administered Subcutaneously in Subjects with

Immunoglobulin A Nephropathy

PI: Dr Narayan Prasad **Department:** Nephrology

Primary reviewer (Technical): Prof. M. K Mitra

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. One of the groups (n=225) will receive a placebo. The contents of the 'placebo' are not clear. Why are a group of patients of IgAN being deprived of the standard therapy? Kindly give a rationale and explanation for this ethical issue.
- 2. Submit a copy of valid Insurance policy.
- 3. Submit Ethical Clearnce of other sites in India.
- 4. Mention in Participant Information Document (PID) about the side-effects associated with the drug.
- 5. Pg 12 PID, clarify 'rule 39'.
- 6. Submit a copy of CTA.
- 7. Clarify if blood samples will be collected from patients at SGPGIMS and sent abroad. If yes, submit the required clearnace.
- 8. Clarify if SGPGI will be involved in collection of blood samples for pharmacodynamics or pharmacokinetic studies. If yes, who will do these pharmacodynamics or pharmacokinetic studies studies?

Executive Registrar Committee authorized the Ex FL ackised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

C. Reporting decisions of Member Secretary/3 Member Committee/Expedite Review Committee:

The recommendation of the Member Secretary/Expedite Review Committee for the agenda 20 to 23 (EXP meeting no. 48) who has given clearance for the proposals has been approved by the committee.

Agenda No. 20 **IEC code:** 2022-101-IMP-EXP-48

Type of submission: Expedite review project for IEC notification

Title of project: Expression of programmed death-ligand 1 (PD-L1) in pituitary tumors PI: Dr AK Jaiswal **Department:** Neurosurgery

Subject: Expedite review project for IEC notification

IEC code: 2022-83-DM-EXP-48 Agenda No. 21

Type of submission: Expedite review project for IEC notification

Title of project: Serum p-glycoprotein as a biomarker of disease activity in Takayasu Arteritis PI: Dr Durga Prasanna Misra **Department:** Clinical Immunology

Subject: Expedite review project for IEC notification

Agenda No. 22 **IEC code:** 2022-88-IP-EXP-48

Type of submission: Expedite review project for IEC notification

Title of project: Comparison of Intubation success with conventional laryngoscope and Smart-trach

video Laryngoscope by novices: A Randomized Cross-Over Manikin study

PI: Dr Suruchi **Department:** Anaesthesiology

Subject: Expedite review project for IEC notification

D. Notification for Amendments/Addendum:

Agenda No. 23 IEC code: 2019-110-DM-109

Type of submission: Notification of Amendments/Addendum

Title of project: Comparison of efficacy and safety of Low (20 mg) vs High (40mg) dose oral

Prednisolone in Complex Regional Pain Syndrome

PI: Dr Jayantee Kalita **Department:** Neurology

Subject: Amendment report (Request change Study title, Diabetic Patients with CRPS and).

The committee has given the followiing suggestions:

Kindly submit detailed clarification for the need of change in study title.

- Why are Diabetic patients being given prednisolone? Submit in detail the changes from the previous protocol in tabulated manner.
- Clarify the number of participants recruited in the study till date with the earlier protocol.
- The study was approved in 2019, as a DM study; the student would have completed the course by now. How can the changes be incorporated after completion of the study?

Agenda No. 24 IEC code: 2020-171-IP-EXP-24

Type of submission: Notification of Amendments/Addendum

Title of project: Assessment of pain intensity and the association of patient satisfaction with

analgesic therapy in patients undergoing spinal instrumentation surgery

PI: Dr Rudrashish Haldar **Department:** Anaesthesiology Subject: Amendment Report (Request to extend till 20.09.2024 due to COVID pandemic).

Committee has noted and approved.

IEC code: 2020-86-DM-EXP-16 Agenda No. 25

Type of submission: Notification of Amendments/Addendum

Title of project: Pneumococcal vaccination in SLE: Review of evidence, estimation of immunogenicity

and cost-effectiveness analysis

PI: Dr Amita Aggarwal **Department:** Clinical Immunology **Subject:** Amendment report (Request for 1.5 year extension to complete the new arm study).

Committee has noted and approved.

IEC code: 2021-177-DT-121 Agenda No. 26

Type of submission: Notification for protocol deviation

Title of project: An Indian Multi-centric Iv study to assess the safety of Crizalizunab with or without

hydroxyurea therapy in sickle cell disease patients with vaso-occlusive crises.

PI: Dr Sanjeev **Department:** Clinical Hematology

Subject: Protocol deviation (Patient No. and Name initial: 2100003 and SB)

Committee has noted.

Agenda No. 27 **IEC code:** 2021-180-DT-121

Type of submission: Notification of Amendments/Addendum

Title of project: A 2-year randomized, 3-arm, double-blind, non-inferiority study comparing the efficacy and safety of ofatumumab and siponimod versus fingolimod in pediatric patients with

multiple sclerosis followed by an open-label extension.

PI: Dr Jayantee Kalita **Department:** Neurology

Subject: Amendment report (ICF Core study version 4.0, version date :08-Jun-2022 in English, ICF Core study version 4.0, version date: 08-Jun-2022, translated from English to Hindi on 16-Jun-2022, ICF Core study version 4.0, version date :08-Jun-2022 and study related documents).

Committee has noted.

IEC code: 2021-183-IP-EXP-41 Agenda No. 28

Type of submission: Notification of Amendments/Addendum

Title of project: Digital rheumatology for training, practice and research survey exploring practice,

perceptions and future alternatives

PI: Dr Vikas Agarwal **Department:** Clinical Immunology

Subject: Amendment report (Project was transferred to PI in march 2022 need one year extension).

Committee has noted and approved.

IEC code: 2021-200-IP-EXP-41 Agenda No. 29

Type of submission: Notification of Amendments/Addendum

Title of project: Gender Equity in Rheumatology

Department: Clinical Immunology PI: Dr Vikas Agarwal

Subject: Amendment report (Project was transferred to PI in march 2022 need one year extension).

Committee has noted and approved.

Agenda No. 30 IEC code: 2021-252-DM-123 Type of submission: Notification of Amendments/Aques Title of project: Wrist vs ear pulse oximetry for neonate controlled trial controlled trial Subject: Amendment report (Inclusion criteria amend)

Subject: Amendment report (Inclusion criteria amend)

Type of submission: Notification of Amendments/Addendum

Title of project: Wrist vs ear pulse oximetry for neonates during resuscitation: a randomised

Department: Radiotherapy

Agenda No. 31 IEC code: 2021-271-EMP-123

Type of submission: Notification of Amendments/Addendum

Title of project: Randomised phase II trial testing efficacy of intra-tumoural hydrogen peroxide as a

radiation sensitiser in patients with locally advanced/recurrent breast cancer. PI: Dr Punita Lal **Department:** Radiotherapy

Subject: Amendment report (Summary of changes and Protocal Version 3.0 dated 01.11.2021).

Committee has noted.

E. Notification for protocol deviation:

IEC code: 2020-329-DT-117 Agenda No. 32

Type of submission: Notification for protocol deviation

Title of project: A randomized, double blind, multicenter, placebo-controlled phase 3 study with open label period to evaluate the efficacy and safety of Inebilizumab in adults with myasthenia gravis

PI: Dr Jayantee Kalita **Department:** Neurology

Subject: Protocol deviation (Subject no. 41050100 and 41050118)

Committee has noted.

F. General Notification:

IEC code: 2017-47-DT-96 Agenda No. 33

Type of submission: General Notification

Title of project: InvestIgation of Rheumatic AF Treatment Using vitamin K antagonists, rivaroxaban

or aspirin Studies. (INVICTUS)

PI: Dr Sudeep Kumar **Department:** Cardiology

Subject: SUSAR report dated 31 May 2022, period covered from 01-March-2022 to 31-May-2022 for

review and notification. Committee has noted.

IEC code: 2020-196-DT-115 Agenda No. 34

Type of submission: General Notification

Title of project: SHP669-406 :A Post Marketing Surveillance (PMS) Study for VPRIV® (velaglucerase

alfa) in India

PI: Dr Shubha Phadke **Department:** Medical Genetics

Subject: Certificate of Inurance for IEC notification (Policy No.: P0523200001/9999/100163 and

Validity: 15 Jun 2022 to 14 Jun 2023).

Committee has noted.

Agenda No. 35 IEC code: 2020-196-DT-115

Type of submission: General Notification

Title of project: SHP669-406: A Post Marketing Surveillance (PMS) Study for VPRIV® (velaglucerase

Department: Medical Genetics

Subject: Notification of study related documents (Product Insert for VPRIV dated Feb 2019 and

alfa) in India

PI: Dr Shubha Phadke

Subject: Notification Col Varun Bajpai v Executive Registrar SGPGIMS,Lucknow Produst Insert for VPRIV dated Dec 2021).

Committee has noted.

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Agenda No. 36 IEC code: 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

PI: Dr Rajanikant R Yadav **Department:** Radiodiagnosis

Subject: Updated Insurance for notification and approval (Insurance Policy

Number: 4067/101887722/02/000, Effective date: Jan 30, 2019 and Expiration date June 30, 2023).

Committee has noted.

Agenda No. 37 IEC code: 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial **Department:** Radiodiagnosis PI: Dr Rajanikant R Yadav

Subject: Investigator's Brouchure's Version 5.0 for notification and approval.

Committee has noted.

Any other:

Agenda No. 38 IEC: 2022-....-EMP-128

Title of Project: 'Training of in-service Clinicians from Government Hospitals' and 'Outreach Program for Aspirational Districts'

PI: Shubha Phadke Department: Medical Genetics

The committee discussed that since this is a project related only to education and training of medical personnel's, it qualifies for 'Exemption from Review'.

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(Dr. Vinita Agrawal) Member Secretary, IEC, SGPGI (Dr. Chandishwar Nath)

CNOW

Chairman, IEC, SGPGI

Lt Col Varun Bajpai VSM Executive Registrar SGPGIMS,Lucknow

SANJAY GANDHI POSTGRADUATE INSTITUTE OF MEDICAL SCIENCES, LUCKNOW

Minutes of the 124th Institutional Ethics Committee meeting held on Saturday, 29th January 2022, at 10.30 am, through Telemedicine facility, SGPGI Lucknow using the licensed 'Zoom Cloud Meeting' platform. All members were communicated the meeting id. and password to join the virtual meeting through their devices.

Present:

 Dr. Chandishwar Nath, Retd. Chief Scientist, Division of Toxicology, CSIR-CDRI, Lucknow Prof. Aneesh Srivastava, Dean, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow 	Chairman Member
3. Justice S.C Verma, Retd. High Court Judge Allahabad -	Member
4. Shri. Vijai Varma, Former District Judge & Chairman Upbhokta Forum, Lucknow	Member
5. Shri. Sharat Pradhan, Senior Journalist, (B-4, Dilkusha Colony, Lucknow	Member
6. Ms. ShaliniMathur, Secretary, Suraksha NGO, Lucknow	Member
7. Dr. Mohan Gurjar, Additional Professor, Department of CCM, S G P G I M S, Lucknow	Member
8. Prof. M. K. Mitra Former HOD Medicine, KGMU, Lucknow	Member
9. Prof. AmitaPanday, Dept. of Obst. &Gynae., KGMU, Lucknow	Member
10. Prof. V.L Bhatia, Prof. Department of Endocrinology, S G P G I M S, Lucknow	Member
11. Dr. Manuka Khanna, Prof. Department of Sociology, University of Lucknow	Member
12. Prof. A.K Srivastava, Retd. Prof. Department of Sociology, University of Lucknow	Member
13. Dr. GautamPalit, Ex-Head, Div. of Pharmacology &Seni. Dep. Dir. & Medical Officer, CSIR-CDRI, Ll	ko. Member
14. Dr. S. Srivastava, Retd. Scientist-IV, Research Cell, S G P G I M S, Lucknow	Member
15. Prof. Vinita Agrawal, Professor, Dept. of Pathology, S G P G I M S, Lucknow	Member Secretary

Member Justice S C Verma, Mr Sharat Pradhan and Dean, Prof Aneesh Srivastava could not attend the meeting.

Determination of quorum: quorum was complete: 12 members present, with nine non-institutional members.

2A: General discussions and confirmation of Minutes:

The Chairman, Dr Chandishwar Nath, welcomed all members and greeted all in the New Year.

The members expressed their thanks to Prof MK Mitra who had joined the meeting from US even at midnight hours.

Chairman enquired about the status of SAE decisions. Mr Anand, Senior Administrative Officer, Bioethics Cell was asked to check with all members for their comments and send the decisions on SAE at the earliest.

Disclosure of conflict of interest: Chairman, called for disclosure of Conflict of Interest (COI) in the Protocols scheduled for deliberation in the meeting.

None of the members had any conflicts of interest.

Reading and approval of the minutes of the last meeting The Chairman presided over the discussion of the minutes of the 123rd IEC meeting held on 20th Nov. 2021. All members of the IEC approved the minutes.

B. Review of New Proposals:

Agenda No. 1 **IEC code:** 2021-302-EMP-124

Type of submission: Project Extramural

Title of project: Autoantibody profile with genetic analysis for etiological categorization of

Premature Ovarian Insufficiency

PI: Dr Preeti Dabadghao **Department:** Endocrinology

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Submit informed consent documents (participant information document-PID and consent form in Hindi.
- 2. Submit a copy of approval letter from the funding agency to the IEC.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

IEC code: 2021-303-EMP-124 Agenda No. 2

Type of submission: Project Extramural

Title of project: An open label Randomized, multi-centric trial to compare Efficacy and safety of Azathioprine with MycophenoLate Mofetil in immunologically low risk live donor renal allograft recipients (REALM)

PI: Dr Narayan Prasad **Department:** Nephrology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

SCPGIMS, Lucknow Scientific issues, ethical issues and informed consent documents were discussed. The primary reviewer and the committee members felt that the project was very valuable and useful. The positive Eresults are likely to have important far-reaching repercussions for the welfare of Renal Transplant

The committee has given the following suggestions to the PI:

- 1. Clarify the number of participants to be enrolled at SGPGIMS.
- 2. The drugs for the trial should be provided free of cost to the participants.
- 3. Submit Ethical committee clearance from other collaborative centers.
- 4. Hindi participant information document (PID); item no. 3 (Pg. 23), please rewrite. Do not convert the routinely used English words into incorrect Hindi words. (e.g. 'Graft' had been translated as 'Brashtachar')
- 5. English PID: The statement "MMF is costlier medicine and it has several side effects. The preferential use of AZA instead of MMF for maintenance immunosuppression would provide substantial cost saving, as well as comparable safety without affecting graft outcome' indicates conclusion of the study. Kindly delete.
- 6. Submit a copy of approval letter from the funding agency to the IEC.
- 7. Get CTRI before start of study.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 3 IEC code: 2021-310-EMP-124

Type of submission: Project Extramural

Title of project: REduced duration of Eight versus twelVe weeks of SOfosbuvir-VeLpatasvir in treatment naive non-cirrhotic patients with chronic hepatitis C virus infection: A Multicentric, Open labeled, Randomized, Non-inferiority trial (RESOLVE)

PI: Dr Amit Goel Department: Gastroenterology

Primary reviewer (Technical): Prof. M. K Mitra Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The primary reviewer and the committee members felt that the project was very valuable and useful. The positive results are likely to have important far-reaching repercussions for the welfare of Hepatitis C patients.

The committee has given the following suggestions to the PI:

- 1. Page two, proposal mention that 'interim analyses would be done when 33% and 67% sample size are completed, and Ethics Committee will be informed. However, on page 27, it is mentioned that 'interim analyses would be done when 50% of sample size is reached. Clarify.
- 2. Participant information document (PID); Item 4: Rewrite as '.....you are a patient of Hepatitis C and need treatment for this. We plan to evaluate the effectiveness of 12 weeks treatment vs 8 weeks treatment with the same combination of drugs, which has been approved by Ministry of Health, Government of India. According to Random sampling you will be taking the drugs for either 8 weeks or 12 weeks.'
- 3. PID for participants/ controls/ volunteers should not be separate.
- 4. Mention about blood collection in the PID.
- 5. Submit Ethical committee clearance from other collaborative centers.
- 6. Submit a copy of approval letter from the funding agency to the IEC.
- 7. Get CTRI before start of study.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 4 **IEC code:** 2021-333-EMP-124

Type of submission: Project Extramural

Title of project: Assessment of patient-derived exosomal contents and computational analysis:

Implication in early diagnosis, risk assessment for pancreaticobiliary cancer in India

PI: Dr U C Ghoshal Department: Gastroenterology

Primary reviewer (Technical): Dr Vinita Agrawal



Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. The CV submitted for the fellow states that the Host Institute for the Ramalingaswamy Fellowship is AIIMS, Bhopal. Clarify
- 2. Participant information document (PID); point 6 states 'What will happen to me if I take part? Patients need to provide blood, urine, saliva and biopsy sample for assessment of disease. How will the patient give 'biopsy sample'? Modify the statement.
- 3. A co-PI from Surgical Gastroenterology should be included in the study for collection of biopsy samples.
- 4. Clarify the center where all the experiments will be conducted.
- 5. Mention the inclusion and exclusion criteria for controls, Submit PID for controls.
- 6. Submit a copy of approval letter of Ramalingaswamy Fellowship from the funding agency to the IEC.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 5 **IEC code:** 2021-335-EMP-124

Type of submission: Project Extramural

Title of project: The impact of heart failure on household economic well-being' -PI: Dr Aditya Kapoor **Department:** Cardiology

Primary reviewer (Technical): Dr Gautam Palit Primary reviewer PID and CF: Dr A K Srivastava Primary reviewer (Technical): Dr Gautam Palit
Primary reviewer PID and CF: Dr A K Srivastava
Subject: Project for review
Scientific issues, ethical issues and informed consent documents were discussed.
The committee has given the following suggestions to the PI:

- 1. Kindly clearly mention the nodal centers in the study.
- 2. Clarify the role of co-PI from Trivandrum.
- 3. Check Hindi participant information document (PID) for translations; volunteer should be 'pratibhagi' and not 'swayam sewak'.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 6 **IEC code:** 2021-336-EMP-124

Type of submission: Project Extramural

Title of project: Evaluate the incidence of different phenotypes of neuropathic pain in cancer patients and compare the outcomes of pain based on the phenotypes after administration of anti-neuropathic medications. A Randomized parallel group trial.

PI: Dr Chetna Shamshery Department: Anaesthesiology

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Please clarify about study design and primary outcome.
- 2. Please mention the details of the QST (Quantitative Sensory Test).
- 3. Please submit all pain scores/ scales being used in the study.
- 4. Please mention the role of two students in this study.
- 5. Please mention the title of the study and about randomization in the participant information document (PID). Simplify language of PID.
- 6. Submit a copy of approval letter from the funding agency to the IEC.
- 7. Get CTRI before start of study.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above project.

Agenda No. 7 **IEC code:** 2021-341-EMP-124

Type of submission: Project Extramural

Title of project: A Prospective, Observational, Single Arm, Multicenter Registry to evaluate clinical outcomes of the Shockwave Coronary Intravascular Lithotripsy (IVL) followed by Stent Implantation in Calcified Coronary Arteries in Real World Indian Patient Population (S

© PI: Dr Aditya Kapoor Department: Cardiology

Primary reviewer (Technical): Dr Gautam Palit Frimary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The committee discussed that the legal expert should review the Clinical registry agreement and the insurance documents. The legal expert needs to check the documents for his opinion and decision communicated to the IEC and the PI.

The legal expert Mr Vijai Varma has given suggestions on CTA, the same has been included in comments to PI.

The committee has given the following suggestions to the PI:

- 1. Submit EC clearance document from collaborating centers.
- 2. Clearly mention the role of 'Translumina' in the study. What is the role of CRO?
- 3. Clarify the overlapping secondary endpoints (Pg. 5).
- 4. Clarify if it is a device trial. Submit IEC fees.
- 5. The CTRI does not mention the name of investigator from Lucknow.
- 6. Clarify; who will pay the compensation?
- 7. CTA: Jurisdiction is mentioned as New Delhi. It should be Lucknow.
- 8. CTA: should mention about Insurance cover.
- 9. CTA, Pg. 8, clause 10: the word 'direct damage' is not clear, which makes the entire sentence concerning liability of the sponsor confusing.

Decision: Minor Modification.

SGPGIMS, Lucknow

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

Agenda No. 8 **IEC code:** 2021-345-EMP-124

Type of submission: Project Extramural

Title of project: EUSTAR COHORT OF SYSTEMIC SCLEROSIS

PI: Dr Vikas Agarwal Department: Clinical Immunology

Primary reviewer (Technical/PID & CF: Dr Surendra Srivastava

Primary reviewer PID and CF: Ms. Shalini Mathur

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. Clarify the age group of participants from SGPGI.

- 2. Clarify about the need of blood collection, investigations to be done and if samples will be sent abroad. If yes, submit material transfer agreement.
- 3. Kindly note that if samples are collected for future studies, it will require submission of the new project on these stored samples to the IEC for clearance.
- 4. One of the co-PI has resigned from the Institute; kindly make the required change in the list of co-PI's.
- 5. Please mention the role of 'Dr Oliver Distler' mentioned as PI.
- 6. Mention contact details of PI and bioethics cell in the participant information document (PID).
- 7. Submit a copy of invitation/approval letter from EUSTAR.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Member Secretary to review the correction made and clear the above

Agenda No. 9 **IEC code:** 2021-332-DM-124

Type of submission: Project DM/MCh/MD

Title of project: Improvement in endoscopic mucosal visibility using a pre-procedure combination drink of N-acetylcysteine and simethicone: A Randomized controlled trial

PI: Dr U C Ghoshal Department: Gastroenterology

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Dr A K Srivastava

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Clarify the role of 'Delvin Formulations Pvt. Ltd.'. This study is a company-sponsored study. Submit Clinical Trial Agreement (CTA), insurance certificate and IEC fees.
- 2. Please clarify if the combination formulation is available commercially in India or not? Provide necessary documents.
- 3. In participant information document (PID) (a) Need to mention that there is equal chance to get involved in the intervention arm as well as in the control arm (b)

Col Varun Bajpai VS

Executive Registrar
SGPGIMS, Lucknow

- Mention Name and address of PI/Guide as well as Bioethics Cell/Member Secretary, IEC.
- 4. The statement 'We hope that the treatments will help you. However, this cannot be guaranteed.' is not required, as the study is related with a procedure not treatment.
- 5. It is stated that 'The drug and the placebo will be provided by Delvin Formulations private limited' but placebo will not be used as clearly mentioned in methodology (section Comparator). Please clarify.
- 6. In study protocol and PID: (a) Replace word subject with 'Participant' (b) Avoid word placebo
- 7. Get CTRI before start of study.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

Agenda No. 10 **IEC code:** 2021-308-PDAF-124

Type of submission: Project PDAF

Title of project: Correlation between Vitamin D level and outcomes of Transforaminal Epidural Steroid Injection in Lumbar Radiculopathy: A Prospective open level Randomized Controlled Trial

PI: Dr Sanjay Kumar **Department:** Anaesthesiology

Primary reviewer (Technical): Dr Mohan Gurjar Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The members discussed that in patients whom Vit D deficiency has been for supprementation. Therefore, a change in study design can be suppremented by the committee has given the following suggestions to the PI:

1. Mention method of randomization discussed that in patients whom Vit D deficiency has been found, it is not ethical to not to give Vit D supprementation. Therefore, a change in study design can be suggested.

- 2. One of the co-PI has superannuated from the Institute; kindly make the required change in the list of co-PI's.
- 3. In Group B: It is unethical not to prescribe Vit D supplements when the level is below 50 nmol/liter (20 ng/ml). Vit D supplements should be provided to all Vit D deficient patients of group A & B. Kindly change study design. Suggestion: There can be two groups - (A) Vit D deficient and (B) Vit D nondeficient. The base line pain score (prior to treatment), post treatment score and level of Vit D at the same time points in the patients should be compared between groups A and B. This design will also provide a correlation between Vit D level and improvement in pain relief. Within the group analysis (individual patient based) of group A can further indicate whether rise in Vit D level is linked to pain relief or not.
- 4. Please clarify the role of the student.
- 5. Participant information document (PID) should mention about (a) Randomizationthere is equal chances for participant to get involved in the one of the groups (b) funding agency); wrongly mentioned as Institute (c) payment for extra visits, drugs and procedure to be clarified (d) Name and address of PI as well as Member Secretary, IEC.
- 6. Submit a copy of approval letter from the funding agency to the IEC.

7. Get CTRI before start of study.

Decision: Major Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

Agenda No. 11 **IEC code:** 2021-342-DT-124

Type of submission: Project Drug/device trials

Title of project: Impact of use of oral nutritional supplement on physical health, mental health and

immune health in growing children at risk of under nutrition.

PI: Dr P Bhattacharya Department: General Hospital

Primary reviewer (Technical): Prof. V.L Bhatia Primary reviewer Legal: Judge (Retd.) Vijai Varma Primary reviewer PID and CF: Shri Sharat Pradhan

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed. The members discussed that this is a phase IV post-marketing study of an oral supplement (Prohance Junior). It was decided that the project should be sent for peer review to the Research committee or to external experts before any decision is taken, as the Departmental Committee at General Hospital comprises of specialists from unrelated branches.

The committee has given the following suggestions to the PI:

1. The summary is not complete. It should clearly address the problem, criteria and methods to be followed.

It is not clear as to who will perform the developmental testing and IQ testing. Please clarify.

3. The Administrative Head of General Hospital should sign the certificate which is to be signed by the Head of the Department.

Kindly submit Ethical clearance from other centers.

CTA: The Sponsor, Sun Pharma, is not a party to the CTA, whereas there are obligations on its part. If it fails to discharge its duties and obligations, then the Institution will not be able to take action. Hence, it should be included as a party.

- 6. CTA: Cl.20 at page 10, the entire clause is quite confusing. Why PI should indemnify when there is no misconduct etc. on his part. Besides Cl.20 (e) is not at all proper where it is mentioned, 'Notwithstanding anything contained herein, the liability of the Sponsor will be limited to the Sponsorship amount paid to CRO'. This is totally unwarranted, as the indemnity amount cannot be limited merely to the amount paid for the Sponsorship to the CRO.
- 7. Insurance cover is until June 2022. It will require extension.
- 8. The study will need CTRI registration.

Decision: Major Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee will discuss the project again after peer review recommendations and submission of required documents.



Agenda No. 12

Type of submission: Project Drug/device trials

Title of project: A Prospective, Open-label, Multicentre, Interventional, Single-arm, Phase IV Study to Evaluate the Safety and Efficacy of Agalsidase alfa (r-DNA origin) (ReplagalTM) in Indian Children

IEC code: 2021-346-DT-124

and Adults With Fabry Diseasesubcutaneous tissue.

PI: Dr Kausik Mandal Department: Medical Genetics

Primary reviewer (Technical): Prof. Amita Panday Primary reviewer Legal: Judge (Retd.) Vijai Varma Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

1. SGPGI is not included in the approved list by DCGI. Please submit.

- 2. The name of SGPGI is not mentioned as a center in CTRI.
- 3. Submit proper informed consent documents (participant information document-PID and consent forms)
- 4. CTA: Sponsor has not been made a party though it has certain obligations to perform. If it fails to discharge its duties, whereby the conduct of the trial may be adversely affected, the Institute or the PI cannot take any action against the Sponsor. Sponsor needs to be arrayed as a party.
- 5. CTA: At page 3 in Cl.2, C(I), it is mentioned, 'Deleted intentionally'. Clearly mention what was deleted.
- 6. CTA: At page 22, pertaining to Assignment, it is mentioned that any assignment of the agreement by the PI or the Institution a prior written consent of the CRO or the Sponsor is required. However, there is no such requirement for the CRO/Sponsor. The Sponsor or the CRO should at least give a notice of any assignment by them to the Institution or The PI before making any such assignment lest they are caught unawares.

CTA: At page 20, pertaining to Insurance, in the Insurance details the total insurance coverage is shown as Rs.1,77,000 which is incorrect as it is the amount of premium and not the amount of coverage which is 2,00,00,000. Make the correction.

CTA: In Cl.20 and 21, the jurisdiction of the courts at Delhi is mentioned. It should be courts at Lucknow instead of Delhi.

CTA: In Cl 26, the seat of Arbitration should be Lucknow instead of Mumbai.

Decision: Minor Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

Agenda No. 13

Type of submission: Project Drug/device trials

Title of project: A Prospective, Open-Label, Multicentered, Non-Randomized, Non-Comparative Active Post-Marketing Surveillance to test the safety and efficacy of Diperoxochloric Acid [DPOCL] topical solution in patients with diabetic neuropathic ulcers of skin and subcutan

IEC code: 2021-347-DT-124

PI: Dr Gyan Chand Department: Endocrine Surgery

Primary reviewer (Technical): Prof. V.L Bhatia



Primary reviewer Legal: Judge (Retd.) Vijai Varma Primary reviewer PID and CF: Dr. Manuka Khanna

Subject: Project for review

Scientific issues, ethical issues and informed consent documents were discussed.

The committee has given the following suggestions to the PI:

- 1. Submit proper methodology that will be followed by the PI at SGPGIMS. Mention inclusion and exclusion criteria.
- 2. It is a post marketing multicentric surveillance study. Name the other centers.
- 3. Sponsor mentioned in application and protocol is Centaur Pharmaceuticals Pvt. Ltd. However, Ayush licensing is for M/s. Datt Mediproducts Pvt. Ltd. The name of VELVERT is mentioned in CTRI; is it a Centaur Pharma product or from Datt Mediproducts? Please clarify.
- 4. Submit copy of marketing permission from Regulatory authorities.
- 5. Mention rationale of including 250 controls.
- 6. CTRI number is pending. Please submit.
- 7. The index of the project submitted is chaotic. Kindly ensure that all documents are arranged in proper order in future submissions.

Decision: Major Modification.

PI advised to submit above correction within 4 weeks, failing which the project will not be considered in next IEC meeting for ethical approval.

Committee authorized the Expedite Review Committee to review the correction made and clear the above project.

<u>C. Reporting decisions of Member Secretary/3 Member Committee/Expedite Review Committee:</u>

The recommendation of the Member Secretary/3-Member Committee/Expedite Review The recom
Compaittee
Compaittee
agenta no.
Executive Registrar
Executive Registrar
Agenda No. Compaittee (EXP meeting no. 43, agenda 14-24 and for clarifications submitted by the PI in agenda no. 25-26) who has given clearance for the proposals has been approved by the

Agenda No. 14 **IEC code:** 2021-251-DM-EXP-43

Type of submission: Expedite review project for IEC notification

Title of project: Comparison of the effect of chest percussion and expiratory rib cage compression on blood gases and static lung compliance in mechanically ventilated adult patients with acute respiratory distress syndrome: A randomized crossover study

PI: Dr Mohan Gurjar **Department:** Critical Care Medicine

Subject: Expedite review project for IEC notification

Agenda No. 15 **IEC code:** 2021-256-IMP-EXP-43

Type of submission: Expedite review project for IEC notification

Title of project: Expression of mismatch repair (MMR) protein in glioma

PI: Dr Sushila Jaiswal **Department:** Pathology

Subject: Expedite review project for IEC notification

IEC code: 2021-258-IMP-EXP-43 Agenda No. 16

Type of submission: Expedite review project for IEC notification

Title of project: Quantitative And Qualitative Assessment Of RNA From Archived FFPE Tissues To

Investigate Its Suitability For Next Generation Sequencing.

PI: Dr Raghavendra L **Department:** Pathology

Subject: Expedite review project for IEC notification

Agenda No. 17 **IEC code:** 2021-260-IMP-EXP-43

Type of submission: Expedite review project for IEC notification

Title of project: Incidence and Prognostic Significance of Programmed Death Ligand-1 (PD-L1)

Expression in Thyroid Carcinoma of Follicular Origin

PI: Dr Anjali Mishra **Department:** Endocrine Surgery

Subject: Expedite review project for IEC notification

Agenda No. 18 **IEC code:** 2021-264-DM-EXP-43

Type of submission: Expedite review project for IEC notification

Title of project: Incidence and clinical implication of neck and limb deep vein thrombosis in a

multidisciplinary ICU –A prospective observational study

PI: Dr Afzal Azim **Department:** Critical Care Medicine

Subject: Expedite review project for IEC notification

IEC code: 2021-267-PDCC-EXP-43 Agenda No. 19

Type of submission: Expedite review project for IEC notification

Title of project: Outcome of pubertal induction by gonadotropins: a retrospective study PI: Dr Preeti Dabadghao **Department:** Endocrinology

Subject: Expedite review project for IEC notification

IEC code: 2021-272-IP-EXP-43 Agenda No. 20

Type of submission: Expedite review project for IEC notification

Title of project: Mammographic characteristics of granulomatous and non-granulomatous

inflammation in breast and utility of Digital breast tomosynthesis

PI: Dr Namita Mohindra **Department:** Radiodiagnosis

Subject: Expedite review project for IEC notification

IEC code: 2021-276-IMP-EXP-43 Agenda No. 21

Type of submission: Expedite review project for IEC notification

Title of project: Study of Programmed Death Ligand-1 (PDL-1) Expression and its Role as a Predictive Biomarker in Esophageal Carcinoma
PI: D Pallavi Prasad

Department: Pathology

Subject: Expedite review project for IEC notification

Agenda No. 22 **IEC code:** 2021-284-MCh-EXP-43

Type of submission: Expedite review project for IEC notification

Title of project: Long term response to alpha blockers in Primary Bladder Neck Obstruction in

males: The search of a reliable predictor

PI: Dr Sanjoy Kumar Sureka **Department:** Urology

Subject: Expedite review project for IEC notification

Agenda No. 23 IEC code: 2021-285-MCh-EXP-43

Type of submission: Expedite review project for IEC notification

Title of project: Outcomes of smiley cutaneous ureterostomy as a urinary diversion during radical

cystectomy in patients with advanced bladder cancer

PI: Dr Sanjoy Kumar Sureka **Department:** Urology

Subject: Expedite review project for IEC notification

IEC code: 2021-286-PDCC-EXP-43 Agenda No. 24

Type of submission: Expedite review project for IEC notification

Title of project: Outcomes of two core TRUS guided prostatic biopsy in metastatic prostatic cancer

patients with PSA>75 ng/ml

PI: Dr Sanjoy Kumar Sureka **Department:** Urology

Subject: Expedite review project for IEC notification

Agenda No. 25 **IEC code:** 2021-248-IP-123

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary

Title of project: Analgesic efficacy of Proximal Adductor Canal Block supplemented with Genicular Nerve Block for post-operative analgesia following Anterior Cruciate Ligament Reconstruction: A Prospective Randomised Single Blind Two Arm Parallel Study.

PI: Dr Vansh Priya **Department:** Anaesthesiology

Subject: Clarification submitted by Dr Vansh Priya Dept. of Anaesthesiology and approved by

Member Secretary for notification

Agenda No. 26 **IEC code:** 2021-249-IP-123

Type of submission: Reporting decisions of 3 Member Committee/Member Secretary

Title of project: Posterior Tranversus Abdominis Plane Block versus Fascia Transversalis Plane Block for post-operative analgesia following unilateral open inguinal hernia repair: A Prospective, Randomised, Single Blind, Two Arm Parallel Study.

Department: Anaesthesiology **PI:** Dr Vansh Priya

Subject: Clarification submitted by Dr Vansh Priya Dept. of Anaesthesiology and approved by

Member Secretary for notification

D. Notification for Amendments/Addendum:

Agenda No. 27 **IEC code:** 2019-108-IMP-109

Type of submission: Notification of Amendments/Addendum

Title of project: Study of 24 hours glucose profile in patients with gestational diabetes PI: Dr Preeti Dabadghao **Department:** Endocrinology

Subject: Notification of Amendment (Inclusion criteria and Exclusion criteria).

Committee has noted and granted approval.

Agenda No. 28 **IEC code:** 2021-178-DT-121

Type of submission: Notification of Amendments/Addendum

Title of project: A multicenter, randomized, double-blind, parallel group, placebo-controlled study to

gevaluae the efficacy and safety of iptacopan (LNP023) in complement 3 glomerulopathy

PI: Dr DS Bhadauria **Department:** Nephrology

Subject: Notification of Amendment (Amended Protocol Version No. V00-IN.01 and Protocol

signatore page).

Committee has noted. Kindly submit DCGI approval.

Agenda No. 29 **IEC code:** 2021-179-DT-121

Type of submission: Notification of Amendments/Addendum

Title of project: A multicenter, single-arm, open label trial to evaluate efficacy and safety of oral,

twice daily LNP023 in adult aHUS patients who are naïve to complement inhibitor therapy

PI: Dr DS Bhadauria **Department:** Nephrology

Subject: Clinical trial protocol (version No. 00-IN.01 dated 06-Dec-2021)

Committee has noted. Kindly submit DCGI approval.

F. General Notification:

Agenda No. 30 **IEC code:** 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-

CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND SAFETY OF

VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA) NEPHROPATHY PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Investigator Brochure Edition-5 related documents for IEC notification.

Committee has noted.

Agenda No. 31 **IEC code:** 2020-286-DT-116

Type of submission: General Notification

Title of project: A MULTICENTER, RANDOMIZED, DOUBLE-BLIND, PLACEBO-

CONTROLLED, MULTIPLE DOSE STUDY TO EVALUATE THE EFFICACY AND SAFETY OF

VIS649 IN PATIENTS WITH INNUNOGLOBULIN A(IgA) NEPHROPATHY PI: Dr Narayan Prasad **Department:** Nephrology

Subject: Referring Physician related documents for IEC notification.

Committee has noted.

Agenda No. 32 **IEC code:** 2020-331-DT-117

Type of submission: General Notification

Title of project: Safety and Efficacy of Trans arterial Chemoembolization with Lipiodol® in the treatment of inoperable Hepatocellular Carcinoma (HCC) in Indian Patients, Phase IV Clinical Trial

PI: Dr Rajanikant R Yadav **Department:** Radiodiagnosis

Subject: IEC notification of Investigator's Brochure version 3.0 and version 4.0.

Committee has noted.

H. Study Final/Annual/Progress reports /Close out Notification:

IEC code: 2019-30-IP-108 Agenda No. 33

Type of submission: Final/Annual/Progress reports

Title of project: A randomized study of Consolidation Chemoradiation versus Observation after first

line Chemotherapy in Locally Advanced Carcinoma Gallbladder

PI: Dr Sushma Agrawal **Department:** Radiotherapy

Subject: Annual progress reporting date 12.01.2022 and study is ongoing and the accrual is slow due to COVID, PI request for extend the validity of the above mentioned study until 30.6.23.

Committee has noted and granted approval.

Title of project: Role of CD 64 in diagnoosis of bacterial infection in children with decompensated chronic liver disease and effect of infection on coagulation

PI: De Anshu Srivastava Col Varun Bajpai V Executive Registrar SGPGIMS,Lucknow

Subject: Study Completion report (Date of termination: 07/10/2021).

Committee has noted.

∑Agenda No. 35 **IEC code:** 2021-80-DT-119

Type of submission: Study Close out Notification

Title of project: Phase 3 Study to Evaluate the Efficacy and Safety of Subcutaneous Marzeptacog Alfa (Activated) For On-Demand Treatment and Control of Bleeding Episodes in Subjects with Hemophilia A or Hemophilia B, with Inhibitors: The Crimson 1 Study

Department: Medical Genetics PI: Dr Shubha Phadke

Subject: Premature termination report (Date of termination: 15-Nov-2021).

Committee has noted.

(Dr. Vinita Agrawal) Member Secretary, IEC, SGPGI

Chairman, IEC, SGPGI

(Dr. Chandishwar Nath)

CNA